

**FIRST AMENDMENT  
TO AGREEMENT NUMBER 072-2017**

THIS FIRST AMENDMENT (“Amendment”) to AGREEMENT NUMBER 072-2017 is entered into and effective as of November 1, 2020, (“Effective Date”) by and between FLORIDA HOUSING FINANCE CORPORATION, a public corporation and a public body corporate and politic (“Florida Housing”), and CATHOLIC CHARITIES, DIOCESE OF ST. PETERSBURG, INC. (“Grantee”).

RECITALS

- A. Florida Housing and Grantee entered into Agreement Number 072-2017, dated May 30, 2018, (“Agreement”) wherein Grantee agreed to conduct research related to developments funded to serve high needs/high cost populations. As used herein, “Agreement” shall include within its meaning any modification or amendment to the Agreement.
- B. The initial term of the Agreement was from June 1, 2017 through October 31, 2020.
- C. Section 5 of the Agreement provides that the Agreement may be renewed for a period of up to one year.
- D. Florida Housing and Grantee wish to renew the Agreement through June 30, 2021, subject to the terms and conditions set forth below.

AGREEMENT

NOW THEREFORE, in consideration of the terms and conditions contained in the Agreement and this Amendment, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the parties agree as follows:

A. Effective Date; Recitals. Upon its execution by both parties, this Amendment shall be effective as of the Effective Date. The above recitals are true and correct and form a part of this Amendment.

B. Renewal. The Agreement is hereby renewed for a term beginning November 1, 2020 and ending June 30, 2021. Subject to any previous amendments or modifications and except as otherwise provided in this Amendment, the Agreement shall stand renewed upon its same terms and conditions.

C. Amendments.

1. The Agreement is amended by deleting Section 13 thereof in its entirety and substituting in its place the following:

13. PUBLIC RECORDS. Files Subject to Florida's Public Records Law: Any file, report, record, document, paper, letter, or other material received, generated,

maintained or sent by the Grantee in connection with this Agreement is subject to the provisions of Section 119.01-.15, Fla. Stat., as may be amended from time to time (Florida's Public Records Law). The Grantee represents and acknowledges that it has read and understands Florida's Public Records Law and agrees to comply with Florida's Public Records Law.

Pursuant to Section 119.0701(2)(b), Fla. Stat., the Grantee will be required to comply with public records laws, specifically to:

a. Keep and maintain public records required by the public agency to perform the service.

b. Upon request from the public agency's custodian of public records, provide the public agency with a copy of the requested records or allow the records to be inspected or copied within a reasonable time at a cost that does not exceed the cost provided in this chapter or as otherwise provided by law.

c. Ensure that public records that are exempt or confidential and exempt from public records disclosure requirements are not disclosed except as authorized by law for the duration of the contract term and following completion of the contract if the contractor does not transfer the records to the public agency.

d. Upon completion of the contract, transfer, at no cost, to the public agency all public records in possession of the contractor upon termination of the contract or keep and maintain public records required by the public agency to perform the service. If the contractor transfers all public records to the public agency upon completion of the contract, the contractor shall destroy any duplicate public records that are exempt or confidential and exempt from public records disclosure requirements. If the contractor keeps and maintains public records upon completion of the contract, the contractor shall meet all applicable requirements for retaining public records. All records stored electronically must be provided to the public agency, upon request from the public agency's custodian of public records, in a format that is compatible with the information technology systems of the public agency.

Notwithstanding anything contained herein to the contrary, the provisions and requirements of this paragraph shall only apply if and when the Grantee is acting on behalf of Florida Housing.

**If the Grantee has questions regarding the application of Chapter 119, Florida Statutes, to the Grantee's duty to provide public records relating to this Agreement, contact the Corporation Clerk at:**

**Corporation Clerk**  
**227 N. Bronough Street, Suite 5000**  
**Tallahassee, Florida 32301-1329**  
**Phone: 850.488.4197**  
**E-mail: Corporation.Clerk@floridahousing.org**

2. The Agreement is amended further by creating Section 20, Other Provisions:

20. OTHER PROVISIONS

a. The Grantee understands and agrees to cooperate with any audits conducted in accordance with the provisions set forth in Section 20.055(5), Fla. Stat.

b. The Grantee understands and agrees to comply with the provisions of section 448.095, Fla. Stat.

D. General Terms and Conditions.

1. This Amendment shall be construed and enforced according to the laws of the State of Florida and venue for any actions arising hereunder shall lie in Leon County, Florida.

2. This Amendment shall be binding upon and inure to the benefit of the parties hereto, and their respective heirs, successors and assigns.


3. This Amendment may be executed in counterpart originals, no one of which needs to contain the signatures of all parties hereto, but all of which together shall constitute one and the same instrument.

4. All rights, powers and remedies provided herein may be exercised only to the extent that the exercise thereof does not violate any applicable law and are intended to be limited to the extent necessary so that they will not render this Amendment invalid, illegal, or unenforceable under any applicable law. If any term of this Amendment shall be held to be invalid, illegal or unenforceable, the validity of the other terms of this Amendment shall in no way be affected thereby.

5. Except as specifically modified by this Amendment, the Agreement shall remain in full force and effect, and all of the terms and provisions thereof are hereby ratified and confirmed.

IN WITNESS WHEREOF, the Parties have executed this FIRST AMENDMENT to Agreement Number 072-2017, by a duly authorized representative, effective as of November 1, 2020.

CATHOLIC CHARITIES, DIOCESE OF ST. PETERSBURG, INC.


By: 

Name/Title: Margaret Rogers / Executive Director

Date: 11/10/2020

FEIN: 59-0875805

FLORIDA HOUSING FINANCE CORPORATION

By: 

Name/Title: Hugh R. Brown/General Counsel

Date: 11-10-20

**GRANT AGREEMENT FOR MATCH FUNDING TO BE USED FOR  
RESEARCH RELATED TO DEVELOPMENTS FUNDED TO SERVE HIGH  
NEEDS/HIGH COST POPULATIONS  
BETWEEN  
FLORIDA HOUSING FINANCE CORPORATION  
AND  
CATHOLIC CHARITIES, DIOCESE OF ST. PETERSBURG, INC.**

**THIS GRANT AGREEMENT** (this "Agreement") is made and effective as of June 1 \_\_\_\_\_, 2017 (the "Effective Date"), by and between FLORIDA HOUSING FINANCE CORPORATION, a public corporation and a public body corporate and politic duly created and existing under the laws of the State of Florida, and its successors and assigns ("Florida Housing"), and CATHOLIC CHARITIES, DIOCESE OF ST. PETERSBURG, INC., its successors and assigns (the "Grantee").

**RECITALS**

(a) Florida Housing issued RFP 2014-102 (the "RFP") on January 17, 2014, for the purpose of providing funding for developments serving high needs/high cost chronically homeless residents. The Grantee, one of the funding recipients under the RFP, has constructed a high needs/high cost development known as "[name development]" (the "Development"). Under the terms of the RFP, and in connection with award of the RFP funds, the Grantee agreed to conduct a multi-year study (the "Multi-Year Study") to quantify cost/benefit data showing what, if any, public savings occurred as a result of housing the intended residents in its development. The multi-year study was also required to provide a quantitative and qualitative evaluation of the residents' health, self-sufficiency and other outcomes over the study period.

(b) At its meeting held on December 11, 2015, Florida Housing's Board of Directors approved the award of a grant of up to \$50,000 (the "Grant Funds") in match funding to the Grantee to be used only for paying a portion of the costs of the Multi-Year Study related to the Development.

(c) As a condition to disbursing the Grant Funds to the Grantee, Florida Housing and the Grantee have determined to enter into this Agreement in which they set forth the terms and conditions governing the Multi-Year Study and the disbursement and use of the Grant Funds.

**NOW, THEREFORE**, in consideration of the mutual covenants and undertakings set forth herein, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Florida Housing and the Grantee do hereby contract and agree as follows:

## **AGREEMENT**

**1. INCORPORATION OF RECITALS; DEFINITIONS.** The above recitals are true and correct and are incorporated into and made a part hereof. Any term not otherwise defined in this Agreement shall have the meaning set forth in the RFP. The terms and conditions of the RFP as published on Florida Housing's website are incorporated herein by reference.

**2. AGREEMENT TO CARRY OUT MULTI-YEAR STUDY; AGREEMENT TO DISBURSE GRANT FUNDS.**

(a) The Grantee agrees to carry out the Multi-Year Study in accordance with the RFP and this Agreement, to report the results of such study, and to provide such other deliverables, to Florida Housing, all in the manner and upon the terms and conditions of this Agreement.

(b) In return, Florida Housing agrees to disburse the Grant Funds to reimburse eligible costs incurred and paid by the Grantee in conducting the Multi-Year Study, subject to and in accordance with the terms and conditions of this Agreement.

**3. MULTI-YEAR STUDY; PARAMETERS; COMPLETION DATE; FINAL REPORT.**

(a) The Grantee agrees to partner with experienced researchers to engage in the Multi-Year Study to quantify cost/benefit data showing what, if any, public savings have occurred as a result of housing the intended residents in the Development.

(b) The study must also provide a quantitative and qualitative evaluation of the residents' health and other outcomes over the study period.

(c) While resident participation in the study is voluntary, Florida Housing expects all residents in the Development to be included as study participants.

(d) The study period must include at least two years of resident data for the period post occupancy.

(e) The Multi-Year Study must be completed and a final report, satisfactory in form and content, acceptable to Florida Housing detailing the results must be issued on or before the termination date set forth in Section 5 of this Agreement.

**4. METHODOLOGY; INFORMATION TO BE PROVIDED.**

It shall be a condition to Florida Housing's disbursing any Grant Funds hereunder that the Grantee shall have provided to Florida Housing, for review and approval, the following information with respect to the Grantee's Multi-Year Study:

(a) A significantly developed methodology for review and comment before loan closing, a copy of which is attached hereto as **Exhibit A**. The description of the study design must include the following elements:

- The objectives of the study;
- The questions that researchers will be studying;
- The method by which the demographic data will be collected;
- The method by which the cost data will be collected;
- The entity or entities performing the demographic data collection;
- The entity or entities performing the cost data collection;
- The method by which the data will be compiled and analyzed;
- Describe how each resident's outcomes will be measured;
- If the study is to be part of a broader High Needs/High Costs study carried out for the community in which the Development is located, describe the following:
  - The objectives of the broader study;
  - How the study parameters for this RFA fit into the broader study;
  - How the data and analysis for the study will be managed within the broader study;
- For the residents' participating in the study, describe how the quantitative and qualitative outcomes will be measured and evaluated; and
- Describe the key roles and responsibilities of each of the research entities that will be a contributor to the study including, but not limited to, universities, local and state government agencies, healthcare providers, homeless coalitions and lead agencies, and supportive services providers.

(b) Provide a copy of an executed contract/agreement between the Grantee and the principal research organization (the organization that will be leading the research) outlining the scope of work, roles and responsibilities of each party, and the agreed upon deliverables, a copy of which is attached hereto as **Exhibit B**.

(c) Describe the experience of the principal organization that is responsible for the coordination and implementation of the study and/or provide a resume or curriculum vitae that describes the qualifications of the lead researcher for the principal research organization, a copy of which is attached hereto as **Exhibit C**.

(d) Describe the other funding sources to be used to fund and provide match for the study, a copy of which is attached hereto as **Exhibit D**.

(e) Provide a timeline for the completion of the study, a copy of which is attached hereto as **Exhibit E**.

**5. TERM OF AGREEMENT.**

Funding through this Agreement shall be available to the Grant Recipient beginning on the Effective Date and continuing until midnight October 31, 2020. If not renewed as provided herein, this Agreement shall terminate on that date (the "Termination Date"). If the parties mutually agree in writing, the Agreement may be renewed once for an additional period not to exceed one (1) year. The renewal is at the discretion of Florida Housing, and shall be contingent upon Florida Housing's assessment of satisfactory progress by the Grantee in carrying out the Multi-Year Study by means of participation by the Grantee in telephonic update meetings and telephonic or in-person peer meetings conducted by Florida Housing from time to time during the term of this Agreement, as well as Florida Housing's evaluation of deliverables and interim progress reports submitted by the Grantee as provided elsewhere in this Agreement.

**6. MATCH FUNDS; GRANT FUNDS RESTRICTIONS.**

(a) The amount of Grant Funds is up to \$50,000.

(b) For every one dollar (\$1.00) in Grant Funds provided by Florida Housing under this Agreement, the Grantee must provide at least two dollars (\$2.00) in match funds from the Grantee's own or other sources that is designated and used specifically for the Multi-Year Study.

(c) It shall be a condition to Florida Housing's disbursing Grant Funds hereunder that the match requirement be satisfied to the extent necessary to support the amount of Grant Funds requested and that the match funds were used to pay for eligible Multi-Year Study services and expenses as evidenced by invoice and/or other documentation acceptable to Florida Housing in order to draw upon the Grant Funds. The supporting documentation shall include the Grantee's written certification(s), in form and content acceptable to Florida Housing, certifying that the costs and expenses were incurred and paid by the Grantee in carrying out the Multi-Year Study and that such costs and expenses are eligible for reimbursement with Grant Funds under the terms of this Agreement. A copy of the written certification form is attached hereto as **Exhibit F**.

(d) If a state university is part of the Grantee's research team, the indirect cost percentage assessed by that university must be limited to no more than 10 percent for the portion of the work carried out that is funded through this Agreement.

(e) The Grant Funds may not be used by the Grantee to pay for the Grantee's internal staff or operations related or unrelated to the Multi-Year Study, even if Grant Funds would be used to pay for the staff's time on the Multi-Year Study.



**7. USE OF GRANT FUNDS; DISBURSEMENT OF GRANT FUNDS; INTERIM PROGRESS REPORTS; FINAL REPORT.**

(a) Subject to the terms of this Agreement, the Grant Funds may be used only to reimburse eligible costs and expenses incurred and paid by the Grantee in carrying out the Multi-Year Study as set forth below.

(b) The Grant Funds shall be used to reimburse the Grantee for eligible costs incurred and paid by the Grantee for the Multi-Year Study, including those costs incurred and paid by the Grantee prior to the Effective Date of this Agreement provided such costs are otherwise eligible costs incurred and paid by the Grantee for the Multi-Year Study. The Grant Funds will be issued in draws based upon written requests (“funding requests”) submitted by the Grantee from time to time during the term of this Agreement. Each funding request shall include one or more invoices together with evidence of payment of same by the Grantee, the deliverables related to the invoice(s) or, if no deliverables, a description of the work or services carried out in connection with the Multi-Year Study, the certification required under Section 6. (c) above, and an interim progress report detailing the status of the Multi-Year study and its stage of completion as a percentage with 100% representing the completion of the study and issuance of the final report. Upon approval of the funding request, Florida Housing will reimburse the Grantee one-third of the amount of the paid invoice(s) supporting the funding request (the remaining two-thirds represent the Grantee’s match funds).

(c) Disbursement of the Grant Funds shall at all times be subject to the following conditions:

- i. Florida Housing’s determination that satisfactory progress is being made by the Grantee toward completion of the Multi-Year Study consistent with the amount of Grant Funds advanced to date as evidenced by phone conference discussions with the Grantee and the interim progress reports submitted by the Grantee to Florida Housing.
- ii. There being no default by the Grantee under this Agreement.

(d) The foregoing notwithstanding, 10% of the Grant Funds (\$5,000) shall be withheld pending Grantee’s completion of the Multi-Year Study and submission of the final report to Florida Housing.

**8. COVENANTS AND AGREEMENTS OF THE GRANTEE.** The Grantee covenants and agrees, during the term hereof, as follows:

(a) That the Grantee shall use the Grant Funds only for the purposes authorized herein and for no other purpose.

(b) That the Grantee shall conduct and carry out or cause to be conducted and carried out the Multi-Year Study in accordance with the methodology submitted to and approved by Florida Housing.

(c) That the Grantee shall adhere to the timeline (Exhibit E) submitted to and approved by Florida Housing for completion of the Multi-Year Study and, in all events, complete or cause to be completed the Multi-Year Study and issue or cause to be issued the final report on or before the Termination Date set forth in Section 5 of this Agreement.

(d) That the Grantee shall promptly notify Florida Housing of any failure or inability of the Grantee to perform any covenant or obligation applicable to it under this Agreement.

