

NON-COMPETITIVE APPLICATION INSTRUCTIONS

When applying for funding for the proposed Development, Applicants shall only utilize the Non-Competitive Application Form to apply for the following new funding when no other new Corporation funding sources, besides the Predevelopment Loan Program (PLP) or Elderly Housing Community Loan (EHCL), are being requested:

- Corporation-issued Multifamily Mortgage Revenue Bonds (MMRB) only. The Non-Competitive Application Form can be submitted to the Corporation any time after the effective date of Rule Chapter 67-21, F.A.C.;

or

- Corporation-issued MMRB and Non-Competitive Housing Credits (4 Percent HC). The Non-Competitive Application Form can be submitted to the Corporation any time after the effective date of Rule Chapter 67-21, F.A.C.;

or

- Non-Competitive Housing Credits (4 Percent HC) only, to be used for a Tax-Exempt Bond-Financed Development where the bonds are issued by a County Housing Finance Authority (HFA) established pursuant to Section 159.604, F.S. The Non-Competitive Application Form can be submitted anywhere from the time the Applicant enters Credit Underwriting for the Bonds up until the last Corporation business day of December of the year the Development is placed in service;

or

- Non-Competitive Housing Credits (4 Percent HC) only, to be used for a Tax-Exempt Bond-Financed Development where the bonds are issued by an entity other than the Corporation or a County HFA. The Non-Competitive Application Form can be submitted once the Applicant receives affirmation that the tax-exempt multifamily bond allocation has been reserved or that the entity issuing the bonds has agreed to award the necessary allocation when available, but in no event may the Application be submitted later than the last Corporation business day of December of the year the Development is placed in service.

To access and complete the Non-Competitive Application Form and Development Cost Pro Forma, found at <http://www.floridahousing.org/programs/developers-multifamily-programs/non-competitive> ~~http://www.floridahousing.org/Developers/MultiFamilyPrograms/NonCompetitive/~~, click on “Download Application Form” and “Download Development Cost Pro Forma” and save the files to your computer.

To meet threshold, the Applicant must:

- Submit one (1) complete copy of the Non-Competitive Application Form, along with all applicable exhibits, executed with an original signature and labeled “Original Hard Copy”; and
- Submit two (2) photocopies of the “Original Hard Copy”; and
- House the “Original Hard Copy” and the two (2) photocopies in separate 3-ring binders with numbered divider tabs for each exhibit; and
- Include the required Application fee in the “Original Hard Copy” of the Application form; and

- If requesting Corporation-issued MMRB, with or without 4 percent HC, include the required Tax Equity and Fiscal Responsibility Act (TEFRA) fee in the “Original Hard Copy” of the Application form.

Part A. Application Instructions

1. Funding Requested:

Indicate the funding requested in this Application.

2. Applicant:

- a. State the name of the Applicant.

~~For purposes of (1) and (2) below, a material change shall mean 33.3 percent or more of the Applicant, a general partner of the Applicant, or a member of the Applicant, and a non-material change shall mean less than 33.3 percent of the Applicant, a general partner of the Applicant, or a member of the Applicant.~~

- ~~(1) — For Applicants requesting Non-Competitive Housing Credits only:~~

~~The Applicant entity shall be the recipient of the Housing Credits and cannot be changed in any way (materially or non-materially) until after the Preliminary Determination is issued. Once the Preliminary Determination has been issued, (a) replacement of the Applicant or a material change in the ownership structure of the named Applicant will require Board approval prior to the change, and (b) any non-material change in the ownership structure of the named Applicant will require Corporation approval prior to the change. The Applicant entity may be changed without Board approval after a Final Housing Credit Allocation Agreement has been approved and the IRS Forms 8609 have been issued; however, the Corporation must still be notified in writing of the change. Changes to the Applicant entity prior to the issuance of the Preliminary Determination or without Board approval or Corporation approval, as applicable, prior to the approval of the Final Housing Credit Allocation and issuance of the IRS Forms 8609 shall result in a disqualification from receiving funding and shall be deemed a material misrepresentation. Changes to the limited partner of a limited partnership or an investor member of a limited liability company owning the syndicating interest therein will not result in disqualification.~~

- ~~(2) — For Applicants requesting MMRB, with or without Non-Competitive Housing Credits:~~

~~The Applicant entity shall be the recipient of the Non-Competitive Housing Credits and the borrowing entity for the MMRB Loan and cannot be changed in any way (materially or non-materially) until after the MMRB Loan closing. After loan closing, any change (materially or non-materially) will require Board approval prior to the change. Changes to the Applicant entity prior to the loan closing or without Board approval after the loan closing shall result in disqualification from receiving funding and shall be deemed a material misrepresentation. Changes to the limited partner of an investor a limited partnership or an investor member of a limited liability company owning the syndicating interest therein will not result in disqualification.~~

~~b. Provide a listing of the Principals for the Applicant, including the percentage of ownership interest of each Principal, as “Exhibit 1” to the Application form.~~

b.e. Enter Applicant’s Federal Employer Identification Number. If the Federal Employer Identification Number has not yet been obtained, provide a copy of the completed, submitted application for that number as “Exhibit 12” to the Application form.

~~c.d.~~ If the Applicant applies as a Non-Profit entity it must remain a Non-Profit entity. The Non-Profit entity understands and acknowledges that it is the Non-Profit entity’s responsibility to contractually ensure that it substantially and materially participates in the management and operation of the Development throughout the Compliance Period. If the Applicant is applying as a Non-Profit entity, failure to include the following information for each Non-Profit entity as “Exhibit 23” to the Application form will result in disqualification as a Non-Profit entity and failure to meet threshold:

- (1) IRS determination letter;
- (2) A description/explanation of how the Non-Profit entity is substantially and materially participating in the management and operation of the Development (i.e., the role of the Non-Profit). The percentage of the Developer’s fee that will go to the Non-Profit entity must be at least 25 percent;
- (3) The names and addresses of the governing board of the Non-Profit entity; and
- (4) The articles of incorporation demonstrating that one of the purposes of the Non-Profit entity is to foster low income housing.

3. Contact Person:

Provide the requested information for the Authorized Principal Representative and, if desired, the Operational Contact Person.

The Authorized Principal Representative (a) must be a natural person Principal of the Applicant listed on the Principal Disclosure Form; (b) must have signature authority to bind the Applicant entity; (c) must sign the Applicant Certification and Acknowledgement form submitted in this Application; and (d) if funded, will be the recipient of all future documentation that requires a signature.

If provided, the Operational Contact Person will be the recipient of any general correspondence associated with the Development activities that does not require a signature. If an Operational Contact Person is not provided, the Authorized Principal Representative will be the recipient of any such documentation.

4. Developer and Management Company:

a. Developer:

~~(1) State the name of each Developer (include all Co-Developers).~~

~~(2) Provide a listing of the Principals of each Developer as “Exhibit 4” to the Application form.~~

b. Management Company:

State the name, address and telephone number for the Management Company.

5. Principals Disclosure for the Applicant and for each Developer:

- a. Provide the Principals of the Applicant and Developer(s) Disclosure Form (Rev. 08-2016) (“Principal Disclosure Form”), as “Exhibit 3” to the Application form.
- b. The name of the Applicant entity stated in the Application may be changed only by written request of an Applicant to Corporation staff and approval of the Board after the Applicant has been invited to enter Credit Underwriting.

For purposes of (1) and (2) below, a material change shall mean 33.3 percent or more of the Applicant, a general partner of the Applicant, or a non-investor member of the Applicant, and a non-material change shall mean less than 33.3 percent of the Applicant, a general partner of the Applicant, or a non-investor member of the Applicant.

(1) For Applicants requesting Non-Competitive Housing Credits only:

The Applicant entity shall be the recipient of the Housing Credits and cannot be changed in any way (materially or non-materially) until after the Preliminary Determination is issued. Once the Preliminary Determination has been issued, (a) replacement of the Applicant or a material change in the ownership structure of the named Applicant will require Board approval prior to the change, and (b) any non-material change in the ownership structure of the named Applicant will require Corporation approval prior to the change. The Applicant entity may be changed without Board approval after a Final Housing Credit Allocation Agreement has been approved and the IRS Forms 8609 have been issued; however, the Corporation must still be notified in writing of the change. Changes to the Applicant entity prior to the issuance of the Preliminary Determination or without Board approval or Corporation approval, as applicable, prior to the approval of the Final Housing Credit Allocation and issuance of the IRS Forms 8609 shall result in a disqualification from receiving funding and shall be deemed a material misrepresentation. Changes to the limited partner of an investor limited partnership or an investor member of a limited liability company owning the syndicating interest therein will not result in disqualification.

(2) For Applicants requesting MMRB, with or without Non-Competitive Housing Credits:

The Applicant entity shall be the recipient of the Non-Competitive Housing Credits and the borrowing entity for the MMRB Loan and cannot be changed in any way (materially or non-materially) until after the MMRB Loan closing. After loan closing, any change (materially or non-materially) will require Board approval prior to the change. Changes to the Applicant entity prior to the loan closing or without Board approval after the loan closing shall result in disqualification from receiving funding and shall be deemed a material misrepresentation. Changes to the limited partner of an investor limited partnership or an investor member of a limited liability company owning the syndicating interest therein will not result in disqualification.

- c. The Principals of each Developer identified in the Application, including all co-Developers, may be changed only by written request of an Applicant to Corporation staff and approval of the Board after the Applicant has been invited to enter Credit Underwriting. In addition, any allowable replacement of an experienced Principal of a Developer entity must meet the experience requirements that were met by the original Principal.

65. Proposed Development Information:

- a. State the name of the Development.
- b. Location of Development Site:
 - (1) State the County where the Development is located.
 - (2) Indicate whether the proposed Development consists of Scattered Sites, as defined in Rule 67-21.002, F.A.C., and, if applicable, state the total number of Scattered Sites.
 - (3) State the (a) address number, street name, and name of city, and/or (b) the street name, closest designated intersection, and either name of city or unincorporated area of county. If the proposed Development consists of Scattered Sites, provide this information for each of the Scattered Sites.

(4) Latitude/Longitude Coordinates ~~Surveyor Certification Form(s):~~(a) ~~Surveyor Certification of Development Location Point Form—~~

All Applicants must provide a Development Location Point stated in decimal degrees, rounded to at least the sixth decimal place. If the proposed Development consists of Scattered Sites, as of Application Deadline the Development Location Point must affirmatively be established on the site with the most units, as outlined in subsection 67-21.002(96), F.A.C., and latitude and longitude coordinates for each Scattered Site must also be provided., as Exhibit 5 to the Application form, the properly completed and executed Surveyor Certification of Development Location Point Form listing the required Development Location Point information; and

(b) If the proposed Development consists of Scattered Sites, for each Scattered Site the Applicant must provide the latitude and longitude coordinates of one point located anywhere on the Scattered Site. The coordinates must be stated in decimal degrees and rounded to at least the sixth decimal place. Surveyor Certification of Scattered Sites Form

~~If the proposed Development consists of Scattered Sites, the Applicant must also provide the required information for each of the Scattered Sites by providing the properly completed and executed Surveyor Certification of Scattered Sites Form, as Exhibit 5 to the Application form.~~

(5) Provide the requested information regarding the local jurisdiction.

c. Number of Units:

(1) State the total number of units in the proposed Development.

Note: The following unit limit applies only to Applications requesting Corporation-issued MMRB, with or without 4 percent HC:

- Proposed Developments with a Development Category of New Construction, Redevelopment, or Acquisition and Redevelopment may not exceed 300 total units.

- (2) State the total number of new construction units in the proposed Development.
 - (3) State the total number of rehabilitation units in the proposed Development and indicate whether any of the existing units are currently occupied.
 - (4) State the total number of units that will have each of the following types of rental assistance: PBRA, ACC, and/or other federal assistance.
- d. State the total number of residential and non-residential buildings in the proposed Development.

Note: Applications requesting Corporation-issued MMRB, with or without 4 percent HC, must be for a proposed Development consisting of two (2) or more dwelling units in each residential building.

- e. DDA / QCT / Multiphase Development:

With regard to Housing Credits, HUD provides regulatory guidance on the effective date of Difficult Development Area (DDA) and Qualified Census Tract (QCT) lists for the purpose of determining whether a Development qualifies for an increase in eligible basis (i.e. basis boost) in accordance with Section 42(d)(5)(B) of the IRC.

- (1) DDA –

If the proposed Development is located in a HUD-designated DDA, identify the Small Area Zip Code Tabulation Area(s) (ZCTA) or County (if in a non-metropolitan area), as applicable.

The applicable Surveyor Certification form(s), required at question 5.b.(4) of the Application form, must confirm the ZCTA(s) stated at question 5.e.(1)(a) of the Application form. If any ZCTA stated at question 5.e.(1)(a) differs from the information stated on the Surveyor Certification form(s), the information stated on the Surveyor Certification form(s) will be used for purposes of determining the proposed Development's eligibility for the basis boost.

Note: The assigned Small Area DDA ZCTA number(s) is available at <https://www.huduser.gov/portal/Datasets/qct/DDA2018M.PDF> ~~<https://www.huduser.gov/portal/Datasets/qct/DDA2016M.PDF>~~ and the applicable HUD mapping software is available at https://www.huduser.gov/portal/sadda/sadda_qct.html. If the proposed Development is located in a metropolitan area and consists of Scattered Sites, the DDA designation will only apply to the Scattered Site(s) that are located within a HUD-designated DDA ZCTA.

- (2) QCT –

If the proposed Development is not located in a HUD-designated DDA, in order to be classified as a Development located in a QCT for purposes of this Application, the proposed Development must be located in one of the QCTs based on the current census, as determined by HUD, and the Applicant must indicate the QCT and provide a copy of a letter from the local planning office or census bureau which verifies that the proposed Development is located in the referenced QCT as “**Exhibit 4 6**” to the Application form.

(3) Multiphase Development –

HUD’s notice published in the Federal Register (found at <http://www.huduser.org/portal/datasets/qct.html>) that is in effect at the time the Applicant submits the Non-Competitive Application shall govern the eligibility for a basis boost for the proposed Development.

If the proposed Development is eligible for the multiphase status, the Applicant must indicate whether the proposed Development qualifies as a first phase or a subsequent phase, as outlined below:

(a) First Phase of a Multiphase Development:

For purposes of this Non-Competitive Application, a first phase is one where the Applicant is requesting Non-Competitive Housing Credits which will be used with Tax-Exempt Bonds awarded under a County HFA’s competitive application process.

Select question 5.e.(3)(a) of the Application form if the proposed Development qualifies as the first phase of a multiphase Development. During the credit underwriting process, the Applicant will be required to submit to the Corporation an attorney opinion letter describing the subsequent phases, as required by the Federal Register. The invitation to enter credit underwriting will outline the information that, at a minimum, must be included in the attorney opinion letter.

or

(b) Subsequent Phase of a Multiphase Development:

For purposes of this Non-Competitive Application, a subsequent phase is one where the initial phase was appropriately identified as such in an Application awarded funding under one (1) of the following competitive application processes: (i) the 2011 Universal Application Cycle, (ii) any subsequent Housing Credit competitive solicitation issued prior to the date the Applicant’s Non-Competitive Application was deemed complete, or (iii) a Non-Competitive Housing Credit Application (i.e., a Non-Competitive Housing Credit allocation awarded within the 730 day period following the date the competitive Bond application for Tax-Exempt Bonds awarded through a Corporation competitive RFA process or through a County HFA’s competitive application process) was deemed complete by the Bond-issuing agency for which the Non-Competitive Housing Credit allocation was awarded, provided the 730 day period did not end prior to the submission deadline for the Corporation’s competitive RFA or County HFA’s competitive application. After the initial award, the Applicant must have submitted an Application for Housing Credits in immediately consecutive years, per the HUD requirements.

Select question 5.e.(3)(b) of the Application form if the proposed Development qualifies as a subsequent phase of a multiphase Development. During the credit underwriting process, the Applicant will be required to submit to the Corporation an attorney opinion letter which, among other things, identifies the Application in which the first phase was declared, and includes information on the subsequent phase(s) illustrating that the proposed Development is eligible for the basis boost. The

invitation to enter credit underwriting will outline the information that, at a minimum, must be included in the attorney opinion letter.

- (4)–(6) The responses to questions ~~6.5~~e.(4) through (6) of the Application form must be in accordance with Section 42, IRC, as amended.

If the Applicant is requesting 4 percent HC only in this Application and indicates at question 5.e.(1), (2), and/or (3) of the Application form that the proposed Development is eligible for the basis boost, then the Applicant must also provide as “**Exhibit 4 6**” to the Application form a letter from the Development’s bond-issuing agency certifying the date the bond application was deemed complete and stating whether the bond application process was competitive or non-competitive. A “complete application” means that no more than de minimis clarification of the application is required for the agency to make a decision about the issuance of bonds requested in the application. Non-competitive HC Applicants must also comply with Section 42, IRC, regarding DDA/QCT qualifying date.

f. Indicate one applicable Development Category:

- New Construction (where 50% or more of the units are new construction)
- Rehabilitation (where less than 50% of the units are new construction)
- Acquisition and Rehabilitation (acquisition and less than 50% of the units are new construction)
- Redevelopment (where 50% or more of the units are new construction) *
- Acquisition and Redevelopment (acquisition and 50% or more of the units are new construction)*
- Preservation (where less than 50% of the units are new construction) *
- Acquisition and Preservation (acquisition and less than 50% of the units are new construction) *

* If the Development Category of Redevelopment or Preservation (either category with or without Acquisition) is selected, in order to determine the proposed Development’s eligibility for the selected Development Category and its Rental Assistance (RA) Level classification, the applicable documentation outlined in f.(1) or (2) below must be provided. The criteria for RA Level classifications is also outlined below.

- (1) If Redevelopment or Acquisition and Redevelopment is selected, in order to qualify for the selected Development Category the following criteria must be met:
- (a) The Development must meet the definition of Redevelopment stated in Rule 67-21.002, F.A.C;
 - (b) The Applicant must provide, as “**Exhibit 5 7**” to the Application form, a letter from HUD or RD, dated within 12 months of the date the Application is submitted, which includes the following information:
 - i. Name of the Development*;
 - ii. Address of the Development;
 - iii. Year built;
 - iv. Total number of units that will receive PBRA and/or ACC if the proposed Development is funded; and
 - v. The HUD or RD program currently associated with the existing development.

*For purposes of this provision, the Name of the Development may be the name at the time of the PBRA and/or ACC award.

If the Application does not qualify for the Development Category of Redevelopment or Acquisition and Redevelopment, the Application will fail threshold and the proposed Development will automatically be deemed to be RA Level 6.

- (2) If Preservation or Acquisition and Preservation is selected, in order to qualify for the selected Development Category the following criteria must be met:
- (a) The proposed Development must consist of 250 total units or less;
 - (b) The Development must meet the definition of Preservation stated in Rule 67-21.002, F.A.C.;
 - (c) The Development must also meet the definition of Rehabilitation stated in Rule 67-21.002, F.A.C.;
 - (d) The Applicant must provide, as “**Exhibit 5 7**” to the Application form, a letter from HUD or RD, dated within 12 months of the date the Application is submitted, which includes the following information:
 - i. Name of the Development*;
 - ii. Address of the Development;
 - iii. Year built;
 - iv. Total number of units that currently receive PBRA and/or ACC;
 - v. Total number of units that will receive PBRA and/or ACC if the proposed Development is funded (this must equal or exceed the number of units that currently receive PBRA and/or ACC);
 - vi. The type of HUD or RD rental assistance that will be associated with the proposed Development; and
 - vii. Confirmation that the Development either (A) has not received any financing from HUD or RD for rehabilitation work since 1996, or (B) has received financing from HUD or RD for rehabilitation work since 1996, but the budget for the rehabilitation work did not exceed \$10,000 per unit in any single year.

*For purposes of this provision, the Name of the Development may be the name at the time of the PBRA and/or ACC award.

If the Application does not qualify for the Development Category of Preservation or Acquisition and Preservation, the Application will fail threshold and the proposed Development will automatically be deemed to be RA Level 6.

Redevelopment and Preservation Developments that are tentatively funded will be required to provide to the Credit Underwriter a plan for relocation of existing tenants.

A proposed Development’s Development Category and RA Level will affect its eligibility to be exempted from the LDA restrictions outlined in Section A.6.1.A.5.oi.(2) below, as applicable.

The total number of units that will receive rental assistance as stated in the required letter will be considered to be the proposed Development’s RA units and will be the basis of the Applicant’s RA Level Classification. The Corporation will divide the RA units by the total units stated by the Applicant at question 65.c.(1) of the Application form, resulting in a

Percentage of Total Units that are RA units. Using the Rental Assistance Level Classification Chart below, the Corporation will determine the RA Level associated with both the Percentage of Total Units and the RA Units. The best rating of these two (2) levels will be assigned as the Application’s RA Level Classification.