(e) That the Grantee shall promptly return or repay to Florida Housing, upon demand and within the time provided for payment, any Grant Funds subject to recapture under this Agreement.

(f) That from time to time during the term of this Agreement, upon the request of Florida Housing, the Grantee shall report either in writing or by phone conference the status of the Multi-Year Study, including but not limited to, the work done and results to date, the status of any deliverables, work remaining to be done, and estimated completion date.

**9. WARRANTIES AND REPRESENTATIONS.** The Grantee represents and warrants to and in favor of Florida Housing, and agrees (which representations, warranties and agreements shall be deemed continuing) as follows:

(a) The Grantee (i) is a Florida non-profit corporation, validly existing under the laws of the State of Florida; (ii) has all the necessary power and authority to enter into and carry out the terms of this Agreement; and (iii) by proper action has duly authorized the execution, delivery and performance of this Agreement in all respects.

(b) The Grant Funds shall be used solely for the purpose and in the manner authorized in this Agreement.

(c) The Grantee has partnered with experienced researchers to engage in the Multi-Year Study to quantify cost/benefit data showing what, if any, public savings have occurred as a result of housing the intended residents in the Development.

(d) The Grantee will comply, continuously and promptly, with all federal, state and local laws, ordinances and regulations relating to the subject matter of this Agreement.

(e) The Grantee shall, during the term of this Agreement and thereafter for a period not less than 1 year, maintain a file copy of all documents and materials related to this Agreement and the Multi-Year Study, and further shall make such documents and

materials available for inspection by Florida Housing and/or its designated agents during regular business hours.

**10. RECAPTURE.** The Grant Funds shall be subject to recapture by Florida Housing if, at any time during the term of this Agreement or within five years following its termination, Florida Housing determines that the Grant Funds were used for any purpose or in any manner not permitted or authorized under this Agreement or that the Grant Funds were awarded or disbursed to Grantee based upon fraud or misrepresentation committed by the Grantee. Recapture under this Section 10 shall be in addition to any remedies available to Florida Housing as provided elsewhere in this Agreement. If Florida Housing elects to exercise its right to recapture the Grant Funds as provided in this paragraph, it shall notify the Grantee in writing, and the Grantee shall be obligated to repay the Grant Funds to Florida Housing upon demand no later than ten (10) business days following receipt of the notice. Recapture shall also be a remedy available to Florida Housing upon the occurrence of an Event of Default as defined below.

**11. DEFAULT.** Each of the following events shall constitute an "Event of Default":

(a) If the Grantee fails to abide by any term or provision of this Agreement including, but not limited to, the failure to complete the Multi-Year Study and submit the final report within the time period(s) provided in this Agreement.

(b) If Florida Housing determines that the Grantee has failed or ceased to conduct and carry out the Multi-Year Study in a timely and continuous manner, consistent with the required completion deadline, and such failure is not corrected to the reasonable satisfaction of Florida Housing within the cure period provided in Section 12 of this Agreement.

(c) If there is filed by or against the Grantee a petition in bankruptcy or a petition for the appointment of a receiver or trustee of the property of the Grantee, or if Grantee files a petition for reorganization under any of the provisions of the United States Bankruptcy Code or of any similar law, state, federal, or foreign, or makes a general assignment for the benefit of creditors or makes any insolvency assignment or is adjudicated insolvent by any court of competent jurisdiction, any of which events, in the reasonable judgment of Florida Housing, will cause material interference with the Grantee's performance of its obligations under this Agreement including the timely completion of the Multi-Year Study and submission of the final report.

(d) If any warranty, representation, covenant or agreement made by the Grantee in this Agreement shall at any time be false or misleading in any material respect, or if the Grantee fails to keep, observe or perform any of the terms, covenants, agreements, representations or warranties contained in this Agreement.

(e) If any warranty, representation, covenant or agreement made by the Grantee in any other agreement in which Florida Housing is a party, or a beneficiary under its terms, shall at any time be false or misleading in any material respect, or if the Grantee fails to

keep, observe or perform any of the terms, covenants, agreements, representations or warranties contained in any such agreement.

Florida Housing's failure (or delay) to declare a default on any occasion shall not constitute a waiver of any such default or the waiver of the right to declare a default on any future occasion.

**12. REMEDIES.** Upon the happening of an Event of Default, Florida Housing may, at its option, upon written notice to the Grantee at the address stated in this Agreement, and in the event the Grantee does not cure such default (or take measures satisfactory to Florida Housing to cure such default), within ten (10) days after the date of notice, or within such further time Florida Housing may allow, without further notice, declare a default under this Agreement, and effective upon the date of such default, Florida Housing may:

(a) Cease disbursement of Grant Funds, and retain or de-obligate, in its reasonable discretion, any remaining Grant Funds.

(b) Apply to any court, county, state or federal, for any specific performance of this Agreement; for an injunction, which may be affirmative in nature, to remedy the default or violations of this Agreement; or for such legal or equitable relief as may be appropriate, the Grantee agreeing that the injury to Florida Housing arising from a default remaining uncured under any of the terms of this Agreement would be irreparable, and the amount of damage would be difficult to ascertain.

(c) Exercise any other rights or remedies as may be available to Florida Housing under applicable law, including the termination of this Agreement and retention of any remaining Grant Funds.

(d) Recapture the Grant Funds as provided in section 10 of this Agreement, and institute any actions or proceedings as may be necessary or appropriate in order to enforce recapture of the funds.

Florida Housing may invoke any remedy provided for herein in combination with any other remedy, the remedies provided herein being non-exclusive. Any delay or failure to invoke any remedy on one occasion shall not constitute a waiver of the right to invoke any such remedy in the future.

**13. PUBLIC RECORDS.** Pursuant to Section 119.0701(2)(b), Fla. Stat., the Grantee is required to comply with public records laws, specifically to:

a. Keep and maintain public records required by the public agency to perform the service.

b. Upon request from the public agency's custodian of public records, provide the public agency with a copy of the requested records or allow the records to be

inspected or copied within a reasonable time at a cost that does not exceed the cost provided in this chapter or as otherwise provided by law.

c. Ensure that public records that are exempt or confidential and exempt from public records disclosure requirements are not disclosed except as authorized by law for the duration of the contract term and following completion of the contract if the contractor does not transfer the records to the public agency.

d. Upon completion of the contract, transfer, at no cost, to the public agency all public records in possession of the contractor upon termination of the contract or keep and maintain public records required by the public agency to perform the service. If the contractor transfers all public records to the public agency upon completion of the contract, the contractor shall meet all applicable requirements for retaining public records. All records stored electronically must be provided to the public agency, upon request from the public agency's custodian of public records, in a format that is compatible with the information technology systems of the public agency.

**If the Grantee has questions regarding the application of Chapter 119, Florida Statutes, to the Grantee's duty to provide public records relating to this Agreement, contact the Corporation Clerk at:**

**Corporation Clerk**

**Florida Housing Finance Corporation**

**227 N. Bronough Street, Suite 5000**

**Tallahassee, Florida 32301-1329**

**Phone: 850.488.4197**

**E-mail: [Corporation.Clerk@floridahousing.org](mailto:Corporation.Clerk@floridahousing.org)**

**14. INDEMNIFICATION.** The Grantee shall indemnify and hold harmless Florida Housing, its directors, officers, members, officials, employees and agents (collectively, the "Indemnified Parties"), from any liability, claims or losses, including attorneys' fees and costs, resulting from the disbursement of the Grant Funds to the Grantee or matters related to the conduct of the Multi-Year Study by the Grantee or its agents and contractors. This provision shall survive the term of this Agreement and shall continue in full force and effect so long as the possibility of such liability, claims, or losses exists.

**15. LIABILITY; INDEPENDENT CONTRACTOR; NO AGENCY.**

(a) Florida Housing shall not be deemed to have assumed any liability for the acts, omissions, or negligence of the Grantee, its agents, contractors, or employees, in connection with this Agreement and the Grantee specifically accepts responsibility for its acts, omissions or negligence and for the acts, omissions or negligence of its agents, contractors or employees, in connection with this Agreement and shall defend and hold

Florida Housing harmless from and against the claims of any party arising out of or claimed to arise out of any such acts, omissions, or negligence.

(b) The Grantee, together with its agents, suppliers, subcontractors, officers, and employees, shall have and always retain under this Agreement the legal status of an independent contractor, and in no manner shall they be deemed employees or agents of Florida Housing or deemed to be entitled to any benefits associated with such employment. During the term of this Agreement, the Grantee shall maintain at its sole expense those benefits to which its employees would otherwise be entitled to by law. The Grantee remains responsible for all applicable federal, state, and local taxes, and all FICA contributions.

(c) The Grantee specifically accepts responsibility for payment of all taxes, assessments, or contributions that may be required to be paid to any unit of government as a result of the payments being paid to or by the Grantee, if any, in conjunction with this Agreement.

(d) The Grantee is not an agent of Florida Housing. At no time shall the Grantee be authorized to contract or incur any obligations, monetary or otherwise, in the name of Florida Housing. All contracts and agreements entered into with vendors or third-party service providers in connection with the Multi-Year Study shall be in the name of the Grantee, and all cost, expenses and charges in connection therewith shall be the responsibility of the Grantee.

**16. COSTS, EXPENSES AND FEES.** The only funding provided by Florida Housing under this Agreement shall be the Grant Funds, and such funds shall be provided only in the form of reimbursement(s) to the Grantee for eligible costs incurred by and paid for by the Grantee. Florida Housing assumes no responsibility or liability, and shall have no responsibility or liability to any third party for the payment of any costs, expenses or fees in connection with the Multi-Year Study or this Agreement. At no time shall the Grantee make any commitments for or incur any charges or expenses for, or in the name of, Florida Housing. The Grantee shall be responsible for payment of all costs, expenses and fees in conducting and carrying out the Multi-Year Study and for any other matters contemplated by or in connection with this Agreement.

**17. NOTICES.** All notices to be made or permitted to be made hereunder shall be in writing and shall be delivered to the addresses shown below or to such other addresses that the parties may provide to one another in accordance herewith. Such notices and other communications shall be given by any of the following means: (a) personal service; (b) national express air courier, provided such courier maintains written verification of actual delivery; or (c) registered US mail. Any notice or other communication given by the means described above shall be deemed effective upon the date of receipt or the date of refusal to accept delivery by the party to whom such notice or other communication has been sent.

Grantee: Catholic Charities Diocese of St. Petersburg, Inc.  
1213 16th St. N  
St. Petersburg, FL 33705  
Attention: Mark Dufva  
Phone: 727-893-1314  
E-mail: Mdufva@ccdosp.org

with a copy to: DiVito & Higham, PA  
4514 Central Ave.  
St. Petersburg, FL 33711  
Attention: Joe DiVito, Esq  
Phone: 727-321-1201  
E-mail: jad@divitohigham.com

Any party may change said address by giving the other parties hereto prior notice of such change of address in accordance with the foregoing provisions.

**18. EFFECT OF NON-COMPLIANCE UNDER THIS AGREEMENT.** Neither the Grantee nor its principals shall be eligible to participate in or apply for financing, tax credits or grant funding under any Florida Housing program, competitive solicitation or other funding process at any time while the Grantee is not in compliance with the terms and conditions of this Agreement.

**19. GENERAL TERMS AND CONDITIONS.**

(a) This Agreement and all the terms and provisions hereof shall be construed to effectuate the purposes set forth herein and to sustain the validity hereof.

(b) The titles and headings of the sections of this Agreement have been inserted for convenience of reference only and are not to be considered a part hereof and shall not modify or restrict any of the terms or provisions hereof and shall never be considered or given any effect in construing this Agreement or any provision hereof or in ascertaining intent, if any questions of intent shall arise.

(c) If any provision of this Agreement shall be invalid, illegal or unenforceable, the validity, legality and enforceability of the remaining portions shall not in any way be affected or impaired.

(d) This Agreement may be simultaneously executed in multiple counterparts, all of which shall constitute one and the same instrument and each of which shall be deemed to be an original.

(e) This Agreement shall be construed, and the obligations, rights and remedies of the parties hereunder shall be determined, in accordance with the laws of the State of Florida without regard to conflicts of laws or principles, except to the extent that the laws of the United States of America may prevail.

given any effect in construing this Agreement or any provision hereof or in ascertaining intent, if any questions of intent shall arise.

(c) If any provision of this Agreement shall be invalid, illegal or unenforceable, the validity, legality and enforceability of the remaining portions shall not in any way be affected or impaired.

(d) This Agreement may be simultaneously executed in multiple counterparts, all of which shall constitute one and the same instrument and each of which shall be deemed to be an original.

(e) This Agreement shall be construed, and the obligations, rights and remedies of the parties hereunder shall be determined, in accordance with the laws of the State of Florida without regard to conflicts of laws or principles, except to the extent that the laws of the United States of America may prevail.

(f) Venue for any action under this Agreement shall lie in Leon County, Florida.

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SIGNATURE PAGES FOLLOW**



**GRANTEE COUNTERPART SIGNATURE PAGE FOR  
GRANT AGREEMENT FOR MATCH FUNDING TO BE USED FOR RESEARCH  
RELATED TO DEVELOPMENTS FUNDED TO SERVE HIGH NEEDS/HIGH COST  
POPULATIONS  
BETWEEN  
FLORIDA HOUSING FINANCE CORPORATION  
AND  
CATHOLIC CHARITIES, DIOCESE OF ST. PETERSBURG, INC.**

IN WITNESS WHEREOF, the Grantee has caused this Agreement to be executed and delivered on its behalf by its duly authorized representative to be effective as of the Effective Date of this Agreement.

**CATHOLIC CHARITIES, DIOCESE OF  
ST. PETERSBURG, INC.**, a Florida non-profit corporation

By: \_\_\_\_\_

*Mark Dufva*  
Mark Dufva, Executive Director

WITNESSES:

*James J. Wayne*  
\_\_\_\_\_

Print: James J. Wayne

*Sandra Agarramonte*  
\_\_\_\_\_

Print: Sandra Agarramonte

STATE OF FLORIDA  
COUNTY OF Pinellas

The foregoing instrument was acknowledged before me this 25<sup>th</sup> day of August, 2017, by Mark Dufva as Executive Director of Catholic Charities, Diocese of St. Petersburg, Inc., a Florida non-profit corporation, on behalf of the corporation. Said person is  personally known to me or has \_\_\_\_\_ produced a valid driver's license as identification.




*Janet Shelton*  
\_\_\_\_\_  
Notary Public; State of Florida  
Print Name: Janet Shelton  
My Commission Expires: May 30, 2020  
My Commission No.: FF997002

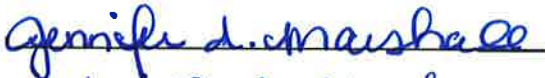
**FLORIDA HOUSING COUNTERPART SIGNATURE PAGE FOR  
GRANT AGREEMENT FOR MATCH FUNDING TO BE USED FOR RESEARCH  
RELATED TO DEVELOPMENTS FUNDED TO SERVE HIGH NEEDS/HIGH COST  
POPULATIONS  
BETWEEN  
FLORIDA HOUSING FINANCE CORPORATION  
AND  
CATHOLIC CHARITIES, DIOCESE OF ST. PETERSBURG, INC.**

IN WITNESS WHEREOF, Florida Housing has caused this Agreement to be executed and delivered on its behalf by its duly authorized representative to be effective as of the Effective Date of this Agreement.

FLORIDA HOUSING FINANCE CORPORATION, a public corporation and a public body corporate and politic duly created and existing under the laws of the State of Florida

By:   
Hugh R. Brown, as  
General Counsel

WITNESSES:



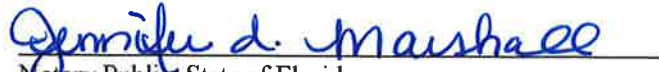
Print: Jennifer A. Marshall



Print: Ana F. McGlamory

STATE OF FLORIDA  
COUNTY OF LEON

The foregoing instrument was acknowledged before me this 30<sup>th</sup> day of May, 2018, by Hugh R. Brown, as General Counsel of Florida Housing Finance Corporation, a public corporation and a public body corporate and politic duly created and existing under the laws of the State of Florida, on behalf of the corporation. Said person is  personally known to me or has  produced a valid driver's license as identification.