Rental Assistance Level Classification Chart			
Rental Assistance Level	Percentage of Total Units with Rental Assistance		Number of RA Units
Level 1	All units receive rental assistance (with the exception of up to 2 units)	or	At least 100 units and greater than 50% of the total units
Level 2	Greater than 90.00%	or	Greater than 90 units but less than 100 units and greater than 50% of the total units
Level 3	Greater than 75.00%, equal to or less than 90.00%	or	Greater than 75 units but less than 90 units and greater than 50% of the total units
Level 4	Greater than 50.00%, equal to or less than 75.00%		N/A
Level 5	Greater than 10.00%, equal to or less than 50.00%		N/A
Level 6*	10.00% or less of the total units receive rental assistance		N/A

*Applications will be classified RA Level 6 if 10.00% or less of the total units receive rental assistance or if the Applicant fails to meet the criteria outlined above.

During Credit Underwriting, all funded Applications will be held to the number of rental assistance units stated in the applicable letter provided by the Applicant as Exhibit 56 to the Application form. This requirement will apply throughout the entire Compliance Period, subject to Congressional appropriation and continuation of the rental assistance program.

g. The Applicant must select the Development Type for the proposed Development. For purposes of determining the number of stories, each floor in the building(s) should be counted regardless of whether it will consist of retail, parking, or residential. For mixed-type Developments, indicate the type that will comprise the majority of the units in the Development.

- Garden Apartments (a building comprised of 1, 2, or 3 stories, with or without an elevator)
- Townhouses
- Duplexes
- Quadraplexes
- Mid-Rise 4-stories (a building comprised of 4 stories and each residential building must have at least one elevator)
- Mid-Rise 5 to 6-stories (a building comprised of 5 or 6 stories and each residential building must have at least one elevator)
- High Rise (a building comprised of 7 or more stories and each residential building must have at least one elevator)

h. Demographic Commitment

Indicate the Demographic Commitment (Elderly (Non-ALF or ALF), Homeless, Family, or Persons with Special Needs). Note: if Elderly is selected, the Applicant must indicate the type of Elderly Development (Non-ALF or ALF).

i. Set-Aside Commitment:

- (1) Indicate the minimum set-aside (20% of units at 50% Area Median Income (AMI) or less, 40% of units at 60% AMI or less, or Average Income Test, as defined in Section 42, IRC, as amended).

Note: Choosing the 20 percent at 50 percent AMI or less minimum set-aside will restrict ALL set-aside units at 50 percent or less of the AMI. Applicants may choose the 40 percent at 60 percent AMI or less minimum set-aside without committing to setting aside any of the units at the 60 percent AMI level. For example, an Applicant may commit to setting aside 40 percent at 50 percent AMI and this would also be considered 40 percent at 60 percent AMI or less. The Average Income Test requires that (a) forty percent or more of the residential units in the Development be both rent-restricted and occupied by individuals whose income does not exceed the imputed income limitation designated by the Owner with respect to the respective unit, subject to the special rules relating to income limitation which (b) require the Owner to designate the imputed income limitation of each unit taken into account under (a) above, such that the average of the imputed income limitations as designated by the Owner shall not exceed 60 percent of the area median income. The designated imputed income limitation of any such unit shall be in 10-percent increments as follows: 20 percent, 30 percent, 40 percent, 50 percent, 60 percent, 70 percent, or 80 percent of the area median income. Applicants that select the Average Income Test must also satisfy the set-aside elections applicable to the tax-exempt bond financing.

- (2) Complete the applicable column(s) of the Set-Aside Breakdown Chart at question ~~6.i.(3)5-ii.(2)~~ of the Application by listing the percentage of residential units, stated in whole numbers, to be set aside at each selected AMI level. Where reasonably possible, Applicants will be required to keep the unit mix consistent across each committed AMI level.

Note: If the MMRB Development has a previous award of Housing Credits with an existing EUA, the Applicant should consider the income levels of the occupied units when selecting the MMRB set-aside commitments. Section 42 IRC allows residents whose income levels have risen above AMI subsequent to initial occupancy to remain in their units even though they would no longer qualify if applying for residency today. The income of these existing occupants may currently exceed 60 percent AMI and thus, these units cannot be counted toward meeting the committed MMRB Total Set-Aside Percentage. In the event an MMRB Applicant commits to a total set-aside percentage which is higher than a percentage that can be actually met due to the “grandfathering” of occupants associated with an existing EUA, the MMRB set-aside percentage can be adjusted by the Corporation during the scoring of the Application.

- (3) Indicate the total affordability period (the total length of time the units will be set-aside – minimum length is 30 years). Before making a commitment, the Applicant should refer to Item ~~9~~7.i. of the Applicant Certification section of the Non-Competitive Application form.

- j. If the work proposed in this Application is not yet complete, indicate the anticipated placed-in-service date.

k. Previous Underwriting:

- (1) Indicate whether the proposed Development is currently being underwritten or has been underwritten previously by any Credit Underwriter under contract with the Corporation and, if known, identify the name of the Credit Underwriter.
- (2) Indicate whether there is an existing Corporation-issued LURA and/or EUA on any portion of the Development site.

l. Limited Development Area (LDA) Restrictions:

For (i) Applications for Corporation-issued MMRB, with or without 4 percent HC, and (ii) Applications for 4 percent HC only to be used with bonds issued by an entity other than the Corporation or a County HFA:

Any proposed Development that is located in a county listed on the following Limited Development Areas (LDA) Chart that has one of the listed Demographic Categories will be subject to the LDA restrictions outlined in (2) below unless it meets the exemption criteria outlined in (1)(a) or (1)(b) below.

(1) Any proposed Development that meets the criteria outlined in (a) or (b) below will be exempted from the LDA restrictions outlined in Item (2) below:

(a) The Applicant selected and qualified at question 6.f. of the Application form for the Development Category of Preservation, Acquisition and Preservation, Redevelopment, or Acquisition and Redevelopment, and meets all of the following criteria:

- The Applicant selected the Family, Elderly, or Homeless Demographic Category at question 5.h. of the Application form; and
- The proposed Development is classified as RA Level 1 or RA Level 2*; and
- The Percentage of Total Units that have Rental Assistance calculated at Section A.6.f. above is greater than 75 percent; and
- The proposed Development consists of 250 total units or less.

or

(b) The Applicant selected the Persons with Special Needs Demographic Commitment at question 6.h. of the Application form, regardless of the Development Category selected at question 6.f. of the Application form.

* RA Levels are described in section 6.f. above.

(2) Any proposed Development that meets the following criteria will, if the Application meets threshold, be invited to enter Credit Underwriting at its own risk and be subjected to additional Credit Underwriting review to determine the effect of the proposed Development on existing Developments within the applicable LDA area:

(a) If it is located within a county or an area of a county that is included on the LDA chart set out below and the Applicant selected one of the listed Demographic Categories, and

(b) If it does not meet one of the exemption requirements outlined in item (1) above.

Limited Development Area (LDA) Chart

<u>County</u>	<u>Demographic Category</u>	<u>Location Description</u>
Hamilton	Family and Elderly	Entire County

7. Features and Amenities:

~~a.k.~~ Required Features and Amenities Commitments are outlined below for Applicants requesting (i) Corporation-issued MMRB, with or without 4 percent HC, or (ii) 4 percent HC only to be used with bonds issued by an entity other than the Corporation or a County HFA.

All selected features and amenities must be located on the Development site. In addition, if the proposed Development will consist of Scattered Sites, the Applicant must locate each selected feature and amenity that is not unit-specific on each of the Scattered Sites, or no more than 1/16 mile from the site with the most units, or a combination of both.

- (1) At question ~~7.a.(1)~~~~5.k.(1)~~ of the Application form, Applicants requesting Corporation-issued MMRB, with or without 4 percent HC, must select enough of the Optional Features and Amenities for All Developments (set out in Item (3)(a) below) to achieve a total point value of at least 6 points.
- (2) At question ~~7.a.(1) and (2)~~~~5.k.(1) and (2)~~ of the Application form, Applicants requesting 4 percent HC only to be used with bonds issued by an entity other than the Corporation or a County HFA must select enough of the features and amenities to achieve a total point value of at least 21 points (at least 12 points from the list of Optional Features and Amenities for All Developments set out in Item (3)(a) below, and at least 9 points from the list of Optional General Features and Amenities set out in Item (3)(b) below).

(3) Features and Amenities:

(a) Optional Features and Amenities for All Developments:

- 30 Year expected life roofing on all buildings (2 points)
- Emergency call service in all units (3 points)
- Exercise room with appropriate equipment. The exercise room must have secured entry. (1 point)
- Community center or clubhouse (3 points)
- Swimming pool (2 points)
- Playground/tot lot, accessible to children with disabilities (must be sized in proportion to Development's size and expected resident population with age-appropriate equipment) (2 points)
- Car care area (for car cleaning/washing/vacuuming) (1 point)
- Two or more parking spaces per total number of units (1 point)
- Picnic area with hard cover permanent roof of a design compatible with the Development, open on all sides, containing at least three permanent picnic tables with benches and an adjoining permanent outdoor grill (1 point)

- Computer lab on-site with minimum one computer per 20 units, with internet access, basic word processing, spreadsheets and assorted educational and entertainment software programs and at least one printer (1 point)
- Each unit wired for high speed internet (1 point)

The Applicant may select only one of the following two items:

- One outside recreation facility consisting of shuffleboard court and appropriate equipment, bocce ball court or lawn bowling court and appropriate equipment, tennis court, full basketball court or volleyball court. (Specific facility will be committed to during Credit Underwriting) (2 points)
- Two outside recreation facilities. (Applicant must provide two separate facilities which must be approved by Corporation staff and servicers during Credit Underwriting) (2 points)

The Applicant may select only one of the following two items:

- Laundry hook-ups and space for full-size washer and dryer inside each unit (1 point)
- Dryer and Energy Star qualified washer in a dedicated space with hook-ups within each unit, provided at no charge to the resident during the term of any lease (3 points)

The Applicant may select only one of the following two items:

- Laundry facilities with full-size dryers and Energy Star qualified washers available in at least one (1) common area on site – minimum 1 washer and 1 dryer for every 12 units (1 point)
- Laundry facilities with full-size dryers and Energy Star qualified washers available in at least one (1) common area on every floor in each building of the Development if Development consists of more than one (1) building and/or more than one (1) story – minimum 1 washer and 1 dryer for every 12 units (2 points)

Applicants that selected Duplexes; or Quadraplexes at question 65.g. of the Application form may select any of the following:

- Garage for each unit which consists of a permanent, fully enclosable structure designed to accommodate one or more automobiles, either attached to the unit or detached but located on the same property, provided at no charge to the resident (3 points)
- Carport for each unit which consists of a permanent covered and paved area, attached to the unit and designed to accommodate one or more automobiles, provided at no charge to the resident (2 points)
- Fenced back yard for each unit which consists of a portion of the property behind each unit that is enclosed by a wood, privacy or chain link fence of a minimum height of 48". Direct access to the fenced back yard for each unit must be afforded solely by a door from that unit and no other unit (2 points)

(b) Optional General Unit Features and Amenities for all new construction units and all rehabilitation units:

- Ceramic tile bathroom floors in all units (2 points)
- Microwave oven in each unit (1 point)

- Marble window sills in all units (1 point)
- Steel exterior door frames for all exterior doors for all units (1 point)
- At least 1½ bathrooms (one full bath and one with at least a toilet and sink) in all 2-bedroom new construction units (2 points) Note: In order to be eligible to select this feature, the Development must have at least one 2-bedroom new construction unit.
- Double compartment kitchen sink in all units (1 point)
- Pantry in kitchen area in all new construction units - must be no less than 20 cubic feet of storage space. Pantry cannot be just an under- or over-the-counter cabinet. (2 points)
- Garbage disposal in all units (1 point)
- New kitchen cabinets and counter top(s) in all rehabilitation units (3 points)
- New bathroom cabinet(s), excluding medicine cabinet, in all rehabilitation units (1 point)
- New plumbing fixtures in kitchen and bathroom(s) in all rehabilitation units [minimum of new sink and new faucets in kitchen and minimum of new tub, new toilet, new sink and new faucets in bathroom(s)] (3 points)

Note: Applicants requesting 4 percent HC only to be used with bonds issued by a County HFA are not required to make a features and amenities commitment.

b.f. Green Building Features:

- (1) At question ~~7.b.5.f.~~ of the Application form, Applicants requesting Corporation-issued MMRB, with or without 4 percent HC, must select at least five (5) of the Green Building Features outlined in Item (3) below.
- (2) At question ~~7.b.5.f.~~ of the Application form, Applicants requesting 4 percent HC only to be used with bonds issued by an entity other than the Corporation or a County HFA must select at least eight (8) of the Green Building Features outlined in Item (3) below.

(3) Green Building Features:

- Programmable thermostat in each unit;
- Energy Star qualified ceiling fans in all bedrooms and living areas;
- Energy Star qualified roofing material or coating;
- Energy Star qualified ventilation fans in all bathrooms;
- Energy efficient windows in each unit, as follows:
 - For all Development Types except Mid-Rise and High Rise: Energy Star rating for all windows in each unit;
 - For Development Type of Mid-Rise or High Rise:
 - U-Factor of 0.50 or less and a SHHGC of 0.25 or less where the fenestration is fixed; and
 - U-Factor of 0.65 or less and a SHHGC of 0.25 or less where the fenestration is operable (i.e., the window opens);
- Install daylight sensors, timers or motion detectors on all outdoor lighting attached to buildings;
- FL Yards and Neighborhoods certification on all landscaping;
- Eco-friendly flooring -- Carpet and Rug Institute Green Label certified carpet and pad, bamboo, cork, recycled content tile, and/or natural linoleum;

- Eco-friendly cabinets – formaldehyde free, and material must be certified by the Forest Stewardship Council or a certification program endorsed by the Programme for the Endorsement of Forest Certification;
- Low-flow water fixtures in bathrooms - WaterSense labeled products or the following specifications:
 - Toilets: 1.28 gallons/flush or less, and
 - Faucets: 1.5 gallons/minute or less, and
 - Showerheads: 2.0 gallons/minute or less;
- Low-VOC paint for all interior walls (50 grams per liter or less for flat paint; 150 grams per liter or less for non-flat paint);
- Energy Star qualified refrigerators, dishwashers and washing machines that are provided by the Applicant;
- Minimum SEER of 15 for unit air conditioners.

Note: Applicants requesting 4 percent HC only to be used with bonds issued by a County HFA are not required to make a green building features commitment.

~~c.m.~~ Resident Programs:

(1) At question ~~7.c.(1)~~~~5.m.(1)~~ of the Application form:

- (a) Applicants requesting Corporation-issued MMRB, with or without 4 percent HC, must select at least one (1) of the Qualified Resident Programs for All Applicants (outlined in Item (3)(a) below).
- (b) Applicants requesting 4 percent HC only to be used with bonds issued by an entity other than the Corporation or a County HFA must select at least four (4) of the Qualified Resident Programs for All Applicants (outlined in Item (3)(a) below)

(2) At question ~~7.c.(2)~~~~5.m.(2)~~ of the Application form, Applicants requesting 4 percent HC only to be used with bonds issued by an entity other than the Corporation or a County HFA must also select enough of the Additional Qualified Resident Programs (outlined in Item (3)(b),(c),(d) or (e) below) for the applicable Demographic Commitment (selected by the Applicant at Section ~~6.h.5.h.~~ of the Application) to achieve a total point value of at least 6 points.

(3) Qualified Resident Programs:

(a) Qualified Resident Programs for All Applicants:

- Health and Wellness

The following resident programs are available for All Developments Except Elderly ALF Developments:

- Health Care – At least quarterly visits by health care professionals such as nurses, doctors, or other licensed care providers. At a minimum, the following services must be provided: health screening, flu shots, vision and hearing tests. Regularly scheduled is defined as not less often than once each quarter. On-site space must be provided. Service must be provided at no cost to the residents, with the exception that the residents may be charged for medications. If the Development consists of Scattered Sites, this resident program must be provided on the Scattered Site with the most units.

- Health and Nutrition Classes – At least 8 hours per year, provided on site at no cost to the residents. Classes must be held between the hours of 8:00 a.m. and 7:00 p.m. and electronic media, if used, must be used in conjunction with live instruction. If the Development consists of Scattered Sites, this resident program must be provided on the Scattered Site with the most units.
- Mentoring – Establish a partnership with a primary or secondary education institution to encourage mentoring, tutoring and/or financial support that will benefit the residents of the proposed affordable housing community. This service must be provided at no cost to the resident. The mentoring service must be offered between the hours of 8:00 a.m. and 7:00 p.m. and electronic media, if used, must be used in conjunction with live instruction.

The following resident programs are available for Elderly ALF Developments only:

- Health and Wellness Services and Activities – The Applicant or its Management Company shall make available, at no cost to the resident, an on-site facility(s) to provide individual and group health and wellness activities provided by organizations or staff licensed, certified or trained to conduct the activities. Electronic media, if used, must be used in conjunction with live instruction.

These activities must include: at least monthly scheduled and structured health care related screenings such as hearing, vision, dental, nutrition, mobility and mental health provided by licensed or certified professionals relevant to the activity; at least weekly scheduled and structured health and wellness educational activities providing information and instruction on topics such as nutrition and diet, medications, mobility and exercise, good mental health and health care self-advocacy; and at least daily activities related to resident appropriate exercise and physical movement.

- Mentoring and Intergenerational– The Applicant or its Management Company shall establish and maintain partnership(s) with a primary or secondary education institution(s) to encourage and coordinate structured mentoring, tutoring and other intergenerational activities among the residents and community’s students. Other examples include foster grandparents, volunteer companion and chore programs. Electronic media, if used, must be used in conjunction with live instruction.
- Resident Activities - These specified activities are planned, arranged, provided and paid for by the Applicant or its Management Company and held between the hours of 9:00 a.m. and 9:00 p.m. These activities must be an integral part of the management plan. The Applicant must develop and execute a comprehensive plan of varied activities that brings the residents together and encourages community pride. The goal here is to foster a sense of community by bringing residents together on a regularly scheduled basis by providing activities such as holiday and special occasion parties, community picnics, newsletters, children’s special functions, etc.
- Financial Counseling - This service must be provided by the Applicant or its Management Company, at no cost to the resident, and must include the following components: must be regularly scheduled at least once each quarter; must

include tax preparation assistance by qualified professionals; must include educational workshops on such topics as “Learning to Budget”, “Handling Personal Finances”, “Predatory Lending”, or “Comparison Shopping for the Consumer”. Counseling sessions must be held between the hours of 9:00 a.m. and 8:00 p.m. and electronic media, if used, must be used in conjunction with live instruction.

- English as a Second Language – The Applicant or its Management Company must make available, at no cost to the resident, literacy tutor(s) who will provide weekly English lessons to residents in private space on-site. If the Development consists of Scattered Sites, this resident program must be provided on the Scattered Site with the most units. Lessons must be offered between the hours of 9:00 a.m. and 8:00 p.m. and electronic media, if used, must be used in conjunction with live instruction.
 - Resident Assistance Referral Program - The Applicant or its Management Company will make available to residents information about services such as crisis intervention, individual and family needs assessment, problem solving and planning, appropriate information and referral to community resources and services based on need, monitoring of ongoing ability to retain self-sufficiency, and advocacy to assist clients in securing needed resources. This service must be provided at no cost to the resident. Electronic media, if used, must be used in conjunction with live instruction. The Developer or Management Company shall verify that the services referral information is accurate and up-to-date at least once every six (6) months.
 - Swimming Lessons – The Applicant or its Management Company must provide on-site swimming lessons for children or adults, at no cost to the resident, at least twice each year.
 - Life Safety Training – The Applicant or its Management Company must provide courses such as fire safety, first aid (including CPR), etc., on-site, at least twice each year, at no cost to the resident. Electronic media, if used, must be used in conjunction with live instruction. If the Development consists of Scattered Sites, this resident program must be provided on the Scattered Site with the most units.
- (b) Additional Qualified Resident Programs for Family Developments (to be eligible to select these programs, the Applicant must have selected the Family Demographic Commitment at question ~~6.h.5.h.~~ of the Application form):

- Homeownership Opportunity Program:

All Applicants may select Financial Assistance with Purchase of a Home (2 points). Applicant commits to provide a financial incentive which includes the following provisions:

- The incentive must be applicable to the home selected by the resident and may not be restricted to or enhanced by the purchase of homes in which the Applicant, Developer, or other related party has an interest;
- the incentive must be not less than 5 percent of the rent for the resident’s unit during the resident’s entire occupancy (Note: Resident will receive the incentive for all months for which the resident is in compliance with

- the terms and conditions of the lease. Damages to the unit in excess of the security deposit will be deducted from the incentive.);
- the benefit must be in the form of a gift or grant and may not be a loan of any nature;
 - the benefits of the incentive must accrue from the beginning of occupancy;
 - the vesting period can be no longer than 2 years of continuous residency; and
 - no fee, deposit or any other such charge can be levied against the resident as a condition of participation in this program.
- After School Program for Children - This program requires the Applicant or its Management Company to provide supervised, structured, age-appropriate activities for children during the after school hours, Monday through Friday. Activities must be on-site and at no charge to the residents. If the Development consists of Scattered Sites, this resident program must be provided on the Scattered Site with the most units. (3 points)
 - First Time Homebuyer Seminars - Applicant or its Management Company must arrange for and provide, at no cost to the resident, in conjunction with local realtors or lending institutions, semiannual on-site seminars for residents interested in becoming homeowners. Seminars must be held between the hours of 9:00 a.m. and 9:00 p.m. and electronic media, if used, must be used in conjunction with live instruction. If the Development consists of Scattered Sites, this resident program must be provided on the Scattered Site with the most units. (1 point)
 - Literacy Training - Applicant or its Management Company must make available, at no cost to the resident, literacy tutor(s) who will provide weekly literacy lessons to residents in private space on-site. Training must be held between the hours of 9:00 a.m. and 9:00 p.m. and electronic media, if used, must be used in conjunction with live instruction. If the Development consists of Scattered Sites, this resident program must be provided on the Scattered Site with the most units. (2 points)
 - Employment Assistance Program - Applicant or its Management Company must provide, at no cost to the resident, a minimum of quarterly scheduled Employment Assistance Program workshops/meetings offering employment counseling by a knowledgeable employment counselor. Such a program includes employability skills workshops providing instruction in the basic skills necessary for getting, keeping, and doing well in a job. The instruction must be offered between the hours of 9:00 a.m. and 9:00 p.m. and must include, but not be limited to, the following:
 - Evaluation of current job skills;
 - Assistance in setting job goals;
 - Assistance in development of and regular review/update of an individualized plan for each participating resident;
 - Resume assistance;
 - Interview preparation; and
 - Placement and follow-up services.

If the Development consists of Scattered Sites and the training is provided on site, it must be provided on the Scattered Site with the most units. If the training is not provided on-site, transportation at no cost to the resident must be provided. Electronic media, if used, must be used in conjunction with live instruction. (2 points)

- (c) Additional Qualified Resident Programs for Homeless Developments (to be eligible to select these programs, the Applicant must have selected the Homeless Demographic Commitment at question ~~6.h.5.h.~~ of the Application form):

Note: All Applicants selecting the Homeless Demographic Commitment in this Application will be required to provide a Case Management Program whereby the Applicant or its Management Company must provide, at no cost to the resident, a Case Manager (at least one for every 25 Homeless or formerly Homeless resident families) whose activities are aimed at assessing resident needs, planning services, linking the service system to a resident, coordinating the various system components, monitoring service delivery, and evaluating the effect of service delivery. Case Managers must possess at least a bachelor's degree in human services or a related field.

- Homeownership Opportunity Program:

All Applicants may select Financial Assistance with Purchase of a Home (2 points). Applicant commits to provide a financial incentive which includes the following provisions:

- The incentive must be applicable to the home selected by the resident and may not be restricted to or enhanced by the purchase of homes in which the Applicant, Developer, or other related party has an interest;
 - the incentive must be not less than 5 percent of the rent for the resident's unit during the resident's entire occupancy (Note: Resident will receive the incentive for all months for which the resident is in compliance with the terms and conditions of the lease. Damages to the unit in excess of the security deposit will be deducted from the incentive.);
 - the benefit must be in the form of a gift or grant and may not be a loan of any nature;
 - the benefits of the incentive must accrue from the beginning of occupancy;
 - the vesting period can be no longer than 2 years of continuous residency; and
 - no fee, deposit or any other such charge can be levied against the resident as a condition of participation in this program.
- After School Program for Children - This program requires the Applicant or its Management Company to provide supervised, structured, age-appropriate activities for children during the after school hours, Monday through Friday. Activities must be on-site and at no charge to the residents. If the Development consists of Scattered Sites, this resident program must be provided on the Scattered Site with the most units. (3 points)

- First Time Homebuyer Seminars - Applicant or its Management Company must arrange for and provide, at no cost to the resident, in conjunction with local realtors or lending institutions, semiannual on-site seminars for residents interested in becoming homeowners. Seminars must be held between the hours of 9:00 a.m. and 9:00 p.m. and electronic media, if used, must be used in conjunction with live instruction. If the Development consists of Scattered Sites, this resident program must be provided on the Scattered Site with the most units. (1 point)
- Literacy Training - Applicant or its Management Company must make available, at no cost to the resident, literacy tutor(s) who will provide weekly literacy lessons to residents in private space on-site. Training must be held between the hours of 9:00 a.m. and 9:00 p.m. and electronic media, if used, must be used in conjunction with live instruction. If the Development consists of Scattered Sites, this resident program must be provided on the Scattered Site with the most units. (2 points)
- Employment Assistance Program - Applicant or its Management Company must provide, at no cost to the resident, a minimum of quarterly scheduled Employment Assistance Program workshops/meetings offering employment counseling by a knowledgeable employment counselor. Such a program includes employability skills workshops providing instruction in the basic skills necessary for getting, keeping, and doing well in a job. The instruction must be offered between the hours of 9:00 a.m. and 9:00 p.m. and must include, but not be limited to, the following:
 - Evaluation of current job skills;
 - Assistance in setting job goals;
 - Assistance in development of and regular review/update of an individualized plan for each participating resident;
 - Resume assistance;
 - Interview preparation; and
 - Placement and follow-up services.

If the Development consists of Scattered Sites and the training is provided on site, it must be provided on the Scattered Site with the most units. If the training is not provided on-site, transportation at no cost to the resident must be provided. Electronic media, if used, must be used in conjunction with live instruction. (2 points)

- Supported Employment Program (4 points) -

The Applicant or its Management Company must provide, at no cost to the resident, a supported employment program. The supported employment program provided must be an approach to vocational rehabilitation for persons with disabilities that emphasizes helping them obtain competitive work in the community and providing the supports necessary to ensure their success in the workplace. The program must help its consumers obtain competitive jobs, specifically competitive jobs are part-time or full-time jobs that exist in the open labor market and pay at least a minimum wage, and that anyone could qualify for regardless of their disability status. The following are the basic components of supported employment:

- Paid Employment - Wages are a major outcome of supported employment. Work performed must be compensated with the same benefits and wages as other workers in similar jobs receive. This includes sick leave, vacation time, health benefits, bonuses, training opportunities, and other benefits. Employment must be for at least 18 hours per week; and
 - Integrated Work Sites - Integration is one of the essential features of supported employment. Individuals with disabilities should have the same opportunities to participate in all activities in which other employees participate and to work alongside other employees who do not have disabilities; and
 - Ongoing Support - A key characteristic which distinguishes supported employment from other employment programs is the provision of ongoing support for individuals with severe disabilities to maintain employment.
- Effective Communication for Conflict Resolution – The Applicant or its Management Company must provide, at no cost to the resident, quarterly on site seminars for residents interested in developing skills in managing conflicts. The program must have components that help residents develop communication skills that will be effective in resolving disagreements before they escalate into full-blown conflicts. The program must provide opportunities for residents to practice ways of speaking and listening that lead to mutually beneficial problem solving. (2 points)
 - Safety Awareness Program – The Applicant or its Management Company must provide, at no cost to the resident, at least quarterly, on site seminars on safety awareness by organizations and/or persons with community and/or personal safety training expertise. The Safety Awareness program must cover strategies for staying safe in the home, neighborhood, workplace or school. (2 points)
 - Stress Management – The Applicant or its Management Company must provide, at no cost to the resident, at least monthly, on site seminars for residents interested in learning stress management techniques. The components of the seminars must cover the science of stress, including stress-related health problems, such as heart disease, high blood pressure, and metabolic syndrome. The seminars must cover strategies to help cope with stress and make residents less vulnerable to stress. (2 points)
- (d) Additional Qualified Resident Programs for Elderly Developments – Non-ALF and ALF (to be eligible to select these programs, the Applicant must have selected the Elderly Demographic Commitment at question ~~6.h.5.a~~ of the Application form):

Note: All Applicants selecting the Elderly Demographic Commitment in this Application that will be providing an Assisted Living Facility (ALF) will be required to provide a Case Management Program whereby the Applicant, Management Company, or its Service Provider must provide, at no cost to the resident, a Case Manager (at least one for every 25 residents) whose activities are aimed at assessing resident needs, planning services, linking the service system to a resident, coordinating the various system components, monitoring service delivery, and evaluating the effect of service delivery. Case Managers must possess at least a bachelor's degree in human services or a related field.

- The following resident programs are available for Elderly Non-ALF Developments only:
 - Daily Activities - Applicant or its Management Company must provide on-site supervised, structured activities, at no cost to the resident, at least five days per week which must be offered between the hours of 8:00 a.m. and 7:00 p.m. If the Development consists of Scattered Sites, this resident program must be provided on the Scattered Site with the most units. (3 points)
 - Assistance with Light Housekeeping, Grocery Shopping and/or Laundry - The Applicant or its Management Company must provide residents with a list of qualified service providers for (a) light housekeeping, and/or (b) grocery shopping, and/or (c) laundry and will coordinate, at no cost to the resident, the scheduling of services. The Developer or Management Company shall verify that the services referral information is accurate and up-to-date at least once every six (6) months. (1 point)
 - Resident Assurance Check-In Program – Applicant commits to provide and use an established system for checking in with each resident on a pre-determined basis not less than once per day, at no cost to the resident. Residents may opt out of this program with a written certification that they choose not to participate. (2 points)
 - 24 Hour Support to Assist Residents In Handling Urgent Issues

An important aging in place best practice is providing the residents access to property management support 24 hours per day, 7 days a week to assist them to appropriately and efficiently handle urgent issues or incidents that may arise. These issues may include, but are not limited to, an apartment maintenance emergency, security or safety concern, or a health risk incident in their apartment or on the property. The management's assistance will include a 24/7 approach to receiving residents' requests for assistance that will include a formal written process for relevant property management staff to effectively assess and provide assistance for each request.

This assistance may include staff:

- visiting or coordinating a visit to a resident's apartment to address an urgent maintenance issue;
- responding to a resident being locked out of their apartment;
- contacting on-site security or the police to address a concern;
- providing contact information to the resident and directing or making calls on a resident's behalf to appropriate community-based emergency services or related resources to address an urgent health risk incident;
- calling the resident's informal emergency contact; or
- addressing a resident's urgent concern about another resident.