  
Notary Public, State of Florida  
Print Name: Jennifer A. Marshall  
My Commission Expires: August 12, 2018  
My Commission No.: FF149994



## Exhibit A

### Pinellas Hope V (PHV) Methodology

RFP 2014-102

### Financing Permanent Supportive Housing for High Need/High Cost Chronically Homeless Persons

Catholic Charities, Diocese St. Petersburg, Inc. is pleased to resubmit this methodology section of the above awarded contract per requirements for closing of the loan for the 45-unit permanent supportive housing project called Pinellas Hope V (PHV). Feedback from the Florida Housing Finance Corporation and state agency partners has led to several adjusted, streamlined, and improved processes, as well as a new research collaboration which will be discussed below. In addition, this methodology follows closely other approved cost/benefit projects conducted nationally and within the State of Florida.

#### I. Objective

The objective of the study will be to determine the cost/benefit of permanent supportive housing for high need/high cost chronically homeless persons residing in Pinellas Hope V, a 45-unit permanent supportive housing facility located at the Pinellas Hope Campus in Pinellas County, FL.

#### II. Questions

The questions this research will be studying are:

1. For the study population, what are the annual costs in public expenditures connected with their use of public systems such as jails, emergency rooms, crisis units, shelters, nursing homes/Assisted Living Facilities, long term care facilities, and other institutions?
2. For the study population, what are the cost benefits by providing this housing option, and is this more cost effective than providing little, if any coordinated housing or service intervention?
3. For the study population, what are the socio-economic and behavioral outcomes for all residents of this housing option over the course of the occupancy (i.e. are resident outcomes improved)?

#### III. Proposed Methodology-Study Design

This is a pre-post, repeated measures study design capturing baseline data of cost/benefit and socio-economic-behavioral outcomes for those individuals entering into Pinellas Hope V and comparing these data variables at set time points throughout the study period. Aggregate data from various data sources (discussed below) will be used to answer the above questions regarding the overall cost/benefit of the project.

To provide information for how demographic and cost data will be collected, the methodology for identifying participants and data sources will be discussed below. Standard demographic information, available on intake forms, will be collected to include, but not limited to: race/ethnicity, national origin, age, gender, sexual orientation, education level, marital status, number of children, veteran status, housing history, work history, income and benefits, social supports, and health data including primary health care status, addiction history, and mental health status.

*a. Participant Selection*

This project is for Pinellas Hope V, a permanent supportive housing development of 45 units for high need/high cost chronically homeless persons. Priority for admission to Pinellas Hope V will be given to highly vulnerable and high system usage homeless adults/residents seeking housing. Priority status will be determined using the Pinellas County Coordinated Entry List, which includes completion of Vulnerability Index-Service Prioritization Decision Assistance Tool (VI-SPDAT), essential service provider input such as homeless outreach team members, and Pinellas Hope staff. All potential residents have the right to refuse housing at PHV. Efforts to reengage those who decline will continue.

In summary, priority of resident placement is determined through:

1. Placement on the Pinellas County Coordinated Entry System
2. Service provider input
3. Pinellas Hope staff recommendation.

Once individuals are identified, it is expected that they will remain in this housing situation for the duration of the study period. If a resident is unable to stay within the unit, another high need/high cost homeless individual will take up residence in the unit per the process described above. Residents who remain housed at Pinellas Hope V will be followed for the duration of the study. However, it is expected that there will be residents who do not want to continue to live in the community. Unsuccessful departures include those who are arrested, fail to meet residency requirements and are subject to eviction, and those who leave, disappear and/or become homeless again.

*b. Data Sources*

Data collection will begin once all residents are in place, or with those in residence in November 2017, and continue until the end of the study period approximately 30 months. It is expected that client level data will be collected at baseline, 6, 12, 24, 30 months until the close of the study. Data on residents will be collected using the internal case management tools, and other electronic health records, and cross referenced with other administrative files. Appropriate statistical analysis to address the research questions will be employed such as dependent t-tests and the equivalent logistic or Poisson procedure for dichotomous or count metrics respectively.

Depending on if residents are willing to participate in the following, individual meetings or very small groupings (2-3 residents) with residents will be scheduled to get resident feedback on their living situation and issues that may not be captured by the standardized tools or case management meetings. This qualitative data will help to alert Catholic Charities to strengths, challenges, or unintended consequences of living in the housing unit. Dr. Fogel will participate

and, if other study members participate, supervise this data effort and provide overviews and themes of emerging issues.

Cost data will be collected following a process similar to what will be followed by another project funded by this RFP. The methodology to use for this project was suggested by the University of South Florida's (USF) Policy and Services Research Data Center (PSRDC), in the Department of Mental Health Law and Policy at the Louis de la Parte Florida Mental Health Institute (FMHI) which will now join with this project to provide the cost/benefit data and analysis and also provide the master index of high needs/high cost individuals.

**Cost data collection:** Policy and Services Research Data Center (PSRDC) has previously established agreements with the below sources to attain data for other similar studies. However, for this study, agreements will need to be expanded and/or executed anew in order to apply to this initiative. This includes the Pinellas County Data Collaborative. Because USF has previously executed data sharing agreements with these entities, the process and contact persons are already established.

- Florida Department of Children and Families (DCF/IDS) – SAMHIS mental health and substance abuse service events
- Florida Department of Children and Families – State mental hospital stays
- Florida Agency for Health Care Administration (AHCA) – Medicaid claims
- Florida Baker Act Initiations (BA) – 72 hour involuntary psychiatric evaluations
- Florida Department of Corrections (DOC) – State prison, probation
- Department of Law Enforcement (FDLE) – Statewide arrests
- Pinellas County Criminal Justice Information System (CJIS) – County arrests
- Florida Department of Health (DOH) – Deaths with causes
- Pinellas County Emergency Medical Services (EMS)

**Costs prior to living in Pinellas Hope V:** Following the process from the other funded projects, Policy and Services Research Data Center (PSRDC) will establish the **annual cost** of public services for those living in Pinellas Hope V by adding up the cost of each recognized contact with any public system. Rubrics that specify the costs associated with each interaction type will be developed based on the data sources. A flat rate per interaction by type of service contact will be developed based on rates provided by that service institution (i.e. jails), or actual known costs (Medicaid), or known average costs. These costs will then be matched and added up for each individual on the master index.

**Cost to public systems after placement in Pinellas Hope V:** Following the process from the other funded project, PSRDC will utilize the same administrative data utilized to establish pre-placement costs and match post housing service interactions by residents in Pinellas Hope V. In addition, case files will be reviewed and matched with available system level data. It is expected that Pinellas Hope V case managers will know of many, if not all, of the situations when a resident may encumber a public service cost.

**Additional costs:** It is expected that initial costs related to the relocation and integration of the residents will increase as public services and organizational costs (Catholic Charities) are utilized to initially intervene with the residents in order to promote stability and long term positive outcomes. Catholic Charities will provide available internal costs, if applicable, and other new service costs will be determined based on the rubrics described above.

It is important to emphasize the difference between costs associated with housing residents (i.e. “Housing First”) and those costs associated with providing a comprehensive array of services for highly vulnerable and high need clients/residents. Housing costs rely on a landlord/tenant relationship. Service costs for high vulnerability clients include behavioral health services and treatment, substance abuse services and treatment, medication management, case management, life and employability skills training, GED, education and certification training and other services. Most of these services are provided by outside organizations that co-locate staff at PHV to assist with serving this populations. In addition, most of these organizations are significantly underfunded and this create challenges for addressing the acute needs of this highly vulnerable population. Co-located services provided by other organizations will be documented and estimates of service costs to this population will attempt to be made.

**Entities performing demographic and cost data collection:** This study will utilize the services of the Policy and Services Research Data Center (PSRDC) to access the system-level administrative data needed for cost data collection as well as to create the master index of high need/high cost homeless individuals. In addition, client level data will be collected by Pinellas Hope V case managers or other staff assigned to this housing unit. Oversight of the cost and client data collection will be assumed by Dr. Sondra J. Fogel, Principal Investigator, USF, School of Social Work and co-investigator Charles Dion, MA, PSRDC.

#### IV. Analysis Methods

As stated above, PSRDC has the experience and capacity to capture and analyze the administrative cost data required for this study. In addition, Catholic Charities has an internal system for reporting client information at both the individual and aggregate level (see below in Resident Outcomes). The study investigators will oversee the export of aggregated data from both sources to ensure compliance with study procedures noted above and will provide regular reports on study progress to Catholic Charities.

**Resident Outcomes.** Resident outcomes will be collected at the pre-set times of baseline, 6, 12, 24, and 30 months. The internal assessment forms used by Catholic Charities, case manager input, as well as the required VI-SPDAT will be used to determine resident socio-economic-behavioral outcomes over time. Examples of resident socio-economic-behavioral outcomes include:

- Increased income (through access to benefits or employment)
- Case plan compliance and goal success
- Maintaining sobriety
- Improved health and mental health outcomes on identified indicators

Residents will meet with a PHV case manager, to address goals mutually agreed upon in an individual service plan. Examples of goal domains include: mental health, substance abuse, medical, housing, education, employability, social skills and income.

Prior to the beginning of the data collection, all forms will be reviewed to ensure that appropriate data is being collected and a process for sharing information with the resident on his/her outcomes is in place. If additional information or a development of an additional form is needed,

reliable and validated measures will be looked at such as SAMSHA's Government and Performance Results (GPRA) National Outcome Measurement tool to develop an appropriate instrument.

#### V. Researchers: Co-PIs

Dr. Sondra J. Fogel, at the University of South Florida will be the lead PI. Dr. Fogel has extensive research and history working with this population, resulting in numerous publications, presentations, and funding. Dr. Fogel has a master's degree from Columbia University and her Ph.D. in Social Work from the University of Illinois at Urbana-Champaign. She is a licensed clinical social worker as well. Dr. Fogel was the lead author of the initial Pinellas Hope evaluation and the later follow up study. In addition, she is familiar with the community and service agencies that work with Pinellas Hope. Dr. Fogel is a past recipient of the HUD COPC grant and has recently completed research investigating how Pinellas and Hillsborough counties are prepared to help those who are homeless during disasters. This work is in conjunction with the National Health Care for the Homeless Council.

The PSRDC's Director, Charles Dion, MA, is a co-investigator on this project. He will oversee the actions of the PSRDC in this project. The PSRDC has access to statewide Medicaid claims data, mental health claims data on services paid for by the state and general revenue funds, adult corrections data, and all petitions for involuntary examination under Florida's civil commitment law (nearly 600,000 petitions generated over the last six years). The PSRDC has become an expert in all of the complex activities (data cleaning, assuring reliability, etc.) that are essential to working with large archival data sets. Of particular relevance is PSRDC's experience as the host entity and analyst for data generated through the Pinellas County Data Collaborative, an initiative established in 1999 to enhance county mental health services by encouraging collaboration among community service providers, government agencies, and educational institutions.

#### VI. Funding

Catholic Charities will seek funds from private foundations, donors and fundraisers that have a deep interest in homelessness. Prior to the new partnerships with PSRDC, the expected amount needed to be raised to support this project was in the range of \$80,000 to \$125,000 to be spread over the three years of the study. It is expected that this range may increase due to the addition of PSRDC work. However, these costs will be spread over numerous years giving Catholic Charities time to collect these funds privately. Any remaining costs not collected privately will be paid for directly by Catholic Charities general account and/or using their developer fees collected from this project.

#### VII. Challenges

Construction challenges and delays in permits related to occupancy of PHV presented many unanticipated circumstances which significantly impacted the start of this study and data collection. The ability to fill PHV with those in need of housing spanned almost a year. The following items are expected challenges to completing this study:

- The ability to track, locate and follow residents who have left, especially those that leave unsuccessfully (jail, eviction, etc.) to include their follow-up data in the study. The chronic homeless population has a history of difficulty in maintaining contact with case managers following program exit.
- At times, units may not be occupied due to needed repairs.
- Funding continuation of intensive wrap around services (behavioral/mental health services, substance abuse treatment/services, intensive case management, employability skills training, job development and other intensive and necessary services) once the individuals are placed into this housing.
- Access to timely data from each of the data systems. All of the data systems have varying lag times for when the data are available.

### VIII. Timeline

Pinellas Hope V (PHV) is a 45 permeant supportive housing residence. As of December 14, 2017, 43 units are occupied. The first resident moved in on December 27, 2016. However, due to unanticipated delays, PHV occupancy was slower than expected during the first 4 months of this study. However, occupancy rates began to rise with the bulk of residents in units by late Fall 2017. The latest resident to enter PHV occurred on October 18, 2017. Given the span of the occupancy rates, the following timeline is projected for the completion of this RFP. However, please note that 2 more residents may move in before the end of the year. Socio-behavioral data collection starts when the resident assumes occupancy (baseline) and follows the timeline in the methodology.

Baseline public data: Public cost data will be collected for each resident for the time period of 363 days prior to move in date and aggregated.

Ex. Move in date 12/27/2016- baseline data: Costs of services available through the Policy and Service Research Data Center (PSRDC) will be collected for the time period from 12/27/2015-12/26/2016 and aggregated.

Participants: All residents to date are included in the study.

Projected completion: As stated in the methodology, the last data collection period of the resident is at the 30 month mark of his/her tenure in housing. Socio-behavioral data collection will end at the 30th month for each resident. This will vary by the initial month of occupancy of each resident. The PSRDC typically receives data from the various sources at set time points, typically 6-months to 1 year following a time period. Using a late occupancy as an example which occurred on 10/18/17, a projected timeline for this study is as follows:

Aggregate baseline date:	10/18/2016-10/17/2017
Move in date:	10/18/2017
Aggregate 6 month data:	April 2018



Aggregate 12 month data: October 2018

Aggregate 24 month data October 2019

Aggregate 30 month data April 2020

Accounting for another 3 months for PSRDC to receive necessary data to generate aggregate 30 month data, we are projecting that this will be July 2020. It is expected that data analysis will take 2 months after the final receipt which is expected by September 2020. The final report will be completed no later than 10/31/2020.

IX: Deliverables:

A final report will be delivered no later than 10/31/20 to Catholic Charities which will address in detail the findings to the 3 research questions. In addition, the study investigators will collaborate to present reports of findings from this project to key stakeholders and other professionals.

Catholic Charities, Diocese of St. Petersburg, Inc.  
 c/o Mark Dufva  
 1213 16<sup>th</sup> Street North  
 St. Petersburg, FL 33705  
 (727) 893-1315

REQUEST FOR MODIFICATION/AMENDMENT

Modification No.: 2

Contractor Name: The University of South Florida Board of Trustees

TYPE OF MODIFICATION/AMENDMENT

<input type="checkbox"/> Extension of performance period	<input type="checkbox"/> Revised scope of work
<input type="checkbox"/> Additional funding	<input type="checkbox"/> Reduction in funding
<input type="checkbox"/> Change in budget categories	<input checked="" type="checkbox"/> Other: deadline for final report updated
<input type="checkbox"/>	<input type="checkbox"/>

DESCRIPTION OF ACTION(S) TAKEN

The last paragraph on the updated scope of work in section VIII. Timeline is deleted and replaced with the following:

Accounting for another 3 months for PSRDC to receive **necessary** data to generate aggregate 30-month data, we are projecting that this will be July 2020. It is expected that data analysis will take 2 months after the final receipt, which is expected by September 2020. The Final report will be completed no later than 10/31/2020.

IX. Deliverables on the updated scope of work on modification #1 is deleted in its entirety and replaced with the following:

A **final** report **will** be delivered no later than 10/31/2020 to Catholic Charities, which will address in detail, the findings to the 3 research questions. In addition, the study investigators will collaborate to present reports of findings from this project to key stakeholders and other professionals.

All other terms and conditions remain unchanged.

ACCEPTANCE AND AGREEMENT

The **above-referenced modification(s)/amendment(s)**, is hereby incorporated into the subject subagreement.

For Catholic Charities, Diocese of St. Petersburg, Inc.:

(Signature-Authorized Official)

Mark A. Dufva

Executive Director


For The University of South Florida Board of Trustees:

(Signature-Authorized Official)

Keith Anderson, M.S., CRA

Director, Sponsored Research

APPROVED AND FORWARDED TO ME BY:

  
 John Mear  
 ATTORNEY AT LAW

Catholic Charities, Diocese of St. Petersburg, Inc.  
c/o Mark Dufva  
1213 16<sup>th</sup> Street North  
St. Petersburg, FL 33705  
(727) 893-1315

REQUEST FOR MODIFICATION/AMENDMENT

Modification No.: 1

Contractor Name: The University of South Florida Board of Trustees

TYPE OF MODIFICATION/AMENDMENT

- |   |  |
|---|--|
| <input checked="" type="checkbox"/> <sup>updating</sup> Extension of performance period | <input checked="" type="checkbox"/> Revised scope of work    |
| <input type="checkbox"/> Additional funding   | <input type="checkbox"/> Reduction in funding                |
| <input type="checkbox"/> Change in budget categories                                    | <input checked="" type="checkbox"/> Other: addition of Co-PI |

DESCRIPTION OF ACTION(S) TAKEN

Updating Scope of work and revised methodology  
Adding Dr. Charles Dion as a Co-PI to the contract  
Updating the project end date to 12/30/2020.  
All other terms and conditions remain unchanged.

University Project Director Review  
& Signature:

*Sandra Fogel*

ACCEPTANCE AND AGREEMENT

The above-referenced modification(s)/amendment(s), is hereby incorporated into the subject subagreement.

For Catholic Charities, Diocese of St. Petersburg, Inc.:

*Mark A. Dufva*  
(Signature-Authorized Official)  
Mark A. Dufva  
Executive Director

For The University of South Florida Board of Trustees:

*Keith Anderson*  
(Signature-Authorized Official)  
Keith Anderson, M.S., CRA  
Director, Sponsored Research

APPROVED AS TO FORM AND LEGAL SUFFICIENCY

*F. Skimmer*  
Fosterie Skimmer  
ATTORNEY • University of South Florida

## Scope of Work for Pinellas Hope V RFP 2014-102

(Revised 2.22.18)

### Financing Permanent Supportive Housing for High Need/High Cost Chronically Homeless Persons

As outlined in the funded RFP, Dr. Sondra Fogel and Charles Dion will be involved in various activities to determine the cost/benefit of permanent supportive housing for high need/high cost chronically homeless persons residing in Pinellas Hope V, a 45 unit permanent supportive housing facility located in the St. Petersburg, FL community. Activities include:

- Two and one-half years of data collection.
- Analysis of cost data at set time points to include in final report.
- Analysis of aggregate social-behavioral outcomes to include in final report.
- Recurrent meetings between co-PI's to discuss research project.
- Periodic review of the scholarly literature to ensure capturing current trends in this area.
- Periodic site visits to ensure data collection fidelity.
- Participation in various project meeting with Pinellas Hope staff and others related to the work.
- Collaboration in presenting reports of findings from this project to key stakeholders and other professionals
- Preparation and delivery of final report at the end of study period.

#### I. Methodology-Study Design

This is a pre-post, repeated measures study design capturing baseline data of cost/benefit and socio-economic-behavioral outcomes for those individuals entering into Pinellas Hope V and comparing data variables (discussed below) at set time points throughout the study period. Aggregate data from various data sources will be used to answer the following questions:

1. For the study population, what are the annual costs in public expenditures connected with their use of public systems such as jails, emergency rooms, crisis units, shelters, nursing homes/Assisted Living Facilities, long term care facilities, and other institutions?
2. For the study population, what are the cost/benefits by providing this housing option, and is this more cost effective than providing little, if any coordinated housing or service intervention?
3. For the study population, what are the socio-economic and behavioral outcomes for all residents of this housing option over the course of the occupancy (i.e. are resident outcomes improved)?

To provide information for how demographic and cost data will be collected, the methodology for identifying participants and data sources will be discussed below. Standard demographic information, available on intake forms, will be collected to include, but not limited to: race/ethnicity, national origin, age, gender, sexual orientation, education level, marital status, number of children, veteran status, housing history, work history, income and benefits, social

supports, and health data including primary health care status, addiction history, and mental health status.

*a. Participant Selection*

This project is for Pinellas Hope V, a permanent supportive housing development of 45 units for high need/high cost chronically homeless persons. Priority for admission to Pinellas Hope V will be given to highly vulnerable and high system usage homeless adults/residents seeking housing. Priority status will be determined using the Pinellas County Coordinated Entry List, which includes completion of Vulnerability Index-Service Prioritization Decision Assistance Tool (VI-SPDAT), essential service provider input such as from homeless outreach team members, and Pinellas Hope staff. All potential residents have the right to refuse housing at PHV. Efforts to reengage those who decline will continue.

In summary, priority of resident placement is determined through:

1. Placement on the Pinellas County Coordinated Entry System
2. Service provider input
3. Pinellas Hope staff recommendation.

Once individuals are identified, it is expected that they will remain in this housing situation for the duration of the study period. If a resident is unable to stay within the unit, another high need/high cost homeless individual will take up residence in the unit per the process described above. Residents who remain housed at Pinellas Hope V will be followed for the duration of the study. However, it is expected that there will be residents who do not want to continue to live in the community. Unsuccessful departures include those who are arrested, fail to meet residency requirements and are subject to eviction, and those who leave, disappear and/or become homeless again.

*b. Data Sources*

Data collection will begin once all residents are in place, or with those in residence in November 2017, and continue until the end of the study period approximately 30 months. It is expected that client level data will be collected at baseline, 6, 12, 24, 30 months until the close of the study. Data on residents will be collected using the internal case management tools, and other electronic health records, and cross referenced with other administrative files. Appropriate statistical analysis to address the research questions will be employed such as dependent t-tests and the equivalent logistic or Poisson procedure for dichotomous or count metrics respectively.

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the Department of Mental Health Law and Policy at the Louis de la Parte Florida Mental Health Institute (FMHI) which will now join with this project to provide the cost/benefit data and analysis and also provide the master index of high needs/high cost individuals.

**Cost data collection:** Policy and Services Research Data Center (PSRDC) has previously established agreements with the below sources to attain data for other similar studies. However, for this study, agreements will need to be expanded and/or executed anew in order to apply to this initiative. This includes the Pinellas County Data Collaborative. Because USF has previously executed data sharing agreements with these entities, the process and contact persons are already established.

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- Department of Law Enforcement (FDLE) – Statewide arrests
- Pinellas County Criminal Justice Information System (CJIS) – County arrests
- Florida Department of Health (DOH) – Deaths with causes
- Pinellas County Emergency Medical Services (EMS)

**Costs prior to living in Pinellas Hope V:** Following the process from the other funded projects, Policy and Services Research Data Center (PSRDC) will establish the **annual cost** of public services for those living in Pinellas Hope V by adding up the cost of each recognized contact with any public system. Rubrics that specify the costs associated with each interaction type will be developed based on the data sources. A flat rate per interaction by type of service contact will be developed based on rates provided by that service institution (i.e. jails), or actual known costs (Medicaid), or known average costs. These costs will then be matched and added up for each individual on the master index.

**Cost to public systems after placement in Pinellas Hope V:** Following the process from the other funded project, PSRDC will utilize the same administrative data utilized to establish pre-placement costs and match post housing service interactions by residents in Pinellas Hope V. In addition, case files will be reviewed and matched with available system level data. It is expected that Pinellas Hope V case managers will know of many, if not all, of the situations when a resident may encumber a public service cost.

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It is important to emphasize the difference between costs associated with housing residents (i.e. "Housing First") and those costs associated with providing a comprehensive array of services for highly vulnerable and high need clients/residents. Housing costs rely on a landlord/tenant relationship. Service costs for high vulnerability clients include behavioral health services and treatment, substance abuse services and treatment, medication management, case

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**Entities performing demographic and cost data collection:** This study will utilize the services of the Policy and Services Research Data Center (PSRDC) to access the system-level administrative data needed for cost data collection as well as to create the master index of high need/high cost homeless individuals. In addition, client level data will be collected by Pinellas Hope V case managers or other staff assigned to this housing unit. Oversight of the cost and client data collection will be assumed by Dr. Sondra J. Fogel, Principal Investigator, USF, School of Social Work and co-Investigator, Charles Dion, MA, PSRDC.

## II. Analysis Methods

As stated above, PSRDC has the experience and capacity to capture and analyze the administrative cost data required for this study. In addition, Catholic Charities has an internal system for reporting client information at both the individual and aggregate level (see below in Resident Outcomes). The study investigators will oversee the export of aggregated data from both sources to ensure compliance with study procedures noted above and Dr. Fogel will provide regular reports on study progress to Catholic Charities.

**Resident Outcomes.** Resident outcomes will be collected at the pre-set times of baseline, 6, 12, 24, and 30 months. The internal assessment forms used by Catholic Charities, case manager input, as well as the required VI-SPDAT will be used to determine resident socio-economic-behavioral outcomes over time. Examples of resident socio-economic-behavioral outcomes include:

- Increased income (through access to benefits or employment)
- Case plan compliance and goal success
- Maintaining sobriety
- Improved health and mental health outcomes on identified indicators

Residents will meet with a PHV case manager, to address goals mutually agreed upon in an individual service plan. Examples of goal domains include: mental health, substance abuse, medical, housing, education, employability, social skills and income.

Prior to the beginning of the data collection, all forms will be reviewed to ensure that appropriate data is being collected and a process for sharing information with the resident on his/her outcomes is in place. If additional information or a development of an additional form is needed, reliable and validated measures will be looked at such as SAMSHA's Government and Performance Results (GPRA) National Outcome Measurement tool to develop an appropriate instrument.

### III. Researchers: Co-PIs

Dr. Sondra J. Fogel, at the University of South Florida will be the lead PI. Dr. Fogel has extensive research and history working with this population, resulting in numerous publications, presentations, and funding. Dr. Fogel has a master's degree from Columbia University and her Ph.D. in Social Work from the University of Illinois at Urbana-Champaign. She is a licensed clinical social worker as well. Dr. Fogel was the lead author of the initial Pinellas Hope evaluation and the later follow up study. In addition, she is familiar with the community and service agencies that work with Pinellas Hope. Dr. Fogel is a past recipient of the HUD COPC grant and has recently completed research investigating how Pinellas and Hillsborough counties are prepared to help those who are homeless during disasters. This work is in conjunction with the National Health Care for the Homeless Council.

The PSRDC's Director, Charles Dion, MA, is a co-investigator on this project. He will oversee the actions of the PSRDC in this project. The PSRDC has access to statewide Medicaid claims data, mental health claims data on services paid for by the state and general revenue funds, adult corrections data, and all petitions for involuntary examination under Florida's civil commitment law (nearly 600,000 petitions generated over the last six years). The PSRDC has become an expert in all of the complex activities (data cleaning, assuring reliability, etc.) that are essential to working with large archival data sets. Of particular relevance is PSRDC's experience as the host entity and analyst for data generated through the Pinellas County Data Collaborative, an initiative established in 1999 to enhance county mental health services by encouraging collaboration among community service providers, government agencies, and educational institutions.

### VI. Funding

Catholic Charities will seek funds from private foundations, donors and fundraisers that have a deep interest in homelessness. Prior to the new partnerships with PSRDC, the expected amount needed to be raised to support this project was in the range of \$80,000 to \$125,000 to be spread over the three years of the study. It is expected that this range may increase due to the addition of PSRDC work. However, these costs will be spread over numerous years giving Catholic Charities time to collect these funds privately. Any remaining costs not collected privately will be paid for directly by Catholic Charities general account and/or using their developer fees collected from this project.

### VII. Challenges

Construction challenges and delays in permits related to occupancy of PHV presented many unanticipated circumstances which significantly impacted the start of this study and data collection. The ability to fill PHV with those in need of housing spanned almost a year.

The following items are expected challenges to completing this study:

- The ability to track, locate and follow residents who have left, especially those that leave unsuccessfully (jail, eviction, etc.) to include their follow-up data in the study. The



chronic homeless population has a history of difficulty in maintaining contact with case managers following program exit.

- At times, units may not be occupied due to needed repairs.
- Funding continuation of intensive wrap around services (behavioral/mental health services, substance abuse treatment/services, intensive case management, employability skills training, job development and other intensive and necessary services) once the individuals are placed into this housing.
- Access to timely data from each of the data systems. All of the data systems have varying lag times for when the data are available.

### VIII. Timeline

Pinellas Hope V (PHV) is a 45 permeant supportive housing residence. As of December 14, 2017, 43 units are occupied. The first resident moved in on December 27, 2016. However, due to unanticipated delays, PHV occupancy was slower than expected during the first 4 months of this study. However, occupancy rates began to rise with the bulk of residents in units by late Fall 2017. The latest resident to enter PHV occurred on October 18, 2017. Given the span of the occupancy rates, the following timeline is projected for the completion of this RFP. However, please note that 2 more residents may move in before the end of the year. Socio-behavioral data collection starts when the resident assumes occupancy (baseline) and follows the timeline in the methodology.

Baseline public data: Public cost data will be collected for each resident for the time period of 363 days prior to move in date and aggregated.

Ex. Move in date 12/27/2016- baseline data: Costs of services available through the Policy and Service Research Data Center (PSRDC) will be collected for the time period from 12/27/2015-12/26/2016 and aggregated.

Participants: All residents to date are included in the study.

Projected completion: As stated in the methodology, the last data collection period of the resident is at the 30 month mark of his/her tenure in housing. Socio-behavioral data collection will end at the 30th month for each resident. This will vary by the initial month of occupancy of each resident. The PSRDC typically receives data from the various sources at set time points, typically 6-months to 1 year following a time period. Using a late occupancy as an example which occurred on 10/18/17, a projected timeline for this study is as follows:

Aggregate baseline date:	10/18/2016-10/17/2017
Move in date:	10/18/2017
Aggregate 6 month data:	April 2018
Aggregate 12 month data:	October 2018

Aggregate 24 month data      October 2019

Aggregate 30 month data      April 2020

Accounting for another 3 months for PSRDC to receive necessary data to generate aggregate 30 month data, we are projecting that this will be July 2020. It is expected that data analysis will take 2 months after the final receipt which is expected by September 2020. The final report may take 2-3 months to compile, with a projected completion data no later than 12/30/2020.

**IX:    Deliverables**

A final report will be delivered no later than 12/30/2020 to Catholic Charities which will address in detail, the findings to the 3 research questions. In addition, the study investigators will collaborate to present reports of findings from this project to key stakeholders and other professionals.

# Charles J Dion, M.A.

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## EDUCATION

UNIVERSITY OF SOUTH FLORIDA- TAMPA, FL (1995)

*Master of Arts, Mathematics with a concentration in Statistics*

*Thesis - A Logistic Model to Predict Radon Danger in Florida Homes*

UNIVERSITY OF SOUTH FLORIDA- TAMPA, FL (1986)

*Bachelor of Arts, Mathematics*

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## SUMMARY OF QUALIFICATIONS AND EXPERIENCE

- 20 years of experience leading highly skilled and effective analysis teams.
- More than 20 years of SAS programing and data management experience.
- More than 20 years of statistical data analysis experience using a wide range of approaches and methods.
- More than 20 years of Medicare, Medicaid, and private health claims data analysis.
- Seven years of analysis of other administrative data including child welfare, involuntary commitments, education, and criminal justice data (arrests, jail/prison stays, and probations).
- Other statistical software experience include Stata, SPSS, MPLUS, and R.
- Data mining experience using Clementine and SAS Enterprise Miner.

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## AWARDS AND ACHIEVEMENTS

- Who's Who in the World; Who's Who in America; Who's Who in Science and Engineering
- Over 30 publications and presentations.
- Pi Mu Epsilon (Mathematics Honor Society)
- Certified Six Sigma Master Black Belt
- Served as Chairman of the Data Network Committee for the College of Behavioral and Community Sciences at USF 2009-Present.
- Served on a Centers for Medicare and Medicaid Services (CMS) Leadership Advisory Panel for the Healthcare Quality Improvement Program (HCQIP).
- Successfully managed the analytic component of the Florida Medicare Quality Improvement Organization (QIO) contract within budget and with minimum turnover of analytic staff.
- Formally recognized by FMQAI CEO as being instrumental in FMQAI's earning of an award fee and non-competitive renewal for the QIO contract. 2002
- Recognized by Employer's Health Coalition with their Founder's Award for work on the Healthy People/ Productive Communities Survey. 2003
- Developed a comprehensive quality measurement system including dashboard reports and Balanced Scorecard to keep senior management and Board members of FMQAI abreast of progress toward company goals. Work in this area contributed to the organization receiving the 2004 "Sense Making" Award from the Centers for Medicare and Medicaid Services (CMS).
- Successfully served as principal statistician on over 50 physical and mental health services research, evaluation, and quality improvement projects over the last 19 years.
- Developed neural network and classification tree models used to detect payment errors. The models were used to target interventions reducing the Florida Medicare inpatient payment errors by approximately \$10M from 1999 to 2000. (Unpublished Analysis)
- Served on planning committee for three successful CMS sponsored annual technical meetings.
- Served on CMS advisory committee on outpatient data.
- Served as statistical editorial advisor for two years on a national publication for quality improvement in treatment of acute myocardial infarction.
- Served as principal investigator or co-principal investigator for nine research and evaluation studies with over \$1M in funding.