Property management staff shall be on site at least 8 hours daily, but the 24 hour support approach may include contracted services or technology to assist the management in meeting this commitment, if these methods

adequately address the intent of this service. The Development's owner and/or designated property management entity shall develop and implement policies and procedures for staff to immediately receive and handle a resident call and assess the call based on a resident's request and/or need.

At a minimum, residents shall be informed by the property management, at move-in and via a written notice(s)/instructions provided to each resident and displayed in the Development's common or public areas, that staff are available to receive resident calls at all times. These notices shall also provide contact information and direction to first contact the community-based emergency services if they have health or safety risk concerns.

- The following resident programs are available for Elderly ALF Developments only:
 - Medication Administration – The Applicant or its Management Company shall provide, pursuant to ALF licensure requirements, staff to administer medications in accordance with a health care provider's order or prescription label. (3 points)
 - Services for Persons with Alzheimer's Disease and Other Related Disorders – The Applicant or its Management Company shall advertise and provide supervision and services to persons with Alzheimer's disease and other related disorders that are specific to each affected resident and pursuant to ALF licensure requirements. (3 points)
- The following resident programs are available for both Elderly Non-ALF and Elderly ALF Developments:
 - Private Transportation – The Applicant or its Management Company must make available a safe and serviceable vehicle that can transport residents to off-site locations for such things as medical appointments, public service facilities, and/or educational or social activities, at no cost to the resident. A nearby bus stop or access to programs such as "Dial-A-Ride" will not be acceptable for purposes of this program. (3 points)
 - Literacy Training - Applicant or its Management Company must make available, at no cost to the resident, literacy tutor(s) who will provide weekly literacy lessons to residents in private space on-site. Training must be held between the hours of 8:00 a.m. and 7:00 p.m. and electronic media, if used, must be used in conjunction with live instruction. If the Development consists of Scattered Sites, this resident program must be provided on the Scattered Site with the most units. (2 points)
 - Computer Training – The Applicant or its Management Company shall make available computer and internet training classes (basic and/or advanced level depending on the needs and requests of the residents). The training classes must be provided at least once a week, at no cost to the resident, in a dedicated space on site. Training must be held between the hours of 8:00 a.m. and 7:00 p.m. and electronic media, if used, must be used in conjunction with live instruction. If the Development consists of Scattered Sites, this resident program must be provided on the Scattered Site with the most units. (2 points)

- (e) Additional Qualified Resident Programs for Persons with Special Needs (to be eligible to select these programs, the Applicant must have selected the Persons with Special Needs Demographic Commitment at question ~~6.h.5.h~~ of the Application form):

Note: All Applicants selecting the Persons with Special Needs Demographic Commitment in this Application will be required to provide a Case Management Program whereby the Applicant, Management Company, or its supportive services provider must provide, at no cost to the resident, a Case Manager (at least one for every 25 Persons with Special Needs households) whose activities are aimed at assessing resident needs, planning services, linking the service system to a resident, coordinating the various system components, monitoring service delivery, and evaluating the effect of service delivery. Case Managers must possess at least a bachelor's degree in human services or a related field.

- Private Transportation - The Applicant or its Management Company must make available a safe and serviceable vehicle that can transport residents to off-site locations for such things as medical appointments, public service facilities, and/or educational or social activities, at no cost to the resident. A nearby bus stop or access to programs such as "Dial-A-Ride" will not be acceptable for purposes of this program. (3 points)
- 24 Hour Support to Assist Residents In Handling Urgent Issues

An important aging in place best practice is providing the residents access to property management support 24 hours per day, 7 days a week to assist them to appropriately and efficiently handle urgent issues or incidents that may arise. These issues may include, but are not limited to, an apartment maintenance emergency, security or safety concern, or a health risk incident in their apartment or on the property. The management's assistance will include a 24/7 approach to receiving residents' requests for assistance that will include a formal written process for relevant property management staff to effectively assess and provide assistance for each request.

This assistance may include staff:

- visiting or coordinating a visit to a resident's apartment to address an urgent maintenance issue;
- responding to a resident being locked out of their apartment;
- contacting on-site security or the police to address a concern;
- providing contact information to the resident and directing or making calls on a resident's behalf to appropriate community-based emergency services or related resources to address an urgent health risk incident;
- calling the resident's informal emergency contact; or
- addressing a resident's urgent concern about another resident.

Property management staff shall be on site at least 8 hours daily, but the 24 hour support approach may include contracted services or technology to assist the management in meeting this commitment, if these methods adequately address the intent of this service. The Development's owner and/or designated property management entity shall develop and implement policies and procedures for staff

to immediately receive and handle a resident call and assess the call based on a resident's request and/or need.

At a minimum, residents shall be informed by the property management, at move-in and via a written notice(s)/instructions provided to each resident and displayed in the Development's common or public areas, that staff are available to receive resident calls at all times. These notices shall also provide contact information and direction to first contact the community-based emergency services if they have health or safety risk concerns.

- Supported Employment Program (4 points) -

The Applicant or its Management Company must provide, at no cost to the resident, a supported employment program. The supported employment program provided must be an approach to vocational rehabilitation for persons with disabilities that emphasizes helping them obtain competitive work in the community and providing the supports necessary to ensure their success in the workplace. The program must help its consumers obtain competitive jobs, specifically competitive jobs are part-time or full-time jobs that exist in the open labor market and pay at least a minimum wage, and that anyone could qualify for regardless of their disability status. The following are the basic components of supported employment:

- Paid Employment - Wages are a major outcome of supported employment. Work performed must be compensated with the same benefits and wages as other workers in similar jobs receive. This includes sick leave, vacation time, health benefits, bonuses, training opportunities, and other benefits. Employment must be for at least 18 hours per week; and
 - Integrated Work Sites - Integration is one of the essential features of supported employment. Individuals with disabilities should have the same opportunities to participate in all activities in which other employees participate and to work alongside other employees who do not have disabilities; and
 - Ongoing Support - A key characteristic which distinguishes supported employment from other employment programs is the provision of ongoing support for individuals with severe disabilities to maintain employment.
- Effective Communication for Conflict Resolution – The Applicant or its Management Company must provide, at no cost to the resident, quarterly on site seminars for residents interested in developing skills in managing conflicts. The program must have components that help residents develop communication skills that will be effective in resolving disagreements before they escalate into full-blown conflicts. The program must provide opportunities for residents to practice ways of speaking and listening that lead to mutually beneficial problem solving. (2 points)
 - Safety Awareness Program – The Applicant or its Management Company must provide, at no cost to the resident, at least quarterly, on site seminars on safety awareness by organizations and/or persons with community and/or personal safety training expertise. The Safety Awareness program must cover strategies for staying safe in the home, neighborhood, workplace or school. (2 points)

- Stress Management – The Applicant or its Management Company must provide, at no cost to the resident, at least monthly, on site seminars for residents interested in learning stress management techniques. The components of the seminars must cover the science of stress, including stress-related health problems, such as heart disease, high blood pressure, and metabolic syndrome. The seminars must cover strategies to help cope with stress and make residents less vulnerable to stress. (2 points)

Note: Applicants requesting 4 percent HC only to be used with bonds issued by a County HFA are not required to make a resident programs commitment.

~~n. Previous Underwriting:~~

- ~~(1) Indicate whether the proposed Development is currently being underwritten or has been underwritten previously by any Credit Underwriter under contract with the Corporation and, if known, identify the name of the Credit Underwriter.~~
- ~~(2) Indicate whether there is an existing LURA and/or EUA on any portion of the Development site.~~

~~o. Limited Development Area (LDA) Restrictions:~~

~~For (i) Applications for Corporation issued MMRB, with or without 4 percent HC, and (ii) Applications for 4 percent HC only to be used with bonds issued by an entity other than the Corporation or a County HFA:~~

~~Any proposed Development that is located in a county listed on the following Limited Development Areas (LDA) Chart that has one of the listed Demographic Categories will be subject to the LDA restrictions outlined in (2) below unless it meets the exemption criteria outlined in (1)(a) or (1)(b) below.~~

~~(1) Any proposed Development that meets the criteria outlined in (a) or (b) below will be exempted from the LDA restrictions outlined in Item (2) below:~~

~~(a) The Applicant selected and qualified at question 5.f. of the Application form for the Development Category of Preservation, Acquisition and Preservation, Redevelopment, or Acquisition and Redevelopment, and meets all of the following criteria:~~

- ~~• The Applicant selected the Family, Elderly, or Homeless Demographic Category at question 5.h. of the Application form; and~~
- ~~• The proposed Development is classified as RA Level 1 or RA Level 2*;² and~~
- ~~• The Percentage of Total Units that have Rental Assistance calculated at Section A.5.f. above is greater than 75 percent; and~~
- ~~• The proposed Development consists of 250 total units or less.~~

~~or~~

~~(b) The Applicant selected the Persons with Special Needs Demographic~~

Commitment at question 5.h. of the Application form, regardless of the Development Category selected at question 5.f. of the Application form.

* RA Levels are described in section 5.f. above.