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## PROFESSIONAL EXPERIENCE

UNIVERSITY OF SOUTH FLORIDA - TAMPA, FL (2009-PRESENT)

*Director, Policy and Services Research Data Center*

# Charles J Dion, M.A.

*Louis de la Parte Florida Mental Health Institute  
College of Behavioral and Community Sciences*

HUNTINGTON LEARNING CENTER - VALRICO, FL (2007-PRESENT)

*Franchise Owner*

FLORIDA MEDICAL QUALITY ASSURANCE, INC. - TAMPA, FL (1994-2008)

Positions Held: *Chief Analytical Officer (CAO), Director of Analytic Services, Lead Statistician, Statistician, Data Analyst*

UNIVERSITY OF SOUTH FLORIDA- TAMPA, FL (1994)

*Graduate Teaching Assistant*

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## **PRINCIPAL INVESTIGATOR (Total Funding \$1,166,636)**

UNIVERSITY OF SOUTH FLORIDA- TAMPA, FL

Pinellas Hope IV Supportive Housing Evaluation (2017-2018; Co-PI: \$49,176)

Coalition Lift Supportive Housing Evaluation (2016-2020: \$160,903)

Connecting People and Place: Improving Communities through Integrated Data Systems (2014: \$50,000)

Firearm laws, mental disorder, and violence. (2013-2014: \$24,791)

Pinellas Drug Court Evaluation (2012: \$50,000)

Pinellas Data Collaborative (2009-2017: \$165,000)

Evaluation of AHCA Mental Health Services Infrastructure (2009-2017: \$614,447)

Marion County Mental Health Court Evaluation (2011-2013 Co-PI: \$13,794)

Profile of Pinellas County Adult Drug Court Clients (2011: \$50,000)

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## **CONSULTING EXPERIENCE**

EMPLOYER'S HEALTH COALITION- TAMPA, FL (1999-2007)

Healthy People/ Productive Communities -Management and analysis of productivity and health status data collected from a survey of employees from local companies. Principal accomplishment of the study was the development of a scale measuring productivity impairment due to health issues.

TEXAS MEDICAL FOUNDATION (2005)

Developed neural network and classification trees to detect payment errors from Medicare Part A claims data and national payment error file.

END STAGE RENAL DISEASE NETWORK OF FLORIDA- TAMPA, FL (2003)

Hemodialysis Adequacy- Quality improvement project studying and improving the adequacy of Hemodialysis in Florida dialysis centers and measuring the effects of various improvement interventions. Hemodialysis adequacy improved by 34% in the main intervention group.

Vascular Access- Quality improvement project studying and decreasing the use of catheters for vascular access in hemodialysis. Inappropriate catheter utilization was reduced by 28%.

HARRIS ALLEN ASSOCIATES, INC. - BOSTON, MA (1999-2002)

Analysis of productivity, absenteeism and health related data from various administrative sources as well as an employee survey.

ENTRUSTED HEALTH PLANS- TAMPA, FL (2001)

Developed and produced various reports of health care claims data using SAS.

AMERICAN BIODYNE-TAMPA, FL (1994)

Performed statistical analysis testing the efficacy of various psychological therapies. Results of the analysis were presented at a conference of the World Health Organization.

# Charles J Dion, M.A.

## PROFESSIONAL MEMBERSHIPS

- American Statistical Association
- American Society for Quality (Senior)
- Institute for Operations Research and Management Science
- International Society of Six Sigma Professionals

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## PRESENTATIONS AND PUBLICATIONS

1. López Castillo H, Lescano CM, Boothroyd RA, Calcaño E, Mayor M, Porter M, Rivera-Torgerson Y, Dion C. Informed consent process and attrition among Hispanic participants of a bilingual, family-based, randomized, controlled trial [poster]. Accepted by USF Postdoctoral Research Symposium. Tampa, FL; October 25, 2016.
2. Latino STYLE: Effectiveness of a Family-Based HIV Prevention Intervention for Latino Adolescents; Celia M. Lescano, Ercilia Calcano, Manny Mayor, Mily Porter, Yairi Rivera, Charles Dion, Stephanie Marhefka, Larry K. Brown; Conference: 2016 APA Annual Convention, At Denver Colorado, August 2016.
3. Preventing violent crime and suicide among people with serious mental illness in Florida: Do gun restrictions and background checks reduce risk?; Jeffrey W. Swanson, Michele M. Easter, Allison G. Robertson, Marvin S. Swartz, Kelly Alanis-Hirsch, Daniel D. Moseley, **Charles Dion**, John P. Petrila; Health Affairs, June 2016
4. Patterns of Access and Service Use for Persons with Substance Use Disorders across Florida's Managed Medical Assistance Plans; Holly Hills, Tara Richards, **Charles Dion**; (Agency for Health Care Administration (AHCA) series No. xxx.xxx) : University of South Florida, The Louis de la Parte Florida Mental Health Institute; June 2016
5. Voluntary Cough Airflow Differentiates Safe Versus Unsafe Swallowing in Amyotrophic Lateral Sclerosis; Emily K. Plowman ; Stephanie A. Watts; Raelie Robison; Lauren Tabor; **Charles Dion**; Joy Gaziano; Tuan Vu ; Clifton Gooch; Dysphagia, January 2016
6. Discriminant ability of the Eating Assessment Tool to predict aspiration in individuals with amyotrophic lateral sclerosis; K. Plowman\*, L. Tabor, R. Robison,\*, J. Gaziano, **C. Dion**, S. A. Watts, T. Vu & C. Gooch; Neurogastroenterol Motil , October 2015
7. Community Policy Brief: A Study of Student Absenteeism in Pinellas County; J. Joseph Baldwin, MA; Denise M. Groesbeck OTR, MPA, MSW; Starr Silver, Ph.D.; and **Charles Dion, MA**; Pinellas County Juvenile Welfare Board; July 2015.
8. Percutaneous Endoscopic Gastrostomy Increases Survival in ALS: Twenty Years in Review; Laurie E Sterling MS1, Emily K Plowman PhD2, Charles Dion MS2, Ericka P Simpson MD1, Stanley H Appel MD; Conference: 25th International Symposium on ALS/MND, At Brussels, Belgium, December 2014
9. Path tortuosity in everyday movements of elderly persons increases fall prediction beyond knowledge of fall history, medication use, and standardized gait and balance assessments. William Kearns, James L Fozard, Marion Becker, Jan M Jasiewicz, Jeffrey D Craighead, Lori Holtsclaw, **Charles Dion**. Journal of the American Medical Directors Association. 2012
10. Something in the Way She Moves: Falls and Fractal Dimension; W. Kearns, J.L. Fozard, M. Becker, **C. Dion**, J. Craighead, & J. Jasiewicz; Paper presented at the meeting of the ISG\*ISARC (International Society of Gerontechnology and the International Symposium of Automation and Robotics in Construction) Eindhoven, The Netherlands. June 2012.
11. Hills, H. A., Richards, T. N., & Dion, C. (2012). Assessing satisfaction in opioid treatment programs in Florida (Agency for Health Care Administration (AHCA) series No. 220-148). Tampa, FL: University of South Florida, The Louis de la Parte Florida Mental Health Institute.
12. Hills, H. A., Richards, T. N., & Dion, C. (2012). Assessing patterns of care for persons in medication assisted treatment who have a co-occurring mental health disorder (Agency for Health Care Administration (AHCA) series No. 220-150). Tampa, FL: University of South Florida, The Louis de la Parte Florida Mental Health Institute.

## Charles J Dion, M.A.

13. Hayes, Diane and Dion, Charles (2012), Individual Use of Multiple Systems and Frequent Flyers (Pinellas County Data Collaborative). Tampa, FL: University of South Florida, The Louis de la Parte Florida Mental Health Institute.
14. Medicaid Enrollment Rates among Individuals Arrested in the State of Florida Prior To and At the Time of Arrest; John Petrla, Bill Fisher, Diane Haynes, Nicolette Springer, **Charles Dion**; Psychological Services, January 2011
15. Reducing Unnecessary Medicare Hospital Admission for Chest Pain in Arizona and Florida. Ferdinand Richards III, Howard Pitluk, Patricia Collier, Suzanne Summers, **Charles Dion**, Wendy Struchen-Shellhorn, Mark Plunkett; Professional Case Management. March 2008
16. Hypertension-related Employee Impairment in the Workplace. Feride Fech-Tamas, **Charles Dion**, Frank Brocato, Joseph J. Doyle. Academy of Managed Care Pharmacy Annual Meeting. April 2008
17. Data Mining Models to Predict Payment Errors from the National Payment Error Surveillance Results and Inpatient Claims. **Charles Dion**. American Health Quality Association and American Health Quality Foundation Annual Session and Technical Conference. February 2006
18. Identification of Payment Errors Using Claims Data: A Data Mining Project. **Charles Dion**. Hospital Payment Monitoring Program Conference. June 2005.
19. A Case Management Protocol: Reducing Unnecessary Admissions in Florida. Mark Michelman, Patricia Collier, **Charles Dion**, Ferdinand Richards III, Henry Ohleyer, Paulette Vasey, Zachary Henshaw, Karen Davison. Lippincott's Case Management: The Journal for Professional Practice. March/April 2005.
20. Internal Quality Control: Driving Quality & Performance in a Dialysis Facility. *Invited Presentation*. **Charles Dion** and Kelly Mayo. 25th Annual Dialysis Conference. March 2005
21. A Data Mining Model to Predict Unnecessary Admissions from Inpatient Claims: An Early Warning System for Payment Error. **Charles Dion**. American Health Quality Association and American Health Quality Foundation Annual Session and Technical Conference. February 2005
22. An Update on the Case Management Protocol Project: Results and Lessons Learned; Ferdinand Richards, Patricia Collier, and **Charles Dion**; American Case Management Association, Florida Chapter Educational Conference; 2004.
23. Reducing Unnecessary Admissions Via a Case Management Protocol; Mark Michelman, Patricia Collier, and **Charles Dion**; Quality Net Conference of the Centers for Medicare and Medicaid Services (CMS); 2004. *Best of Track Award*
24. Selecting the Right Quality Measures: An Application of Quality Function Deployment; **Charles Dion** and Marie Hall; Tri-Regional Meeting of the American Health Quality Association; 2004
25. Improving Beneficiary Complaint Review Timeliness: The Application of Six Sigma Methods in Conducting Internal QIO Improvement Projects; **Charles Dion**, Henrietta Hight, and Cheryl Cook; Tri-Regional Meeting of the American Health Quality Association; 2004
26. Cooperative Efforts Improve Compliance with Acute Stroke Guidelines; Erfan A. Abakri, Ferdinand Richards, Marie Hall, **Charles Dion**, et.al. ; Southern Medical Journal; January 2003
27. Outcomes After Heart Failure Hospital Admission among Florida's Elderly—Observations at the End of the 20<sup>th</sup> Century; Douglas D. Shocken and **Charles Dion**; American Heart Association's 4<sup>th</sup> Scientific Forum on Quality of Care and Outcomes Research in Cardiovascular Disease and Stroke; 2002
28. Outcomes in Florida's Eldest Elderly After Discharge from Hospital Admission for Heart Failure; Douglas D. Shocken and **Charles Dion**; Heart Failure Society of America's 5<sup>th</sup> Annual Scientific Meeting; 2001
29. A Prediction Approach to Estimating Facility Level Measures from Statewide Samples; **Charles Dion**; Tri-Regional Meeting of the American Health Quality Association; 2000
30. Fraud Detection and Data Mining Techniques; **Charles Dion**; Florida Medical Quality Assurance, Inc; Internal FMQAI White Paper; 1998.

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31. Ace Inhibitors and Diabetic Nephropathy: Quality Improvement in the Physician Office Setting; Phil Hughes and **Charles Dion**; Tri-Regional Meeting of the American Health Quality Association; 1998
32. Tailoring Breast and Cervical Cancer Screening Promotion to the Needs of Hispanic Women in Florida; Melinda S. Forthofer, Carol Bryant, Michele Brodsky, Karina Gonzalez, **Charles Dion**, and Luis Miranda; American Public Health Association Annual Meeting; 1997
33. Anticoagulation for Stroke Prevention in a Medicare Population with Atrial Fibrillation; Luis Miranda, Robert Turkel, O. Ahmed, Maxine Dent, and **Charles Dion**; Journal of the Florida Medical Association; 1997

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### WORKSHOPS AND SEMINARS (Presented)

1. Applied Data Analysis for Infection Control Professionals- 2<sup>nd</sup> Annual Infectious Disease Conference- St. Petersburg College. 2004
2. Statistical Methods for Quality Improvement-Florida Fistula First Dialysis Collaborative 2004
3. Statistical Methods for Quality Improvement-Emergency Department Early Indicator Collaborative 2003
4. Statistical Thinking for Quality Improvement – Internal FMQAI Course 2002-2004
5. Applied Statistics for Quality Improvement Staff-Internal FMQAI Course 1997-2002
6. Use of Quantitative and Qualitative Measures to Track Quality Improvement In Your Organization 2002
7. Advanced SAS Graph Programming- SDPS User's Group 1998
8. SAS Macro Programming –SDPS User's Group 1997
9. SAS Graph Programming – SDPS User's Group 1997

## SONDRA J. FOGEL, Ph.D., ACSW, LCSW

School of Social Work  
University of South Florida  
13301 Bruce B. Downs Blvd., MHC 1400  
Tampa, Florida 33612-3807  
(813) 974-8416 / sfogel@usf.edu

### Education:

- |       |               |   |
|-------|---------------|---|
| Ph.D. | October 1994  | University of Illinois at Urbana-Champaign<br>School of Social Work   |
|       | Dissertation: | <i>Moving along: An exploratory study of homeless women with children in a transitional housing setting</i>             |
| MSSW  | May 1983      | Columbia University, New York<br>School of Social Work<br>Combined macro and micro social work focus,<br>Business minor |
| BA    | May 1980      | State University of New York at Buffalo<br>Double major: Psychology and Sociology                                       |

### Academic and Administrative Appointments:

*Associate Professor, School of Social Work (Fall, 2000-present)*

Provide instruction across the BSW, MSW, and Ph.D. curriculums in the School of Social Work as well as service-learning oriented courses related to homelessness and poverty. Research interests include poverty; particularly homeless individuals and vulnerable populations, social work education, community-building and strength-based interventions with at-risk youth, families and older adults, and the use of capital punishment. Participate in diverse faculty governance, department, and professional service activities. Recent courses taught include:

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|--------|--|
| Ph.D.: | Evaluation of social work practice/program evaluation  |
| MSW:   | Foundations of Micro Practice<br>Foundations of Macro Practice<br>Advance Social Welfare Policy<br>Health, Illness, and Disabilities<br>Capstone |
| BSW:   | Foundations of Macro Practice  |



*Director of Special Programs, Honors College (Fall, 2012-2016)*

Founding Director. Responsible for the procedures and operations of elite student honor programs including the Provost Scholars, Honors Global Scholars, National Merit, Achievement, and Hispanic Scholars programs and Stetson Law School-USF 3+3 program. Develop and participate in a variety of student success initiatives within the Honors College as well as within the University. Participate in daily activities at the Director level for the Honors College, which includes fiscal oversight for related programs, recruitment activities, program development, IRB College reviewer, and other leadership duties as assigned. Coordinate with diverse offices on campus to develop pathways for student achievement. Serve as a faculty member for honors theses and courses. Initiate and participate with students on various research projects and international study abroad programs. Teaching areas include honors thesis prospectus and final thesis courses for all advanced or graduating Honors College students and independent research projects for other Honors College students.

*Faculty Associate, Office of Institutional Effectiveness, Academic Planning and Review (Fall, 2008-2012)*

Develop procedures and manage activities for Florida Board of Governors mandated university academic program reviews, new degree development, accreditation visits and institutes and center reporting procedures. Facilitate and assist with University Southern Association of College and Schools (SACS) accreditation efforts. Collaborate with diverse offices on various internal projects related to institutional effectiveness.