- (2) ~~Any proposed Development that meets the following criteria will, if the Application meets threshold, be invited to enter Credit Underwriting at its own risk and be subjected to additional Credit Underwriting review to determine the effect of the proposed Development on existing Developments within the applicable LDA area:~~
- (a) ~~If it is located within a county or an area of a county that is included on the LDA chart set out below and the Applicant selected one of the listed Demographic Categories, and~~
- (b) ~~If it does not meet one of the exemption requirements outlined in item (1) above.~~

Limited Development Area (LDA) Chart

County	Demographic Category	Location Description
Alachua	Family	Beginning at the intersection of CR 241/NW 143 rd Street and SR 232/NW 69 th Ave/Millhopper Road, follow SR 232/NW 69 th Ave/Millhopper Road east to NW 97 th Street. Follow NW 97 th Street south to NW 97 th Blvd. Follow NW 97 th Blvd northeast to SR 232/NW 63 rd Blvd/Millhopper Road/NW 53 rd Avenue. Follow SR 232/NW 63 rd Blvd/Millhopper Road/NW 53 rd Avenue east to NW 52 nd Terrace. Follow NW 52 nd Terrace north to NW 73 rd Avenue. Follow NW 73 rd Avenue east to NW 43 Street. Follow NE 43 Street north to US 441. Follow US 441 south to N SR 121. Follow N SR 121 north to NW CR 231. Follow NW CR 231 north to NE 142 nd Avenue/NE 156 th Avenue. Follow NE 142 nd Avenue/NE 156 th Avenue east to CR 225. Follow CR 225 south to NE 77 Avenue/NE 56 Terrence. Follow NE 77 Avenue/NE 56 Terrence east to SR 24/NE Waldo Road. Follow SR 24/NE Waldo Road Northeast to US 301/N Main Street. Follow US 301/N Main Street south to SR 26. Follow SR 26 west to CR 234 / CR 2082. Follow CR 234/ CR 2082 south to US 441. Follow US 441 northwest to SE Wacahoota Road. Follow SE Wacahoota Road north to SR 121/Williston Road. Follow SR 121/Williston Road south to CR 346. Follow CR 346 west to US 41/US 27/SR 45. Follow US 41/US 27/SR 45 northwest to 202nd Street/CR 13. Follow 202nd Street/CR 13 north to NW 46 th Avenue. Follow NW 46 th Avenue east to 170 th St. Follow NW 170 th Street south to NW 32 nd Avenue. Follow NW 32 nd Avenue east to CR 241/NW 143 rd Street. Follow 241/NW 143 rd Street north to intersection with SR 232/NW 69 th Ave/Millhopper Road.
Brevard	Family	Beginning at the northwest corner of the county, follow the county line east to the Indian River. Follow the Indian River south to SR 50/Cheney Hwy. Follow SR 50/Cheney Hwy west to the county line. Follow the county line to the northwest corner of the county.
Clay	Family	From northeastern corner of county, follow the county line west to State Road 21/Blanding Boulevard. Follow State Road 21 south to State Road 224/Kingsely Avenue east to the county line. Follow the eastern county line north to the northeastern corner.
Columbia	Family and Elderly	Entire County
DeSoto	Family and Elderly	Entire County
Duval	Family	Beginning at the intersection of I-295/SR 9A/Henry H Buckman Brg and the county line, follow the county line west to CR 217 Highway. Follow CR 217 Highway north to SR 228/Normandy Blvd./Post Street. Follow SR 228/Normandy Blvd/Post Street northeast to Edgewood Avenue S. Follow Edgewood Avenue S southeast to the St. Johns River. Follow the boundary of the St. Johns River to the intersection of I-295/SR 9A/Henry H. Buckman Bridge and the county line.
Gadsden	Family and Elderly	Entire County

Gulf	Family and Elderly	Entire County
Hamilton	Family and Elderly	Entire County
Hardee	Family and Elderly	Entire County
Hendry	Family and Elderly	Beginning in the northeast corner of the county, follow the county line west to SR 80. Follow SR 80 southeast to CR 833. Follow CR 833 southeast to the county line. Follow the county line north to the northeast corner.

Lake	Family and Elderly	Beginning at the northwest corner of the county, follow the northern portion of the county line east to CR 452/CR 44. Follow CR 452/CR 44 southeast to SR 19/CR 44/S Central Avenue/N Bay Street. Follow SR 19/CR 44/S Central Avenue/N Bay Street south to Citrus Avenue/Lakeshore Drive/Lake Eustis Drive. Follow Citrus Avenue/Lakeshore Drive/Lake Eustis Drive southwest to US 441/SR 19/E Burleigh Blvd. Follow US 441/SR 19/E Burleigh Blvd south to SR 19/Duncan Drive. Follow SR 19/Duncan Drive south to CR 48/CR 470. Follow CR 48/CR 470 northwest to the county line. Follow the county line north to the northwest corner.
Leon	Family and Elderly	The entire County, except the following area which is not considered an LDA area and is exempted from all LDA restrictions and conditions: Beginning at the intersection of N. Macomb Street/Railroad Avenue/Wahnish Way/Fleetwood Way and US 90/SR10/W Tennessee Street, follow Macomb Street/Railroad Avenue/Wahnish Way/Fleetwood Way south to FAMU Way/Oakland Avenue/Drew Street. Follow FAMU Way/Oakland Avenue/Drew Street northeast to Myers Park Drive. Follow Myers Park Drive northwest to Suwannee Street. Follow Suwannee Street north to E. Lafayette Street. Follow E. Lafayette Street west to Franklin Blvd. Follow Franklin Blvd north to US 90/SR10/W Tennessee Street. Follow US 90/SR10/W Tennessee Street west to SR 146/N Meridian Street. Follow SR 146/N Meridian Street north to McDaniel Street. Follow McDaniel Street west to Gadsden Street. Follow Gadsden Street north to E. 7 th Avenue. Follow E. 7 th Avenue west to US 27/Monroe Street. Follow US 27/Monroe Street north to SR 158/W Tharpe Street. Follow SR 158/W Tharpe Street west to High Road. Follow High Road south to US 90/SR10/W Tennessee Street. Follow US 90/SR10/W Tennessee Street east to N. Macomb Street/Railroad Avenue/Wahnish Way/Fleetwood Way.
Levy	Family and Elderly	Beginning at the southwest corner of the county line and the Gulf of Mexico, follow the county line around the western and northern boundaries of the county to CR 337/NE 80 th Avenue. Follow CR 337/NE 80 th Avenue south to SR 24/S Thrasher Drive. Follow SR 24/S Thrasher Drive southwest to the Gulf of Mexico. Follow the Gulf of Mexico to the southwest corner of the county line.
Putnam	Family and Elderly	Beginning at the intersection of SR 100 and CR 309, follow CR 309 south to SR 20/Crill Avenue. Follow SR 20/Crill Avenue west to Cricket Avenue/Massey Lane/ W Peniel Road. Follow Cricket Avenue/Massey Lane/ W Peniel Road southeast to SR 19. Follow SR 19 southwest to Rodeheavers Boys Ranch Road. Follow Rodeheavers Boys Ranch Road east to the St. Johns River. Follow the St. Johns River northeast to Rice Creek. Follow Rice Creek west to US 17/Orange Avenue S. Follow US 19/Orange Avenue S south to SR 216/216e. Follow SR 216/216e south to SR 100. Follow SR 100 northwest to the intersection of CR 309.
Santa Rosa	Family and Elderly	Beginning at the intersection of CR 184A/Berryhill Road and CR 197A/Woodbine Road, follow CR 184A/Berryhill Road east to SR 89/Dogwood Drive. Follow SR 89/Dogwood Drive south to US 90/Caroline Street. Follow US 90/Caroline Street northeast to CR 89/Ward Basin Road. Follow CR 89/Ward Basin Road south to I-10. Follow I-10 southwest to Blackwater Bay. Follow Blackwater Bay south as it merges with East Bay and Pensacola Bay. Follow Pensacola Bay to the county line. Follow the County line north to US 90/Highway 90. Follow US 90/Highway 90 north to CR 197A/Woodbine Road. Follow CR 197A/Woodbine Road north to CR 184A/Berryhill Road.

8.6. Funding:

a. Funding Request:

State the amount of MMRB and/or Non-Competitive Housing Credits requested in this Application.

Note: MMRB Loans are issued in increments of \$5,000.

b. Finance Documents:

The total amount of monetary funds determined to be in funding proposals must equal or exceed uses.

If requesting Corporation-issued MMRB only, provide the information outlined in Sections (1) and (5) below.

If requesting Corporation-issued MMRB and 4 percent HC, provide the information outlined in Sections (1), (4) and (5) below.

If requesting 4 percent HC only to be used with bonds issued by a County HFA, provide the information outlined in Sections (2)(a) or (2)(b), as applicable, (4) and (5) below.

If requesting 4 percent HC only to be used with bonds issued by an entity other than the Corporation or a County HFA, provide the information outlined in Sections (3) through (5) below.

(1) If requesting Corporation-issued MMRB:

- (a) Indicate the Credit Enhancer's or Bond Purchaser's name and the term and expected rating. Provide the Credit Enhancer's Commitment or Bond Purchaser's Letter of Interest with a contact person's name, address and telephone number, credit underwriting standards and an outline of proposed terms as "**Exhibit 6 8**" to the Application form. The stated amount of the Commitment or Letter of Interest shall not be less than the proposed principal amount of the bonds (including any proposed Taxable Bonds); and
- (b) Provide the completed Development Cost Pro Forma, the Detail/Explanation Sheet, if applicable, the Construction or Rehab Analysis and the Permanent Analysis as "**Exhibit 6 8**" to the Application form.

(2) If requesting 4 percent HC only to be used with bonds issued by a County HFA:

- (a) If the Credit Underwriting for the bonds is complete and it was prepared by a Credit Underwriter under contract with the Corporation, provide a complete copy of the final Credit Underwriting Report as "**Exhibit 7 9**" to the Application form.

or

- (b) If the Credit Underwriting for the bonds has not been completed or has been completed by a credit underwriter not under contract with the Corporation, provide the following information as "**Exhibit 7 9**" to the Application form:
 - (i) Provide the completed Development Cost Pro Forma, the Detail/Explanation Sheet, if applicable, the Construction or Rehab Analysis and the Permanent Analysis;
 - (ii) For the bond financing:

- State the name of the assigned Credit Underwriter; and
- Provide a copy of the inducement resolution or acknowledgement resolution awarding the bonds; and

(iii) Provide the information outlined in Sections (4) and (5) below.

- (3) If requesting 4 percent HC only to be used with bonds issued by an entity other than the Corporation or a County HFA:

If the first mortgage financing is to come from tax-exempt multifamily bonds issued by an entity other than the Corporation or a County HFA, indicate the source and amount of the bonds and provide evidence of the following items as **“Exhibit 8 10”** to the Application form in order to meet threshold:

- (a) Provide the completed Development Cost Pro Forma, the Detail/Explanation Sheet, if applicable, the Construction or Rehab Analysis and the Permanent Analysis; and

(b) For the bond financing:

- (i) If the financing has not closed, provide a copy of the signed TEFRA letter which is Development-specific.

or

- (ii) If the financing has closed, provide a copy of the executed note or executed loan agreement, which shows the Applicant as the borrower/direct recipient/mortgagee and contains the terms and interest rate, as well as a copy of the recorded mortgage, if applicable. If the proper documentation is provided, financing that has closed will count as a commitment, but in order for it to count as a permanent financing source, it must have a remaining term of at least 10 years.

Note: Any commitment for financing containing a contingent FNMA or similar takeout provision will not be considered a commitment unless the agreement to purchase the loan executed by all parties is attached.

- (4) Housing Credit Equity:

All Applicants requesting HC must provide the following documentation, as applicable, as **“Exhibit 9 11”** to the Application form:

- (a) If the equity agreement has closed, provide a copy of the closed limited partnership agreement or limited liability company operating agreement;

or

- (b) If the equity agreement has not closed, provide a copy of the equity proposal, executed by both parties.