*Assistant Professor, Department of Sociology, Anthropology, and Social Work, UNC Charlotte (Fall, 1994-Summer, 2000)*

Responsible for course instruction and curriculum development in the upper level division of the BSW Social Work Program. Research interests included poverty and field education. Tenured.

*Director of Field Education, UNC Charlotte (Fall, 1994-Spring, 1996)*

Responsible for the development, implementation, and administration of a generalist field education component in an accredited BSW curriculum. Recruited and evaluated placement sites, developed community linkages, and responded to agency and student issues. Provided upper level undergraduate classroom instruction, training to field instructors, and supervision to field liaisons.

**Awarded Grants/Contracts:**

Fogel, S. J., & Dion, C. (2016-2019). \$150,000. Pinellas Hope V cost benefit study. Florida Housing Authority and Catholic Charities, St. Petersburg, FL.

Fogel, S. J. (2016-2019). \$9,000. National Homelessness Social Work Initiative. New York Community Trust.

- Donaldson-Plitt, L., Ferguson, S. M., Hill, K. M., Erickson, C., & Fogel, S. J. (2012). \$27,000. Utility of and attitudes toward advanced-level licensing for macro social work practitioners. New York Community Trust.
- Fogel, S. J., Moore, K. A., & Smith, C. (2008). \$12,000. Pinellas Hope [Homeless Shelter] follow-up study. USF Collaborative for Children and Families.
- Smith, M., Fogel, S. J., & Williamson, A. (2005). \$10,000. Florida Foreclosure Study. Co-investigator. Funds awarded to Gainesville NeighborWorks organization. In partnership with the Shimberg Center for Housing Affordability.
- Ersing, R., Gibson, C., & Fogel, S. J. (2004). \$25,000. University Area Community Development Corporation anti-crime analysis.
- Fogel, S. J. & Williamson, A. (2004). \$24,826. Belmont Heights Community economic impact analysis. Tampa Housing Authority.
- Fogel, S. (2002). \$8,000. Creating opportunities for civic participation and leadership with West Tampa youth through interactive web-based services. Children's Board of Hillsborough County. Technical assistance grant for the West Tampa Community Development Corporation.
- Fogel, S., & Dixon, C. (2001). \$15,000. Building alliances: Developing entrepreneurial skills in at-risk youth. USF Collaborative for Children and Families.
- White, R., Tesdeschi, R., & Fogel, S. (1999). \$4,100. An interdisciplinary graduate course on the Theory and Practice of Systems of Care. UNC Charlotte Curriculum Instruction Grant.
- Cook, J., & Fogel, S. J. (1998). \$400,000. Community SUPPORT Project. Department of Housing and Urban Development.
- Fogel, S. J. (1998). \$4,100. A graduate course on poverty. UNC Charlotte Curriculum Instruction Grant.
- Fogel, S. J. (July, 1997- June, 1998). \$8,800. Co-Principal Investigator (with M. D. Smith and B. Bjerregaard). Extra-legal influences in sentencing decisions in capital murder trials: North Carolina, 1979-1994. University of North Carolina-Charlotte Faculty Research Support Program.

#### **Academic Publications:**

- Marier, C. J., Cochran, J. K., Smith, M. D., Fogel, S. J., & Bjerregaard, B. (2018). Victim age and capital sentencing outcomes in North Carolina (1977-2009). *Criminal Justice Studies*, 31(1), 62-79. <https://doi.org/10.1080/1478601X.2017.1404464>

- Hill, K. M., Erickson, C. L., Donaldson-Plitt, L., Fogel, S. J., & Ferguson, S. M. (2017). Perceptions of macro social work education: An exploratory study of educators and practitioners. *Advances in Social Work*, 18(2), 522-542. doi: 0.18060/21455
- Hill, K., Fogel, S. J., Donaldson-Plitt, L., & Erickson, C. (2017). State definitions of social work practice: Implications for our professional identity. *Journal of Evidenced-Based Social Work*, 14(4), 266-279. doi.org /10.1080/23761407.2017.1319775
- Jennings, W. G., Cochran, J. K., Meade, C. N., Smith, M. D., Fogel, S. J., & Bjerregaard, B. (2017). The impact of rape/sexual assault statutory aggravating factor on death sentencing decisions-making in capital murder trials in NC (1977-2009): A propensity score matching approach. *Women & Criminal Justice*, 27(3), 139-150. doi.org/10.1080/08974454.2016.1224214
- Cochran, J. K., Marier, C. J., Jennings, W. G., Smith, M. D., Bjerregaard, B., & Fogel, S. J. (2017). Rape, race, and capital punishment: An enduring cultural legacy of lethal vengeance? *Race and Justice*. Advance online publication. doi. /10.1177/2153368717702700
- Cochran, J. K., Brown, W., Camacho, J., Jennings, W. G., Smith, M. D., Bjerregaard, B., & Fogel, S. J. (2017). Overkill? An examination of comparatively excessive death sentences in North Carolina, 1990-2010. *Justice Quarterly*, 34(1), 297-232. doi: 10.1080/07418825.2016.1162322.
- Bjerregaard, B., Smith, M. D., Cochran, J. K., & Fogel, S. J. (2017). A further examination of the Liberation Hypothesis in capital murder trials. *Crime & Delinquency*. 63(8), 1017-1038. doi: 0.1177/0011128715574454
- Fogel, S. J. (2016). Reducing vulnerability for those who are homeless during natural disasters. *Journal of Poverty*, 21(3), 208-226. doi:10.1080/10875549.2016.1141384
- Fogel, S. J., & Ersing, R. (2016). Macro focused social work dissertations: A preliminary look at the numbers. *Journal of Social Work Education*, 52(2), 170-177. doi: 10.1080/10437797.2016.1151272
- Donaldson-Plitt, L., Fogel, S. J., Hill, K., Erickson, C., & Ferguson, S. (2016). Attitudes toward advanced licensing for macro social work practice. *Journal of Community Practice*, 24 (1), 77-93. doi: 10.1080/10705422.2015.1127864
- Richards, T. N., Jennings, W., Smith, M. D., Seller, C., Fogel, S. J., & Bjerregaard, B. (2016). Explaining the 'female victim effect' on capital punishment: An examination of victim sex-specific models of juror sentence decision-making. *Crime & Delinquency*, 62(7), 875-898. doi: 10.1177/0011128714530826

- Richards, T. N., Bjerregaard, B. E., Cochran, J., Smith, M. D., & Fogel, S. J. (2016). Predictors of death sentencing for minority, equal, and majority female juries in capital murder trials. *Women & Criminal Justice, 26*, 260-280. doi: 10.1080/08974454.2015.1115802.
- Richards, T. N., Smith, M. D., Fogel, S. J., & Bjerregaard, B. (2015). When domestic goes capital: Death sentencing in capital murder trials involving domestic homicide. *Law & Human Behavior, 45*(39), 402-415. doi:10.1037/lhb0000129
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- Fogel, S. J., & Moore, K. A. (2011). Collaborations among diverse organizations: Building evidence in to support community partnerships. In M. Roberts-DeGennaro & S. J. Fogel (Eds.). *Empirically supported interventions for communities and organization change* (pp. 99-109). Chicago: Lyceum Books.
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#### **Guest Editor of Special Journal Issues**

- Bertram, R. M., & Fogel, S. J. (Eds.) (2017) Special Section: Evidenced based practice in systems of care. *Families in Society: The Journal of Contemporary Social Services*, 98(1).
- Fogel, S. J. & Larkin, H. (Eds.) (2016) Ending homelessness: A grand challenge for transforming practice & policy. *Families in Society: The Journal of Contemporary Social Services*, 97(3)
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### **Editorials: Current Editor-In-Chief of *Families in Society***

- Fogel, S. J. (2017). Amid the storm: Surviving and thriving. *Families in Society: The Journal of Contemporary Social Services*, 98(4), 255-257. doi:10.1601/1044-3894.2017.98.32.
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### **Presentations:**

- Trapassi, J., Cochran, J., Fogel, S. J., Smith, M. D., & Bjerregaard, B. (2017, November). *Adverse childhood experiences and their role as mitigators in capital sentencing cases*. Paper presented at the 2017 American Society of Criminology Meeting, Philadelphia, PA.
- Howell, C. J., Cochran, J., Meade, C., Kremling, J., Smith, M. D., Bjerregaard, B., & Fogel, S. J. (2017, November). *The effects of contextual factors on capital sentencing outcomes*. Paper presented at the 2017 American Society of Criminology Meeting, Philadelphia, PA.
- Fogel, S. J. (2017, June). *Ending homelessness: A social work grand challenge*. A 90 minute session presented at the 2017 National Association of Social Workers-Florida Conference, Orlando, FL.

- Fogel, S. J., Busch-Armendariz, N., Gilgun, J., Robbins, S., Staller, K., (2017, January). *Journal Editors' Workshop II: Forum on publishing qualitative research*. Panel session presented at the 2017 Society for Social Work and Research 21<sup>st</sup> Annual Conference, New Orleans, LA. (Invited)
- Larkin, H., Donaldson-Plitt, L., Fogel, S. J., Henwood, B., Little, S. B., Meyer-Adams, N., Patchner, M., & Streeter, C. (2017, October). *Partnering to end homelessness: Building student paths to leadership*. Panel session presented at the 2017 Council on Social Work Education (APM) Annual Conference, Dallas, TX.
- Fogel, S. J., Cochran, J., Rad, J., Trapassi, J., Smith, M. D., & Bjerregaard, B. (2016, November). *A qualitative proportionality review of capital sentencing outcomes in North Carolina (1977-2009)*. Paper presented at the 2016 American Society of Criminology Meeting, New Orleans, LA.
- Krupa, J., Smith, M. D., Cochran, J., Bjerregaard, B., & Fogel, S. J. (2016, November). *The effects of lost/rejected aggravating and mitigating factors on capital sentencing outcomes in North Carolina (1977-2009)*. Paper presented at the 2016 American Society of Criminology Meeting, New Orleans, LA.
- Stewart, B. J., Cochran, J., Smith, M. D., Bjerregaard, B., & Fogel, S. J. (2016, November). *Cop killers and the death penalty: A mixed methods analysis of North Carolina capital sentencing (1977-2009)*. Paper presented at the 2016 American Society of Criminology Meeting, New Orleans, LA.
- Marier, C., Cochran, J., Fogel, S. J., Smith, M. D., & Bjerregaard, B. (2016, November). *Victim vulnerability and the death penalty: What is the effect of the victim's age on capital sentencing decisions in North Carolina?* Paper presented at the 2016 American Society of Criminology Meeting, New Orleans, LA.
- Smith, M. D., Bjerregaard, B., & Fogel, S. J. (2016, November). *The fate of "Cop-Killers" in Post-Gregg North Carolina*. Poster presented at the 2016 American Society of Criminology Meeting, New Orleans, LA.
- Fogel, S. J. (2016, October). *Implementing the Grand Challenge to end homelessness: Collaborative practice for collective impact*. Panelist for the 62<sup>st</sup> APM Council on Social Work Education, Atlanta, GA.
- Meyer-Adams, N., & Fogel, S. J. (2016, June). *Implementing the Grand Challenge to end homelessness: Strengthening homeless services leadership*. Paper presented at the NASW National Conference in Washington, DC.
- Eisert, S, Detman, L., Fogel, S., & Verbyla, A. (2016, April). *Prevention of opiate exposed newborns: The patient perspective*. Poster presented at the 47<sup>th</sup> Annual Conference of American Society of Addiction Medicine in Baltimore, MD.

- Fogel, S. J. (2016, January). *Experiencing a natural disaster while street homeless*. Paper presented at the 20<sup>th</sup> Annual conference for the Society for Social Work Research, Washington, DC.
- Fogel, S. J. (2016, January). *Building a homeless research agenda: Service implications for the marginally housed and homeless people*. Invited panelist Paper presented at the 20<sup>th</sup> Annual conference for the Society for Social Work Research, Washington, D.C.
- Gilgun, J. F., Staller, K., Busch-Armendariz, N., Robbins, S.P., & Fogel, S. J. (2016, January). *Journal editor's forum on publishing qualitative research*. Panel discussion at the 20<sup>th</sup> Annual conference for the Society for Social Work Research, Washington, DC. (Invited)
- Gilgun, J. F., Staller, K., Busch-Armendariz, N., Robbins, S.P., & Fogel, S. J. (2015, January). *Journal editor's forum on publishing qualitative research*. Panel discussion at the 20<sup>th</sup> Annual Society for Social Work Research, New Orleans, LA. (Invited)
- Hill, K., Donaldson-Plitt, L, Fogel, S. J. & Erickson, C. (2015, October). *State regulation of social work practice: A discussion of statute and sanction*. Paper presented at the 61<sup>st</sup>APM Council on Social Work Education, Denver, CO.
- Larkin, H., Briar-Lawson, K., Williams, J. H., Meyer-Adams, N., Henwood, B., Donaldson-Plitt, Fogel, S. J., Sullivan, P., Lee, W., & Bransford, C. (2015, October). Hot Topic: The National Homelessness Social Work Initiative” Partnerships for workforce Development. Panel presentation at the 61<sup>st</sup>APM Council on Social Work Education, Denver, CO.
- Smith, M. D., Kremling, J., Fogel, S. J., & Bjerregaard, B. (2014, November). *An analysis of long-term judicial outcomes of defendants convicted in capital punishment trials*. Poster presented at the 2014 American Society of Criminology, San Francisco, CA.
- Pomery, E., Robbins, S., Busch-Armendariz, N., Fogel, S. J., & McLaughlin, H. (2014, October). *Publishing in scholarly journals: Lessons learned from social work editors-in-chief*. Panel presentation presented at the 2014 Annual Program Meeting of the Council on Social Work Education, Tampa, FL.
- Donaldson-Plitt, L., Fogel, S. J., & Infanzon-Wade, S. (2014, July). *The impact of clinical licensing on social work education and practice*. Workshop presentation at the 2014 National Association of Social Workers Conference, Washington, DC.
- Fogel, S. J. & Ersing, R. E. (2014, July). *Planning for Disaster: Perspectives and lessons from those homeless and service providers*. Poster presented at the 2014 National Association of Social Workers Conference, Washington, DC.
- Bjerregaard, B., Richards, T. R., Smith, M. D., Fogel, S. J., & Cochran, J. (2013, November). *The influence of gender diversity on capital juries*. Poster presented at the American Society of Criminology, Atlanta, GA.

- Fogel, S. J., & Mason, S. E. (2013, October). *Prevention-based social work and the Affordable Care Act*. Presentation given at the 2013 Council on Social Work Education Annual Program Meeting, Dallas, TX.
- Donaldson-Plitt, L., Hill, K., Erickson, C., Fogel, S. J., & Ferguson, S. (2013, November). *The impact of licensing on macro social work education and practice*. Presentation given at the Council on Social Work Education Annual Program Meeting, Dallas, TX.
- Fogel, S. J., Soska, T., & Homan, M. (2012, November). *The demise of Hull House*. Paper presented at the Council on Social Work Education Annual Program Meeting, Washington, DC.
- Smith, M. D., Bjerregaard, B., & Fogel, S. J. (2012, November). *The lost aggravator: Implications for capital murder sentencing when juries reject an aggravating circumstance*. Poster presentation at the American Society of Criminology meeting, Chicago, IL.
- Moore, M., RiCharde, S., & Fogel, S. J. (2011, December). *The Fifth Year Interim Report: The action never stops*. Paper presented at the SACSCOCS Annual meeting in Orlando, FL.
- Bjerregaard, B., Smith, M. D., & Fogel, S. J. (2011, November). *The Liberation Hypothesis in death penalty cases: Examining various operationalizations of case seriousness*. Poster presented at the American Society of Criminology meeting, Washington, DC.
- Fogel, S. J., Ferguson, S., & Hussin, A. (2011, October). *Reaffirm, revisit, and reimagine macro practice for the next decade*. Paper presented at the Council on Social Work Education Annual Program Meeting, Atlanta, GA.
- Ellison, M. E., Fogel, S. J., Moore, W., & Johnson, A. (2011, October). *The status of faculty mentoring in Baccalaureate Social Work Programs: An exploratory study*. Paper presented at the Mentoring Institute Conference, Albuquerque, NM.
- Smith, M. D., Bjerregaard, B., & Fogel, S. J. (2010, November). *The impact of number of jurors on capital sentencing*. Poster presented at the American Society of Criminology meeting, San Francisco, CA.
- Soska, T., Fogel, S. J., & Beck, E. L. (2010, October.). *Community organizing competencies in the social work curriculum*. Paper presented at the Council on Social Work Education Annual Program Meeting, Portland, OR.
- Fogel, S. J. & Gibbs, G. (2010, August.). *On the streets: the criminalization of survival strategies used by the homeless*. Paper presented at the 2010 Society for the Study of Social Problems, Atlanta, GA.

- Fogel, S. J., Bjerregaard, B., & Smith, M. D., (2009, November). *When domestic violence goes capital: Predictors of death sentencing in domestic murder cases tried capitally*. Paper presented at the American Society of Criminology, Philadelphia, PA.
- Fogel, S. J., Moore, K. A., & Barrett, B. (2009, October). *Pinellas Hope: The story of a tent city*. Paper presented at the Florida Supportive Housing coalition and Florida Coalition for the Homeless, Clearwater, FL.
- Fogel, S. J., Moore, K. A., & Frie, A. (2008, November). *Social justice collaborations on behalf of the homeless: Stakeholders perspectives on a pilot "tent city"*. Paper presented at the ARNOVA conference, Philadelphia, PA.
- Fogel, S. J. & Moore, K. A. (2008, August). *Homeless but housed: Reflections from the tent*. Paper presented at the Society for the Study of Social Problems, Boston, MA.
- Ellison, M. L., Fogel, S. J., & Moore, W. R. (2008, March). *What's the status of faculty mentoring in BSW programs: An exploratory study*. Paper presented at the Annual Conference of the Association of Baccalaureate Social Work Program Directors, Destin, FL.
- Fogel, S. J., Garrett, J., & Wiggins, K. (2008, February). *Involving research in homeless programs: Perspectives from Tampa Bay*. Paper presented at the 2<sup>nd</sup> Annual Camillus House Institute of Homeless Studies Symposium, Miami, FL.
- Fogel, S. J. (2008, February). *The working poor: Social justice initiatives*. Nationally syndicated webinar to member agencies of the Alliance for Children and Families, Milwaukee, WI.
- Bjerregaard, B., Smith, M. D., & Fogel, S. J. (2008, November). *A test of the liberation Hypothesis in North Carolina*. American Society of Criminology, St. Louis, MO.
- Smith, M. D., Fogel, S. J., & Bjerregaard, B. (2007, November). *Overview of a population of capital sentencing decision: Variations in legal and extralegal factors*. Paper presented at the meeting of the American Society of Criminology, Atlanta, GA.
- Fogel, S. J., & Powell, W. (2007, October). *The art and craft of writing and reviewing: Improving our common knowledge*. Roundtable presented at the Council on Social Work Education Conference, San Francisco, CA.
- Smith, M. T., & Fogel, S. J. (2007, October). *Clearing the air: Internal and external conflicts in partnerships*. Paper presented at the Outreach Scholarship Conference, Madison, Wisconsin.
- Fogel, S. J., Williamson, A. R., & Smith, M. T. (2007, August). *First time homeownership experiences among low-income women*. Paper presented at the meeting of the Society for the Study of Social Problems, New York, NY.

- Smith, M. T., Fogel, S. J., & Choby, P. (2006, November). *Nonprofit organization support of expanded homeownership through homebuyer counseling programs* Paper presented at the ARNOVA conference. Chicago, IL.
- Fogel, S. J., Bjerregaard, B., & Smith, M. D. (2006, November). *The post-Woodson era in North Carolina's use of capital punishment*. Poster presentation at the American Society of Criminology, Los Angeles, CA.
- Smith, M. D., Fogel, S. J., Bjerregaard, B., & Palacios, W. (2005, November). *I was drunk, stoned, high and don't remember a thing: Drug/alcohol use as a mitigating factor in capital sentencing outcomes*. Paper presented at the meeting of the American Society of Criminology, Toronto, Canada.
- Fogel, S. J. (2005, June). *Infusing research skills into the professional development of social work students*. Paper presented at the meeting of the National Association of Social Workers-Florida State Conference, Orlando, FL.
- Fogel, S. J. & Roberts-DeGennaro, M. (2005, February). *Executive orders endorsing Faith-Based Organizations as partners in delivering social services*. Paper presented at the meeting of the Council on Social Work Education, New York, NY.
- Smith, M. D., Bjerregaard, B., & Fogel, S. J. (2004, November). *The effects of jury racial composition on capital sentencing*. Paper presented at the meeting of the American Society of Criminology, Nashville, TN.
- Smith, M. D., Bjerregaard, B., & Fogel, S. J. (2003, November). *Revisiting the influence of jury gender composition on capital sentencing*. Paper presented at the meeting of the American Society of Criminology, Denver, CO.
- Cook, J., & Fogel, S. J. (2003, June). *Partnerships between universities and low-income communities: Lessons learned*. Paper presented at the meeting of the Society for Community Research and Action, Las Vegas, NM.
- Smith, M. D., Bjerregaard, B., & Fogel, S. J. (2002, November). *Patterns of murder in death penalty cases: Not necessarily what you think*. Paper presented at the meeting of the Academy of Criminal Justice Sciences, Chicago, IL.
- Fogel, S. J. (2002, September). *Building alliances: Engaging youth in creating formal entrepreneurial activities*. Paper presented at the meeting of the USF Collaborative for Children, Families and Communities, Tampa, FL.
- Fogel, S. J., & Ellison, M. E. (2001, October). *Research with conscience: The role of the BSW*. Paper presented at the meeting of the Annual Conference of the Association of Baccalaureate Social Work Program Directors, Denver, CO.

- Fogel, S. J. (2001, March). *Increasing university-community collaboration through service learning activities*. Paper presented at the meeting of the Council on Social Work Education, Dallas, TX.
- Bjerregaard, B., Smith, M. D., & Fogel, S. J. (2001, April). *The influence of mental health mitigators on jury decisions in capital sentencing proceedings*. Paper presented at the meeting of the Academy of Criminal Justice Sciences, Washington, DC.
- Fogel, S. J., Ellison, M. E., Morrow, D. M., & Arline, T. (2000, October). *Sexual harassment in BSW internships: Implications for the 21<sup>st</sup> century*. Paper presented at the meeting of the Annual Conference of the Association of Baccalaureate Social Work Program Directors, Destin, FL.
- Morrow, D. M., & Fogel, S. J. (1999, November). *Staffing patterns for baccalaureate field director positions*. Paper presented at the meeting of the Annual Conference of the Association of Baccalaureate Social Work Program Directors, St. Louis, MO.
- Smith, M. D., Bjerregaard, B., & Fogel, S. J. (1999, November). *An analysis of mitigating factors presented in capital murder sentencing trials*. Paper presented at the meeting of the American Society of Criminology, Toronto, Canada.
- Fogel, S. J. (1999, March). *Is the death penalty fair? Social justice to the test*. Paper presented at the meeting of the Annual Council on Social Work Education Program Meeting, San Francisco, CA.
- Fogel, S. J. (1997, April). *The impact of mitigating factor acceptance on sentence recommendations by juries in North Carolina capital murder trials*. Paper presented at the Eighth Annual Field Instruction Institute, Rock Hill, SC.
- Dunlap, K., Fogel, S. J., & Crawford, L. (1997, April). *Recovery from homelessness: One potential impact of welfare reform*. Paper presented at the Eighth Annual Field Instruction Institute, Rock Hill, SC.
- Fogel, S. J. (1997, April). *The impact of mitigating factor acceptance on sentence recommendations by juries in North Carolina capital murder trials*. Paper presented at the meeting of the Southern Sociological Society, New Orleans, LA.
- Fogel, S. J., & Smith, M. D. (1996, April). *Secondary causalities: The impact of murder on victims' families*. Paper presented at the meeting of the Southern Sociological Society, Richmond, VA.
- Fogel, S. J., & Benson, M. (1996, March). *Interviewing for strengths: Developing student assets during field liaison visits*. Paper presented at the meeting of the Seventh Annual Field Instruction Institute, Rock Hill, SC.



- Fogel, S. J. (1995, October). *Utilizing program evaluation as a paradigm for practice research*. Paper presented at the meeting of the Annual Conference of the Association of Baccalaureate Social Work Program Directors, Nashville, TN.
- Fogel, S. J. (1995, October). *The transitional shelter: Implications for working with homeless mothers*. Paper presented at the meeting of the National Association of Social Workers Conference, Philadelphia, PA.
- Fogel, S. J. (1995, September). *The changing nature of independent living and social service practice*. Paper presented at the meeting of the Virginia Association of Nonprofit Homes for the Aging, Richmond, VA.
- Fogel, S. J. & Gullerud, E. (1994, April). *Development and testing of interventions as a means of promoting integration of practice and research*. Paper presented at the meeting of the Biennial Midwest Social Work Education Conference, St. Paul, MN.

### **Technical Reports:**

- Fogel, S. J. (2014). Report to NHCHC and HUD for investigation on how those who are homeless and emergency management and homeless service providers perceive services for those on the street at the time of a natural disaster. Methodology section. In collaboration with R. Ersing, (USF), S. Edgington (National Health Care for the Homeless Council), D. Canavan, & F. Ledger, (Canavan Associates).
- Published in 2016 by HUD, Send red, not blue: The homeless resident, at <https://www.hudexchange.info/resource/5073/send-red-not-blue/>.
- Fogel, S. J. (2001-2012). *Evaluation of education of homeless children in Hillsborough County School District*. Report to the Hillsborough Public School District. (Provided annual evaluation reports to the School District on the Homeless Education Literacy Program (HELP).
- Fogel, S. J., & Moore, K. A. (2009). *Pinellas Hope follow-up*. Report to Catholic Charities, St. Petersburg.
- Fogel, S. J., & Moore, K. A. (2008). *Pinellas Hope*. Prepared in collaboration with members of the Pinellas County Health and Human Services staff.
- Fogel, S. J. (2006). *First time homeowners: Issues for consideration*. Report to the NeighborWorks Organization.
- Fogel, S. J. (2005). *Belmont Heights Estates Resident Survey Report*. Report to the Shimberg Center for inclusion in final report to the Tampa Housing Authority.
- Ersing, R., Gibson, C., & Fogel, S. J. (2005). *University Area Community Development Corporation: Anti-Crime Research Section: Phase I*. Report to the UACDC.

Cook, J., & Fogel, S. J. (2000). *Six month review of Charlotte COPC*. Report to the Department of Housing and Urban Development.

Cook, J., & Fogel, S. J. (1999). *Six month review of Charlotte COPC*. Report to the Department of Housing and Urban Development.

Cook, J., & Fogel, S. J. (1999). *Six month review of Charlotte COPC*. Report to the Department of Housing and Urban Development.

Fogel, S. J. (1995). *UNC Charlotte Social Work Field Manual*. Charlotte: University of North Carolina at Charlotte.

#### **Ph.D. Dissertations:**

2017: Stephanie George, Department of Education, University of Southern California.  
*Enhancing Homeless Education and Faculty Engagement Following the Launch of the Grand Challenge to End Homelessness: An Evaluation Study.*

Hyojong Song, Department of Criminology, Outside Committee Member.  
*An exploratory study of macro-social correlates of online property crime.*

2016: Kimberly Synder-Wollard, School of Social Work, Major Professor  
*Exploring the relationship of healthy lifestyle characteristics with food behaviors of low-income, food insecure women in the United States.*

Erin C. Cotrone, Department of Criminology, Outside Committee Member  
*The guilty but mentally ill verdict: Assessing the impact of informing jurors of verdict consequences.*

Amy E. Eggers, Department of Criminology, Outside Committee Member  
*Delving into the heart of victimization risk: Examining the interactive relationship between demographic factors and context.*

2015: Catherine E. Randall, School of Social Work, Committee Member  
*Development of the professional school social work survey: A valid and reliable tool for assessment and planning.*

2013: Katherine Ferrari, School of Social Work, Major Professor  
*Rural communities: How do individuals perceive change when industry enters the area?*

2010: Mellissa L. Harrison, Department of Criminology, Outside Committee Member  
*The influence of narcissism and self-control on reactive aggression.*

Janine Kremlin, Department of Criminology, Outside Committee Member  
*Comparison of sampling designs on the example of ADAM and DUF.*

- 2009: Jose Ordonez, Department of Criminology, Outside Committee Member  
*The influence of parental support on antisocial behavior among 6<sup>th</sup> through 11<sup>th</sup> graders.*
- 2007: Gary Wallaby, School of Public Health, Outside Committee Member  
*Associations between individual, social and service influences and the belief in recovery from mental illness and the choice of recovery path.*
- 2007: Danielle McGurrin, Department of Criminology, Outside Committee Member  
*Fabrication: Corporate and governmental crime in the apparel industry.*
- 2006: Caroline Mae McKay, School of Public Health, Outside Committee Member  
*The role of social structural and social contextual factors in shaping chronic disease and chronic disease risk behavior: A multilevel study of hypertension, general health status, and mental distress.*
- 2004: Thomas D. Bazley, Department of Criminology, Outside Committee Member  
*Predicting police use of force: A study of patrol officers in an urban police department.*
- 2004: Evaristus O. Obinyan, Department of Criminology, Outside Committee Member  
*Attitudes toward delinquency tolerance.*

#### **Master Thesis Committees:**

- 2017: Jessica Trapassi, Department of Criminology, Co-Chair  
*Adverse childhood experiences and their role as mitigators for youthful and non-youthful offenders in capital sentencing cases*
- 2010: Lane K. Gillespie, Department of Criminology  
*Gender influences in capital punishment decisions.*
- 2005: Judith Earl, Department of Criminology  
*Assessing the issue of arbitrariness in capital sentencing in North Carolina: Are the effects of legally relevant variables racially invariant?*
- 2005: Katharine Evans, Department of Criminology  
*The impact of victim-offender familial relationships on capital sentencing outcomes.*

#### **Honors College, Honors Theses: Major Professor**

- 2017: Chelsea Mulligan, Political Science, German Double Major  
*Educating through art: Obsessive compulsive disorder (OCD) in children.*
- Cassandra Nicholas, Environmental Biology Major  
*Social and environmental injustice: A photography journal*

- 2016: Kristina Ledbetter, Bio-Medical Science Major  
*The effects of group fitness participation on stress motivation in female college students.*
- Samantha Prabakaran, Bio-Medical Sciences Major  
*Evaluating patient health literacy and numeracy in a family medical clinic.*
- Indira Ranaweera-Bio-Medical Sciences Major  
*A pilot program evaluation of USF's Honors College 7 year BS/MD program.*
- 2015: Jerry Abraham, Bio-Medical Sciences Major  
*Post-Traumatic Stress Disorder observed in Emergency Medical Services*
- Jason Adams, History Major  
*3D printing "The Str3Divarious"- A cello.*
- George Cappos, English Major.  
*Writer's on the road: A call to travel.*
- Andrea Coutu, Economics Major  
*The cost-benefit analysis of financing permanent supportive housing for the chronically homeless person.*
- Miguel Dejuk, Bio-Medical Sciences Major  
*Crossing the language barrier.*
- Kelly Fagan, Health Sciences Major  
*The art of communicating with patients.*
- Amanda Muench, Marine Sciences Major  
*The importance of animal training.*
- Daniela Rubio-Bio, Medical Sciences Major  
*The experiences of young family interpreters a minimally explored cohort.*
- Lauren Salisbury, Social Science Major  
*Understanding hate crimes against the homeless.*
- Ailyn Valladares, Bio-Medical Sciences Major  
*Healthy heart: A bilingual cookbook for health care clinics targeting hypertension and high cholesterol.*
- 2014: Anson Angail, Accounting  
*The impact of retirement education for young adults.*
- Yeshia Amin, Bio-Medical Major  
*Caring for the homeless during disasters and emergencies.*

Anastasia Belock, Bio-Medical Major  
*Solving India's water crisis: One town at a time.*

Maryam Fahda, Bio-Medical Major  
*Learning how to put together and conduct a focus group session.*

Jillian Lockhart, Bio-Medical Sciences Major  
*Timbali: Helping the woman of Swaziland, Africa.*

Lauren Richardson, International Affairs Major  
*A pint-in-time count in Hillsborough County: Exploring homelessness through service-learning.*