Note: The equity agreement must meet the “15% criteria” described in Section 7.j. of the Application form.

- (5) Other Non-Corporation Financing:

All Applicants must provide a copy of all other funding proposals that will be used as a source of financing for the proposed Development as “**Exhibit 10 12**” to the Application form.

9.7. Applicant Certification:

The Application labeled “Original Hard Copy” must be submitted with an original signature. Signatures in blue ink are preferred.

Addenda:

The Applicant may use the Addenda section of the Application form to provide any additional information or explanatory addendum for items in the Application. Please specify the particular Item to which the additional information or explanatory addendum applies.

Part B. Credit Underwriting Review, Rule Requirements, and Fees

1. If requesting 4 percent HC only to be used with bonds issued by a County HFA:

a. Credit Underwriting Review:

Applications that meet threshold will be subjected to the applicable Credit Underwriting process outlined in (1) or (2) below:

(1) If the final Credit Underwriting Report (CUR) for the bonds was prepared by a Credit Underwriter under contract with the Corporation and was provided as outlined in Section A.8.6-b.(2)(a) above, the Corporation will issue an invitation to enter Credit Underwriting and upon acceptance and payment of the required Credit Underwriting fee, the Credit Underwriter will prepare an update to the final bonds CUR to ensure compliance with the requirements of Section 42 of the IRC, as amended. The Preliminary Determination for the 4 percent HC will be issued upon completion of a satisfactory CUR update.

or

(2) If the Credit Underwriting Report (CUR) for the bonds has not been completed or has been completed by a credit underwriter not under contract with the Corporation and the required information was provided as outlined in Section A.8.6-b.(2)(b) above, the Corporation will issue an invitation to enter Credit Underwriting and upon acceptance and payment of the required Credit Underwriting fee, the Credit Underwriter will prepare a CUR in accordance with the requirements of paragraph 67-21.028(2)(d), F.A.C. The Preliminary Determination for the 4 percent HC will be issued upon completion of a satisfactory CUR.

b. Rule Requirements:

The proposed Development will be subjected to paragraphs 67-21.028(2)(a) through (c) and (e) through (n), F.A.C. Applications that meet the criteria outlined in Section B.1.a.(2) above will also be subjected to paragraph 67-21.028(2)(d), F.A.C.

c. Fees:

The proposed Development will be subjected to the fee requirements of Rule Chapter 67-21, F.A.C. In addition, the fees outlined in Part C below that pertain to Housing Credits will apply to this Application.

2. If requesting Corporation-issued MMRB, with or without 4 percent HC:

The proposed Development will be subjected to the Credit Underwriting, rule and fee requirements that pertain to MMRB and Housing Credits, as set out in Rule Chapter 67-21, F.A.C., as well as the fees outlined in Part C below that pertain to MMRB and Housing Credits.

3. If requesting 4 percent HC only to be used with bonds issued by an entity other than the Corporation or a County HFA:

The proposed Development will be subjected to the Credit Underwriting, rule and fee requirements that pertain to Housing Credits, as set out in Rule Chapter 67-21, F.A.C., as well as the fees outlined in Part C below that pertain to Housing Credits.

Part C. Fees

The Corporation and, if applicable, the Credit Underwriter shall collect, via check, money order, or electronic funds transfer from the Applicant, the following fees and charges in conjunction with the MMRB and/or HC Programs. Failure to pay any fee shall cause the firm loan commitment under any program to be terminated or shall constitute a default on the respective loan documents and/or shall cause the HC allocation to be rescinded.

1. Application Fee:

All Applicants requesting MMRB and/or HC shall submit to the Corporation as a part of the Application submission a non-refundable Application fee of \$3,000.

2. TEFRA Fee:

Applicants requesting MMRB must submit to the Corporation as a part of the Application submission a non-refundable TEFRA fee of \$1,000 per Application.

3. Credit Underwriting Fees:

The following fees are not the fees that will be charged, but are listed below for estimation purposes of completing your pro-forma in the Application. The actual fees will be determined based on the current contract, including any addendum, for services between Florida Housing Finance Corporation and the Credit Underwriter(s) in effect at the time underwriting begins. All Credit Underwriting fees shall be paid by the Applicant prior to the performance of any work by the Credit Underwriter.

a. Initial fee:

- (1) Non-Competitive HC only..... ~~\$12,468~~ ~~\$11,696~~
- (2) MMRB only..... ~~\$14,835~~ ~~\$13,970~~
- (3) MMRB and Non-Competitive HC.... ~~\$19,464~~ ~~\$18,131*~~

*The MMRB fee plus the multiple program fee of ~~\$4,629~~ ~~\$4,161~~ for the Non-Competitive HC.

(4) MMRB Subsidy Layering Review:

- (a) If previously underwritten ~~\$2,387~~ ~~\$2,294~~
- (b) If not previously underwritten ~~\$4,192~~ ~~\$4,030~~

- b. Re-underwriting fee: ~~\$177~~ ~~\$170~~ per hour, not to exceed ~~\$7,841~~ ~~\$7,536~~ for MMRB and/or HC.

If a Housing Credit Development involves Scattered Sites of units within a single market area, a single Credit Underwriting fee shall be charged. Any Housing Credit Development requiring further analysis by the Credit Underwriter pursuant to Section 42(m)(2) of the IRC will be subject to an hourly fee of ~~\$177~~ ~~\$170~~.

4. Administrative Fees:

With respect to the HC Program, each for-profit Applicant shall submit to the Corporation a non-refundable administrative fee in the amount of 9 percent of the annual Housing Credit Allocation amount stated in the Preliminary Determination. The administrative fee shall be 5.5 percent of the stated annual Housing Credit Allocation for Non-Profit Applicants. The administrative fee must be received by the Corporation as stated in the Preliminary Determination. In the event the Final Housing Credit Allocation amount exceeds the annual Housing Credit Allocation amount stated in the Preliminary Determination, the Applicant is responsible for paying the applicable administrative fee on the excess amount before IRS Forms 8609 are issued for the Development.

5. Compliance Monitoring Fees:

The following fees are not the fees that will be charged, but are listed below for estimation purposes of completing your pro-forma in the Application. The actual fees and percentage increases will be determined based on the current contract, including any addendum, for services between Florida Housing Finance Corporation and the Compliance Monitor(s). Based on the services contract, all compliance monitoring fees (other than those collected for RD Developments) include an automatic annual increase of 3 percent of the prior year's fee, as described below.

- a. HC:

Compliance Monitoring Fee –

- (1) All Developments other than RD – The annual fee to be comprised of a base fee of ~~\$165~~ ~~\$158~~ per month + an additional fee per set-aside unit of ~~\$10.11~~ ~~\$9.74~~ per year, subject to a minimum of ~~\$258~~ ~~\$248~~ per month, and includes an automatic annual increase of 3 percent of the prior year's fee. Since fees for the full Housing Credit Extended Use Period will be collected at final allocation, the fee amount is discounted at a rate of 2 percent and based upon the payment stream from the Corporation to the monitoring agent.
- (2) RD Developments – The annual fee is \$450 per year. Since fees for the full Housing Credit Extended Use Period will be collected at final allocation, the fee amount is

discounted at a rate of 2 percent and based upon the payment stream from the Corporation to the monitoring agent.

NOTE: Upon prepayment or repayment of the RD loan, the previously identified RD Development will be identified as a non-RD Development and the annual compliance monitoring fee will be adjusted accordingly. The compliance monitoring fee as described in (1) above for the remaining Housing Credit Extended Use Period will be due and payable in full upon billing sent directly to the Development.

- b. MMRB (with or without HC) – Annual fee is comprised of a base fee of ~~\$165~~ ~~\$158~~ per month + an additional fee per set-aside unit of ~~\$10.11~~ ~~\$9.71~~ per year, subject to a minimum of ~~\$258~~ ~~\$248~~ per month, and includes an automatic annual increase of 3 percent of the prior year's fee. Where a difference exists between set-aside requirements for MMRB and HC, the fees collected will be based upon the higher number of set-aside units.
- c. Follow-up Review - ~~\$177~~ \$170 per hour.

6. Tax-Exempt Mortgage Loan Servicing Fees:

- a. Annual Permanent Loan Servicing Fees:

The following fee is listed for estimation purposes only; the actual fees will be determined based on the current contract, including any addendum, for services between Florida Housing Finance Corporation and Servicer(s) in effect at the time of loan closing.

- 2.3 bps of the outstanding bond balance annually, subject to a minimum of ~~\$212~~ \$204 per month.

- b. Construction Loan Servicing Fees:

The following fees are listed for estimation purposes only; the actual fees will be determined based on the current contract, including any addendum, for services between Florida Housing Finance Corporation and Servicer(s) in effect at the time of loan closing.

- ~~\$177~~ \$170 per hour for an in-house review of a draw request, ~~up to a maximum of \$2,080 per draw.~~
- ~~\$177~~ \$170 per hour for on-site inspection fees, up to a maximum of ~~\$1,759~~ \$1,694 per inspection.

Additional legal, cost of issuance, bond underwriting, credit enhancement, liquidity facility and servicing fees associated with the financing shall also be paid by the Applicant.

7. Additional MMRB Fees:

~~The following fees may not be the fees that will be charged, but are listed below for estimation purposes of completing your pro forma in the Application. The MMRB Applicants shall be responsible for all MMRB actual fees as will be outlined in based on the current contracts, including any addendum, for services between Florida Housing Finance Corporation and the professionals involved, as well as on the loan documents commitment signed by the Applicant and Florida Housing Finance Corporation.~~

- a. ~~Short Term Bond Redemption Fees:~~

Bond Amount	≤ 18-Month	18+ to 24-Month	24+ to 36-Month
Up to \$15 million	33 bps	25 bps	18 bps
Over \$15 million, up to \$20 million	32 bps	24 bps	17 bps
Over \$20 million, up to \$25 million	31 bps	23 bps	16 bps
Over \$25 million, up to \$30 million	30 bps	22 bps	15 bps
Over \$30 million, up to \$40 million	29 bps	21 bps	14 bps
Above \$40 million	28 bps	20 bps	13 bps

~~————— Note: The minimum Short Term Bond Redemption Fee is \$25,000.~~

~~————— b. Ongoing Fees:~~

~~————— Program Administration Fee will be an annual fee of 24 basis points based on the amount of bonds outstanding, but not less than \$10,000 per annum.~~

~~————— NOTE: The ongoing Program Administration Fee does not include compliance monitoring fees, loan servicing fees, and trustee fees.~~

8. Additional HC Fees:

HC Applicants shall be responsible for all processing fees related to the HC Program.