Angel Rabin Rojo, Bio-Medical Sciences Major  
*Assessing the need for interpreters between limited English proficient patients and health care providers in the Tampa Bay area.*

Ally Webb, Bio-Medical Sciences Major  
*Organizing a charity 5K to benefit Donate Life, Florida.*

2013: Chasen Brokaw, Bio--Medical Sciences Major  
*Perdido en Nicaragua: Inspiring youth through Ultimate.*

Edwin C. Cavannaugh, Bio-Medical Sciences Major  
*A qualitative study of resiliency in student veterans at the University of South Florida.*

Elizabeth D'Esposito, Bio-Medical Sciences Major  
*Promotion of Health and Wellness within the University of South Florida.*

Erin Fagan, Public Health Major  
*Promotion of Health and Wellness within the University of South Florida.*

Mitz González, International Studies Major  
*Why didn't she melt? A case study of a resilient immigrant.*

Katherine Hall, Social Work Major  
*The Salvation Army as an international social service organization and its importance for the social work community.*

Nikki Lombardi, Nursing Major  
*Attitudes and beliefs of intensive care nurses and medical-surgical nurses toward patient visitation.*

Alessandra Smith, Accounting Major  
*Women in sports.*

Erica Turke, Bio-Medical Sciences Major  
*Jewelry for a cause: Compassion in action.*

Kaydee Jo West, Integrated Social Science Major  
*A journey into the small non-profit.*

2012: Kayra Petrocelli, Bio-Medical Major  
*An analysis investigating the altruism in undergraduate and medical students pursuing a medical profession and current practicing doctors.*

2011: Jacqueline Briggs, International Studies Major  
*UN Millennium Goals: Bringing awareness to USF.*

Jamie Brauer, International Studies Major  
*A work in progress: An autobiography.*

Chris Beamer-Political Sciences Major  
*Secularists serving selflessly.*

Lauren Keroack, Social Work Major  
*Disaster management and the homeless.*

Ramzy Lotfi, Bio-Medical Sciences Major  
*Conquering homelessness: A reason to smile.*

Yesha Patel and Sandra Santos, Biomedical Sciences Major  
*Homeless youth and community services: Dress-A-Bull*

2010: Diana Atari, Bio-Medical Sciences Major  
*Pinellas Hope: Hope activities for the homeless.*

Lucia Camperlengo, Bio-Medical Sciences Major  
*Global activism on campus.*

Hugo Castro, Bio-Medical Sciences Major  
*What are the academic and social benefits of alternative spring break programs?*

Jenna Dionisio, Bio-Medical Sciences Major  
*Fighting childhood obesity in America's children.*

Fiorella Ferrari, Accounting Major  
*A study of the homeless in the Tampa Bay region: Why, who, and what can help?*

Joshua Finch, Environmental Science Major  
*Student Supported Agriculture at USF.*

Lauren Kinter, Engineering Major  
*Habitat for Humanity: A look inside.*

Sara Luttenton, Public Health & Anthropology Major  
*Equine assisted therapy: Opportunities and funding challenges.*

Christian Sobky, Bio-Medical Sciences Major  
*Wishmakers on campus: USF college students sharing the power of a wish.*

Michael Touchton, Religious Studies and Philosophy Majors  
*The intersection of faith and homelessness.*

2009: Stacy Testa, Bio-Medical Sciences Major  
*Traverse-The use of animals to assist trauma survivors.*

Gretchen Suarez, Pena-Engineering Major  
*Outside the cubicle: Breaking the civil engineering stereotype.*

2006: Annabella Ferrari, Biology Major  
*Getting in the door: An exploratory study of help seeking behaviors from various stakeholder groups regarding domestic violence.*

2005: Carlin Nguyen, Business Major  
*A collaboration with society: An exploration of how a corporation develops leadership and opportunities for young adults.*

#### **Additional Education and Certifications:**

2014: Florida Qualified Social Work Supervisor  
2013: Research Methods, Graduate Certificate, University of South Florida  
2010: Licensed Clinical Social Worker, FL SW9963

#### **Select Service at the University of South Florida:**

2018-on: Ph.D. Program Chair, School of Social Work  
2016: on: IRB Reviewer School of Social Work  
2012-16: IRB Honors College Reviewer  
2012-on: Pat Tillman Military Scholarship Selection Committee  
2012-13: Undergraduate Research Advisory Committee (Disbanded)  
2010-12: Faculty Senate, College of Behavioral and Community Studies  
2012-15: University Financial Aid Grievance Committee  
2009-14: Faculty Advisor to USF student group: Student Coalition Against Homelessness and Poverty (Founding faculty member)  
2008-10: Chair, Integrated Interdisciplinary Inquiry Task Force, Office of the Provost  
2009-10: Member, Ph.D. Committee, School of Social Work  
2008-12: Member, Osher Reentry Scholarship Committee

2007-08: Chair, Faculty Senate, Ceremonies and Convocation Committee  
 2006-10: Internal Grant Reviewer: Research Council  
 2005-09: Faculty Senator, College of Arts and Sciences  
 2005-08: Member: Convocation and Commencement Committee, USF Faculty Senate  
 2004-05: Chair, Tenure and Promotion Committee, School of Social Work  
 2004-06: Research Sequence Chair, Research Day Coordinator, School of Social Work  
 2004-10: University Marshall for University of South Florida Commencement  
 2003-04: Chair, Tenure and Promotion Committee, School of Social Work  
 2003-06: Research Day Coordinator, School of Social Work  
 2002-04: Graduate Committee, College of Arts and Science  
 2001-03: Diversity Committee, College of Arts and Sciences  
 2001-03: Co-Chair, Faculty Affairs and Tenure and Promotion Committees, Social Work  
 2000-09: Institutional Review Board—Behavioral and Social Sciences (IRB-02)  
 2001-03: USF NASW Faculty Representative  
 2000-01: Alumni Faculty Liaison, School of Social Work

**Select Professional Activities:**

2018-on: At-large Member: Executive Planning Committee, Tampa Hillsborough Homeless Initiative (COC agency for Hillsborough County)  
 2015-on: Partner representative for the School of Social Work to the National Center on Excellence for Homeless Services  
 2015-on: Member, Unaccompanied Youth Task Force, Tampa Hillsborough Homeless Initiative  
 2015-16: Chair, Committee on Nominations and Leadership Identification, Tampa Bay Unit, NASW-FL  
 2014-15: NASW-FL 491 Licensing Board Task Force  
 2013-on: Ex-Officio Member, Special Commission to Advance Macro Practice  
 2013-14: Past Chair, Association of Community Organization and Social Administration.  
 2013-14: Chair, Lee Student Support Fund for the Society for the Study of Social Problems  
 2012-13: Chair-Elect, Lee Student Support Fund for the Society for the Study of Social Problems  
 2012-13: Steering Committee Member for the Hillsborough County new plan to end homelessness in 10 years  
 2011-13: Council on Social Work Education Committee on Publications  
 2011-15: American Red Cross Mental Health Disaster Respondent  
 2010-12: Chair, (Elected position). Association of Community Organization and Social Administration (ACOSA)  
 2010-11: NASW-FL Conference Planning Committee Member  
 2007-on: Judge, Outstanding Graduate Student Paper, Sociology and Social Welfare Division, Society for the Study of Social Problems  
 2007-on: Selection reviewer for COSA proposals to the Council on Social Work Education Annual Program Meeting  
 2007: Gero-Ed Center E-Learning. A planned change model: Preparing gerontologically-competent graduates. Council on Social Work Education  
 2007: REACH-SW Pilot Study. Educating Social Work Educators on how to teach Evidence-Based Practice throughout the curriculum



- 2003: Qualitative Research Course, IASWR, (August 7-9), Washington, DC  
 1999-04 Federal Grant Reviewer: New Directions Grant-Community Outreach Partnership Center Program (COPC), Department of Housing and Urban Development.  
 1997-2000: NC State Delegate Assembly Member, National Association of Social Workers

**Service on Academic Journals:**

- 2015-on: Editor-in-Chief, *Families in Society: Journal of Contemporary Human Services*  
 2011-14: Senior Associate Editor, *Families in Society: Journal of Contemporary Human Services*  
 2009-14: Editorial Board, *Journal of Social Work Education*  
 2007-11: Associate Editor, *Families in Society: Journal of Contemporary Human Services*  
 2005-14: Editorial Board, *Social Work Education: The International Journal for Social Work and Social Care Education, Training and Staff Development*  
 2004-17: Editorial Board, *Journal of Community Practice*  
 2004-on: Editorial Board, *Journal of Sociology and Social Welfare*  
 2003-07: Editorial Board, *Families in Society: Journal of Contemporary Human Services*  
 2002-10: Consulting Editor, *Journal of Baccalaureate Social Work*

**Professional Organization Affiliations:**

- Academy of Certified Social Workers
- American Society of Criminology
- Association of Community Organizers and Social Administration (ACOSA)
- Council on Social Work Education
- National Association of Social Workers
- Society for Social Work Research

**Select Prior Professional Experience:**

*Director, Army Community Services (ACS) Wildflecken, West Germany, 1988-1990*

Responsible for the administration, program development, staff actions, supervision, fiscal management, and evaluation for ten social service programs. Supervised 10 social service staff members including one MSW. Worked closely with community volunteers. Represented agency at a monthly interdisciplinary professional team meeting to evaluate social service needs of identified soldiers and their families. Determined intervention action plans in coordination with other community services. Delivered individual and group work services to identified child abuse and domestic violence clients and families. Other duties included Community School's Officer and member of Federal Women's Council.

*Sub-Community Director, ACS, Butzbach, West Germany, 1987-1988*

Oversaw yearly budget projections and the daily operations of eight social services programs. Hired and supervised one MSW, five social service staff members, and volunteers. Designed and implemented management policies, provided community and troop information briefings and

quarterly parent education classes. Delivered case management and group work services to suspected and identified families and individuals experiencing violence, abuse or neglect. Provided case consultation to assist with the development of assessment and treatment plans.

*Family Advocate Program Manager, ACS, Butzbach, West Germany, 1985-1987*

Responsible for community prevention activities, identification and treatment of domestic violence and child neglect and abuse cases for an Army installation. Chaired a multi-disciplinary team of agency providers to coordinate case management services to identified families. Provided on-call, crisis intervention, individual and group work services to high risk and abusive families. Developed, designed, and implemented a model foster care program for the community, including the recruitment, orientation, and training of foster care parents. Provided community and troop briefings, quarterly parenting classes and monthly news articles to the installation newsletter. Established administrative procedures for reporting incidents.

### **Funding Sources Used**

- 1.) Funding is received from the Pinellas County Human Services Department to support the two Intensive Case Managers for Pinellas Hope V clients.**
- 2.) Other subsidized funding is received from the clients who live there and have benefits (Supplemental Security Income (SSI) / Social Security Disability Insurance (SSDI)).**
- 3.) In-Kind services received from Pinellas County Human Services Department through their Cooperative Agreement to Benefit Homeless Individuals for substance abuse and mental health group therapy twice per week, plus individual therapy.**
- 4.) Catholic Charities supports all other funding needed for the operation of the program.**

Catholic Charities will seek funds from private foundations, donors and fundraisers that have a deep interest in homelessness. Prior to the new partnerships with PSRDC, the expected amount needed to be raised to support this project was in the range of \$80,000 to \$125,000 to be spread over the three years of the study. It is expected that this range may increase due to the addition of PSRDC work. However, these costs will be spread over numerous years giving Catholic Charities time to collect these funds privately. Any remaining costs not collected privately will be paid for directly by Catholic Charities general account and/or using their developer fees collected from this project.

## Exhibit E: Timeline

Pinellas Hope V (PHV) is a 45 permeant supportive housing residence. As of December 14, 2017, 43 units are occupied. The first resident moved in on December 27, 2016. However, due to unanticipated delays, PHV occupancy was slower than expected during the first 4 months of this study. However, occupancy rates began to rise with the bulk of residents in units by late Fall 2017. The latest resident to enter PHV occurred on October 18, 2017. Given the span of the occupancy rates, the following timeline is projected for the completion of this RFP. However, please note that 2 more residents may move in before the end of the year. Socio-behavioral data collection starts when the resident assumes occupancy (baseline) and follows the timeline in the methodology.

Baseline public data: Public cost data will be collected for each resident for the time period of 363 days prior to move in date and aggregated.

Ex. Move in date 12/27/2016- baseline data: Costs of services available through the Policy and Service Research Data Center (PSRDC) will be collected for the time period from 12/27/2015-12/26/2016 and aggregated.

Participants: All residents to date are included in the study. Given that the last resident moved in on 10/18/17 in the 43<sup>rd</sup> unit, there are still 2 units to be filled to include in the study.

Projected completion: As stated in the methodology, the last data collection period of the resident is at the 30 month mark of his/her tenure in housing. Socio-behavioral data collection will end at the 30<sup>th</sup> month for each resident. This will vary by the initial month of occupancy of each resident. The PSRDC typically receives data from the various sources at set time points, typically 6-months to 1 year following a time period. Given that the last occupancy occurred on 10/18/17, a projected timeline for this study is as follows:

Aggregate baseline date:	10/18/2016-10/17/2017
Move in date:	10/18/2017
Aggregate 6 month data:	April 2018
Aggregate 12 month data:	October 2018
Aggregate 24 month data	October 2019
Aggregate 30 month data	April 2020

Accounting for another 3 months for PSRDC to receive necessary data to generate aggregate 30 month data, we are projecting that this will be July 2020. It is expected that data analysis will take 2 months after the final receipt which is expected by September 2020. The final report will be completed by 10/31//2020.

**EXHIBIT F**

**REQUEST FOR REIMBURSEMENT AND CERTIFICATION  
To Be Submitted with Each Request for Reimbursement**

Request for Reimbursement # \_\_\_\_\_

Development Name: \_\_\_\_\_

The undersigned Grantee hereby submits its request for reimbursement of certain costs and expenses incurred by the Grantee in carrying out the Multi-Year Study pursuant to the GRANT AGREEMENT FOR MATCH FUNDING TO BE USED FOR RESEARCH RELATED TO DEVELOPMENTS FUNDED TO SERVE HIGH NEEDS/HIGH COST POPULATIONS between Florida Housing Finance Corporation (Florida Housing) and the Grantee dated \_\_\_\_\_, 2017 (the "Grant Agreement").

The Grantee understands that Florida Housing will reimburse the Grantee in Grant Funds up to one-third of the costs and expenses incurred and paid by the Grantee in carrying out the Multi-Year Study pursuant to the terms and conditions as set forth in the Grant Agreement, up to a total of \$50,000. The remaining two-thirds of such costs and expenses not reimbursed represents the Grantee's match requirement of \$2 for every \$1 in Grant Funds.

1. For purposes of this request, the Grantee has incurred and paid from its own source of funds costs and expenses in carrying out the Multi-Year Study in the total amount of \$ \_\_\_\_\_. Attached to this request are copies of the supporting documentation evidencing such costs and expenses and the payment of same. The supporting documentation must support the total amount listed.

2. The Grantee requests from Florida Housing \$ \_\_\_\_\_ reimbursement in Grant Funds (such amount represents no more than one-third of the total amount listed in 1. above).

The Grantee certifies to Florida Housing (a) that the costs and expenses for which it seeks reimbursement hereunder were incurred and paid by the Grantee in carrying out the Multi-Year Study, (b) that such costs and expenses were reasonably necessary for purposes of carrying out the Multi-Year Study as contemplated by and within the intent of the Grant Agreement, (c) that such costs and expenses were reasonable in amount and the result of good business practices where possible, availability of services and circumstances considered, and (d) that such costs and expenses are otherwise eligible for reimbursement with Grant Funds under the terms of the Grant Agreement.

The Grantee further certifies to Florida Housing that:

(f) If a state university is part of the Grantee's research team, the indirect cost percentage assessed by that university is limited to no more than 10 percent for the portion of the work carried out that is funded through the Grant Agreement; and

**REQUEST FOR REIMBURSEMENT AND CERTIFICATION**  
**To Be Submitted with Each Request for Reimbursement**

(g) The Grant Funds may not be used by the Grantee to pay for the Grantee's internal staff or operations related or unrelated to the Multi-Year Study, even if Grant Funds would be used to pay for the staff's time on the Multi-Year Study.

Dated this \_\_\_\_ day of \_\_\_\_\_, 201\_.

\_\_\_\_\_  
(Name of Grantee)

By: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_